### Vacuum Arcs and Gradient Limits

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## Outline

We need to understand gradient limits. Critical for many fields Seems vital to optimize accelerator designs What frequency ? What material properties ?, Materials ?

Description of simple (elegant ?) model A few simple mechanisms No variables Everything can be calculated We try to understand <u>all</u> arcing data Many Predictions

Details for much of this work have been numerically simulated. Everything looks simple, but calculations can be difficult.

What's next?

## Vacuum Arcs



According to this data, vacuum arcs are: 1) different from gas breakdown (Michelson and Millikan) and, 2) are a single-surface phenomenon (Alpert et. al.).

They are not generally understood.

# Our Model



## Assumptions

Coulomb Explosions

![](_page_4_Picture_2.jpeg)

and Unipolar Arcs

![](_page_4_Figure_4.jpeg)

# Triggers for vacuum arcs

All vacuum arcs seem to look the same. Single surface phenomena (Alpert et al) Breakdown at ~10 GV/m surface field (Alpert, MTA, many others) Tensile stress ~ tensile strength Fatigue (creep) can contribute Our highest surface electric fields were with 3 - 4.5 T magnetic fields

Compatible with

Fatigue, Electromigration (Antoine, Peauger), minor heating Other arcs, Laser ablation, Lab plasmas, DC arcs, tokamaks.

No whiskers seen either in rf or DC expts. Small emitters we see don't heat, EEE Pulse heating ~ 0, if  $d_{\text{breakdown site}} \ll$  skin depth Breakdown is fully 3D, not 1D. Vacuum breakdown is not gas breakdown We do not see any keV ions anywhere.

 $E_{local} \sim 10 \text{ GV/m} @ 805 \text{ MHz}$ 

![](_page_5_Figure_6.jpeg)

Coulomb explosions are compatible with fatigue Breakdown numbers from CERN small gap experiment.

![](_page_6_Figure_1.jpeg)

# Unipolar arc properties

### Dimensions matter

for ions

density

funtoer

OOPIC Simulations (at ~ 6 ns), $r_{max} = Z_{max} = 10$  micronsIon Density,Phi,

![](_page_7_Figure_3.jpeg)

![](_page_7_Figure_4.jpeg)

### Arc dimensions a few microns.

#### Primary electron current

Space charge limit can be seen in  $v_z$  vs z Plasma functions as a virtual cathode Collision length remains constant ~ 10  $\mu$ 

![](_page_7_Figure_8.jpeg)

 $T_{I}$ 

These (few micron) dimensions are consistent with a lot of data.

Our picture, from OOPIC

![](_page_8_Figure_2.jpeg)

Boxman, Martin, Sanders, Ch 3, Fig 22

![](_page_8_Figure_4.jpeg)

CERN and SLAC (Aicheler CERN '10)

. . etc.

![](_page_8_Picture_7.jpeg)

Electric field Distribution can be easily calculated. Image charge gives boundary conditions Ion motion determined by  $\phi$  and E(r,z) Radial dist of E, inc ion angle Exact dimensions of plasma are important.

![](_page_9_Figure_1.jpeg)

Time development: n vs. time for arc Trapped plasma develops  $E_{average} = \phi / \lambda_D$  (increases) Non-Debye plasma ? ?

Increase in E drives arc evolution currents density increase

![](_page_9_Figure_4.jpeg)

This plasma is a NON "Local Thermal Equilibrium" (LTE) plasma

Ion & Electron Temperatures are very different

Ions are essentially thermal  $(T \sim 0)$ , but stream in the electric field

Electrons stream through the plasma, but some are trapped.

### Liquid surface deformation

Surface tension flattens surface Electric tensile force pulls on surface, may be inhomogeneous Plasma pressure pushes on surface Spinodal decomposition causes ripples, can measure plasma surface properties Non-Debye plasma properties are not understood

Plasma pressure is significant, p=nkT,

Generates particulates: Chapt 6 of Anders "Cathodic arcs" Surface heating comes primarily from ion current Plasma pressure forms small craters.

### Power balance

Ion, electron fluxes change rapidly Radiation flux goes like n<sup>2</sup> Surface heating is large and localized Distribution of energy can be calculated. B Field effects electrons and should change gradient limits. Arc behavior is a function of **B**. Focusing of shorting currents is evidently not harmful Best gradient obtained with High collinear B field Larmor focusing of electrons,  $r_{\rm L} = 0.3 \ [\mu] W_{\rm ev}^{1/2}$ If  $E \mid\mid B$ , arc is more compact, more damaging, If  $E \mid\mid B$ , or B = 0 arc is more spread out

This mechanism should explain the B field dependence in muon accelerator cavities.

High local fields (megagauss) are seen

Ion radius much larger less pressure fewer particles, etc.

**B** fields are tough simulation problem Requires VORPAL (or LSP) Evidently parallel processing We are trying to organize something.

![](_page_11_Figure_5.jpeg)

Self-sputtering fuels the arc and can make it self-sustaining. High E and T increase self-sputtering above 10 at low energies. Grain orientation also seems to matter

We have calculations, are starting experiments Electron sputtering also produces shorting currents.

Arcs must be self-sustaining in many non-accelerator environments.

![](_page_12_Figure_3.jpeg)

We believe a unipolar arc is essentially a transient -This is a little different from Schwirzke's picture. Arcs seem to be inherently unstable. Many candidates for a termination mechanism Emitter melting non-Debye hiccup n<sub>i</sub>/n<sub>e</sub> imbalance radiation cooling,... Secondary sources appear nearby Close: Ripples due to ion motion Far: splashes from liquid particulates Either way, fractal motion results

Space potential / sheath potential

Plasma is polarized because ions move more slowly than electrons This would happen in free space or near a metallic boundary Near a boundary the potential is essentially a normal sheath, even if nonthermal.

Ghost arcs (CERN) should be relics or precursors of Unipolar arcs.

### Enhancement factors seem to be a source of confusion.

Fitting historical field emission data seems to give a wide range for  $\beta$  and A.  $2 < \beta < 1000$   $1 \text{ nm}^2 < A < \text{many } \mu^2$ (This wide range is not seen in cavities however.)

These values are not compatible with a whisker model of enhancement factors.

The validity of Fowler-Nordheim (and quantum mechanics) has been questioned.

#### We have a simple solution:

Emitters are small, (A ~ 1 nm<sup>2</sup>) with natural βs around 100.
They are formed at crack junctions and spattered particulates.
If they sit on other structures, their βs can be much larger.
If there are lots of them, the combined A will be much larger.

Surfaces are rough, so lots of structures to sit on and lots of spatters/cracks.

Fowler-Nordheim should be OK, as is Quantum Mechanics.

## Damage

Damage mechanisms Cracks Particulates, splashes Oblique ion fluxes and ripples Damage from shorting currents Erosion

The spectrum of  $n(\beta)$  is known, in many environments.

Nobody sees whiskers, so breakdown sites must be blunt Thermal properties of blunt corners Time const for cooling ~10 fs Heated volume is small ~ 1 nm<sup>3</sup>. Large heat sink ~1  $\mu^3$  (@ ns) VERY hard to heat

![](_page_15_Figure_4.jpeg)

### Two types of arcs

Killer - arc current shorts potential, removes power source. Parasitic - arc removes energy from plasma slowly

### Effects of increasing Arc energy

![](_page_16_Figure_1.jpeg)

### Arc damage mechanisms

![](_page_17_Figure_1.jpeg)

Cracks

 $n(\beta)$  from angle dependence could be derived if needed. Sharp crack angles have high  $\beta$ s but are uncommon.

SEM showing cracks  $\beta$ (crack angle)

![](_page_18_Figure_3.jpeg)

![](_page_18_Figure_4.jpeg)

Particulates can also explain this effect.

# **Gradient limits**

Gradient limits represents an equilibrium obtained from two effects:

- 1) More energy in the arc produces more damage. (They are proportional.)
- 2) Because of the spectrum of enhancements drops off exponentially, damage rises logarithmically with arc energy.

![](_page_19_Figure_4.jpeg)

We published a paper in '05 describing how damage causes gradient limits, with a number of predictions and examples.

Conditioning We can calculate the Kilpatric limit Gap dependence: there is none BDR(E) can be due to a number of causes

Ohmic

Electromigration

Fatigue

Are all indistinguishable, give BDR ~ E<sup>30</sup>. Gas pressure scaling: there is none Temperature dependence: there is none Pulse length dependence: depends on energy. Material dependence: depends on tensile str. noble metals only

Correlated breakdown rate: complex problem

And more . . .

![](_page_20_Figure_8.jpeg)

## **Active Effort**

Thermodynamics of breakdown sites

**B** field effects in vacuum arcing

Sputtering and self-sputtering dependence on many parameters

Differential erosion depends on grain orientation

Surface electric fields in arcs.

How does background plasma affect gradient limits?

Non-Debye, non-LTE plasma interactions with materials.

### Conclusions

We feel we can calculate all aspects of arc properties in a realistic way. We have produced many predictions We are working on many aspects of the problem Some problems are very difficult (3D PIC /w B fields)

We feel that we can predict any quantity with useful precision. We believe our methods have very general applicability.

Vacuum arcs and gradient limits seem to be considered an insolvable problem. Little interest, little support History (SSC, NLC, ILC) implies we need to know how things work. There seem to be different ideas, we should have vigorous debate. If pulse heating is real, Vacuum arcs must be the damage mechanism