Rare B Decays *Tom Browder (University of Hawaii)* Introduction, Motivation and History Trees and Penguins/ Experimental Techniques Gluonic, Photonic and Electroweak Penguins

Mysteries and Open questions.

(Examples taken from CLEO, Belle and BaBar)



## Motivation/History

Experiment: The decay  $K_L \rightarrow \mu^+ \mu^-$  not observed. But in a world with three quarks, (u, s, and d) with Cabibbo mixing, there are large s $\rightarrow$ d transitions.

$$d_{c} = d \cos \theta_{c} + s \sin \theta_{c}$$

$$J_{NC}^{0} = u \overline{u} + d_{c} \overline{d_{c}} + s_{c} \overline{s_{c}}$$

$$= u \overline{u} + d \overline{d} \cos^{2} \theta_{c} + s \overline{s} \sin^{2} \theta_{c}$$

$$+ (s \overline{d} + s \overline{d}) \cos \theta_{c} \sin \theta_{c}$$

In 1970 Glashow, Iliopoulos and Maiani (GIM) introduced the c quark to cancel the s $\rightarrow$ d transitions.

$$\begin{pmatrix} u \\ d_c \end{pmatrix}, \begin{pmatrix} c \\ s_c \end{pmatrix} \qquad d_c = d\cos\theta_c + s\sin\theta_c \qquad J_{NC}^0 = u\overline{u} + d_c\overline{d_c} + s_c\overline{s_c} + c\overline{c}$$
$$= u\overline{u} + c\overline{c} + (d\overline{d} + s\overline{s})(\cos^2\theta_c + \sin^2\theta_c)$$
$$+ (s\overline{d} + s\overline{d} - s\overline{d} - s\overline{d})\cos\theta_c\sin\theta_c$$
$$= u\overline{u} + c\overline{c} + d\overline{d} + s\overline{s}$$

**No FCNC at first order**, but possible as higher order corrections! For example,  $K^0 - K^0$ bar mixing:



•Measured rate of transition allowed prediction of m<sub>c</sub>!

Later, accelerators and experimenters were able to directly produce the charm quark. In 1974 Richter and Ting observed the  $J/\psi$ , a (c cbar) bound state.

Rare decays at low energies led the way to **new physics** of higher energies.

Is this an anomalous example?

Other examples: The absence of large FCNC in *B* decays. Ruled out "topless" models (with no t quark). Large  $B^0$  mixing from ARGUS  $\rightarrow m_t$  large

#### Feynman diagrams for B decay



## Dominant Feynman diagrams for $B^0 \rightarrow K^- \pi^+$ , $\pi^- \pi^+$ decays



*Warning*: *EWP*(*electroweak penguins*) and *FSI* (final state interactions) may greatly complicate this simple picture.



History of "Penguins"



Ref: Preface to Shifman's 1999 book, ITEP Lectures on Particle Physics and Field Theory, John Ellis recalls how the gluon interference diagram came to be called a penguin diagram.

One night in spring 1977, Ellis lost a bet during a game of darts. His penalty required that he use the word "penguin" in a journal article. "For some time, it was not clear to me how to get the word into this b quark paper that we were writing at the time," Ellis wrote.

"Then, one evening I stopped on my way

back to my apartment to visit some friends living in Meyrin, where I smoked some illegal substance. *Later, when I got back to my apartment and continued working on our paper, I had a sudden flash that the famous diagrams looked like penguins.* 

So we put the name into our paper, and the rest, as they say, is history."

Hierarchy of diagrams for  $B \rightarrow K \pi$ ,  $\pi \pi$  decays





Possibility of tree-penguin interference.

# **Direct CPV asymmetries**

• Asymmetry in B decay rates

$$\begin{split} A_{dir} &\equiv \frac{\Gamma(\overline{B} \to \overline{f}) - \Gamma(B \to f)}{\Gamma(\overline{B} \to \overline{f}) + \Gamma(B \to f)} \\ &= \frac{2r \sin \phi \sin \delta}{1 + r^2 + 2r \cos \phi \cos \delta} \\ r &= |P| / |T|, \phi = weak \ phase \ diff \\ \delta = strong \ phase \ diff \end{split}$$

 The direct CP asymmetry (A<sub>dir</sub>) can be significant if the penguin (P) and tree(T) amplitudes are comparable and if both strong (CP conserving) and weak phase differences (CPV) are present.

#### Another example: The penguin decay $B^{\pm} \rightarrow K_s \pi^{\pm}$



Expect little or no CPV asymmetry in the SM.

Use measurements of this mode to determine |P|

Overview of experimental techniques used for measurements of  $B \rightarrow h$  h decay modes.

Kinematic variables

Continuum suppression

Particle Identification

Yield extraction and fitting

Examples of signals and results.

$$\Delta E \equiv E_{\pi} + E_{\pi} - E_{CM}/2$$

$$m_{bc} = \sqrt{(E_{CM}/2)^{2} - (\vec{p}_{\pi} + \vec{p}_{\pi})^{2}}$$

$$B^{0} \rightarrow h^{+} h^{-} \text{ modes:}$$

$$(K^{+} \pi^{-}, \pi^{-} \pi^{+})$$

$$B^{\pm} \rightarrow h^{\pm} \pi^{0} \text{ modes:}$$

$$(K^{\pm} \pi^{0}, \pi^{\pm} \pi^{0})$$

$$B^{\pm} \rightarrow h^{\pm} \pi^{0} \text{ modes:}$$

$$(K^{\pm} \pi^{0}, \pi^{\pm} \pi^{0})$$

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$$(K^{\pm} \pi^{0}, \pi^{\pm} \pi^{0})$$

$$B^{\pm} \rightarrow h^{\pm} \pi^{0} \text{ modes:}$$

$$(K^{\pm} \pi^{0}, \pi^{\pm} \pi^{0})$$

# Continuum background problem continuum means $e^+e^- \rightarrow q$ qbar with q=u,d,s,c



# Continuum suppression (Idea)



Collimated, jetlike

 $e^+e^- \rightarrow Y(4S) \rightarrow BB$ Small energy release *spherical* 

# Variables for continuum suppression



## Continuum suppression (Babar)



Fisher Discriminant

0

2

-2

-1

#### Continuum suppression (Belle)



#### Yield Extraction and Fitting

# Fit $\Delta E$ distribution or $M_{bc}$ distribution or 2-d fit to $\Delta E$ and $M_{bc}$ .

Multidimensional likelihood fit to  $\Delta E$ ,  $M_{bc}$ , shape variables, PID variables.

B Bbar backgrounds that peak in  $M_B$  and correlations require special care.

### 1993: CLEO II Signal for combined K<sup>-</sup> $\pi^+ + \pi^- \pi^+$



Particle identification -  $\theta_{c}(Babar)$ DIRC  $\theta_{c}$  mean and resolution parameterized from data using  $D^{*+} \rightarrow D^{0}\pi^{+} \rightarrow (K^{-}\pi^{+})\pi^{+}$  decays



# Particle Identification (Belle)







## Example of a $B^0 \rightarrow \pi^+\pi^-$ event (Belle)





#### 2002 BaBar: Exclusive $B \rightarrow \pi^+ \pi^-$ and $K^+ \pi^-$ data



 $BF(B^0 \rightarrow K^+ \pi) = (17.9 \pm 0.9 \pm 0.7) \times 10^{-6} ; BF(B^0 \rightarrow \pi^+ \pi) = (4.7 \pm 0.6 \pm 0.2) \times 10^{-6}$ 

#### Compare rare decay rates to determine $\varphi_3(\gamma)$



The ratio of widths  $\tau^+ / \tau^0 K^+ \pi^-/K^0 \pi^+ = 1.27 \pm 0.22 \pm 0.11$  (Belle)

### Example of theory expectations for BFs vs $\phi_3(\gamma)$



#### Determination of $\varphi_3(\gamma)$ from B $\rightarrow$ h h

Fleischer, Mannel (98) Gronau, Rosner, London (94, 98) Neubert, Rosner (98) Buras, Fleischer (98) Beneke, Buchalla, Neubert, Sachrajda (01) Keum, Li, Sanda (01) Ciuchini et al. (01) ...and many more!

Theoretical issues (lectures by Ligeti and Kagan):

SU(3) breaking

Rescattering (FSI) !!!

EW penguins

Corrections to Factorization...

## 2002: $B \rightarrow \pi^+ \pi^-$ and $B^{\pm} \rightarrow \pi^{\pm} \pi^0$ (Belle)



{BaBar (prelim):  $0.42 \pm 0.10$ }







FIG. 2. The  $K^*\gamma$  mass distributions for  $B^0 \to K^{*0}\gamma$ ;  $B^- \to K^{*-}\gamma$ ,  $K^{*-} \to K_S^0\pi^-$ ; and  $B^- \to K^{*-}\gamma$ ,  $K^{*-} \to K^-\pi^0$  candidates.

M(K<sup>\*</sup>y)(GeV)

5.240 5.260

2

5.200 5.220

<b>TABLE 1.</b> Summary of results for $B \to R^{-\gamma}$ .			
······································	$B^0 \rightarrow K^{*0} \gamma$	$B^- \rightarrow K^{*-}\gamma$	
	$K^{*0} \rightarrow K^+ \pi^-$	$K^{*-} \rightarrow K_S^0 \pi^-$	$K^{\star-} \rightarrow K^- \pi^0$
Signal events	8	2	3
Sideband events	41	2	10
Sideband scale factor	37.6	40	12
Sideband background	$1.1 \pm 0.2$	$0.05 \pm 0.03$	$0.8 \pm 0.3$
Binomial probability	$3.5 \times 10^{-5}$	$3.7 \times 10^{-3}$	$7.3 \times 10^{-2}$
Residual $B\overline{B}$ background	$0.30 \pm 0.15$	$0.01 \pm 0.01$	$0.10 \pm 0.05$
Efficiency	$(11.9 \pm 1.8)\%$	$(2.0\pm0.3)\%$	$(3.1\pm0.5)\%$
Branching ratio	$(4.0\pm1.7\pm0.8)\times10^{-5}$	$(5.7 \pm 3.1 \pm$	1.1)×10 <sup>-5</sup>

TABLE I. Summary of results for  $B \rightarrow K^* \gamma$ .

5.280

5.300

#### Babar 2001



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Theory (Bosch et al) B \rightarrow K^* \gamma
~ 7.1 x 10<sup>-5</sup>
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**FIGURE 3.** Signals in the  $B \to K^* \gamma$  analysis where the  $K^*$  is reconstructed in the modes a)  $K^+ \pi^-$ , b)  $K^+ \pi^0$ , c)  $K_S^0 \pi^0$ , and d)  $K_S^0 \pi^+$ .

Note calorimeter energy leakage in dE distribution.



**FIGURE 4.** The  $\Delta E$  (left) and  $m_{K\pi}$  (right) distributions in the  $K^+\pi^-$  mode in the  $B \to K^*\gamma$  analysis.

### Backgrounds for inclusive $b \rightarrow s \gamma$



Figure 34. Examples of idealized event shapes. The straight lines indicate hadrons and the wavy lines photons.



✤MUST suppress continuum.

✤MUST subtract continuum.

To push spectrum down below 2.2 GeV, must handle backgrounds from other B decay processes. Large theory uncertainties in exclusive modes; inclusive modes can be calculated. How to suppress backgrounds in inclusive  $b \rightarrow s \gamma$  decay ?

B reconstruction method: K<sup>-</sup> (n π) γ where n<=4 and at most 1  $\pi^0$ . Resolve multiple entries.

Event shape: Neural net or Fisher discriminant from event shape variables e.g. energies in cones.

Event shape+lepton tag.

# CLEO 1994: Inclusive $b \rightarrow s \gamma$ from the B reconstruction method. (3 fb<sup>-1</sup>)



## CLEO 1994: $M_{Xs}$ spectrum for inclusive b $\rightarrow$ s $\gamma$ from the B reconstruction method.



 $B \rightarrow K^* \gamma$  (consistent)

# CLEO 1994: Inclusive $b \rightarrow s \gamma$ from the neural net method.



### BABAR 2002: Inclusive $b \rightarrow s \gamma$ with a high momentum lepton tag



Figure 2: Left: The reconstructed  $E_{\gamma}^*$  distribution expected from Monte Carlo simulation after the selection criteria. The  $B \to X_s \gamma$  signal assuming  $\mathcal{B}(B \to X_s \gamma) = 3.45 \times 10^{-4}$  (dark shading),  $B\overline{B}$  background (grey shading) and continuum background (unshaded) are normalized to 54.6 fb<sup>-1</sup>. Right: The generated  $E_{\gamma}^*$  spectrum before cuts (arbitrary normalization) for different values of the b quark mass  $m_b$ , using the model of Kagan and Neubert [1]. Our signal region is defined for the corresponding reconstructed quantity as  $2.1 < E_{\gamma}^* < 2.7$  GeV.

## Over 500 SPIRES references to constraints on extensions of the SM from $b \rightarrow s \gamma e.g.$ limits on charged Higgs mass



In the SM since dominant diagrams have the same weak phase,  $A_{cp}$ <0.5% is expected

$$-0.27 < A_{cp}(b \rightarrow s \gamma) < 0.10$$
 (CLEO)

Feynman diagrams for  $B \rightarrow X_s l^+ l^-$ 



Figure 1: Standard Model diagrams for the decays  $B \to K^{(*)} \ell^+ \ell^-$ .

As in b $\rightarrow$ s  $\gamma$ , heavy particles in the loops can be replaced with NP particles (e.g. W<sup>+</sup>  $\rightarrow$  H<sup>+</sup>)

Note contributions from virtual  $\gamma^*$ , W,  $Z^*$  and internal t quark.

#### Belle 2001: Observation of $B \rightarrow K l^+ l^-$



# Predicted distributions for $q^2 = M^2_{l+l}$



• Solid line + blue bands: SM range (  $\pm$  35%); Ali et al. form factors

- Dotted line: SUGRA model ( $R_7 = -1.2$ ,  $R_9 = 1.03$ ,  $R_{10} = 1$ )
- Long-short dashed line: SUSY model ( $R_7 = -0.83$ ,  $R_9 = 0.92$ ,  $R_{10} = 1.61$ )

### $m_{l+l}$ distributions for $B \rightarrow K l^+ l^-$

*Belle 2002* 



FIG. 5. The dilepton mass distributions for  $B \to K \ell^+ \ell^-$  candidates. The hatched histogram shows the data distribution while the open histogram shows the MC signal distribution.

*BaBar 2002* 



## Theoretical predictions: exclusive $b \rightarrow s 11$ modes

Authors	$\mathcal{B}(B \rightarrow K l^+ l^-)$	$\mathcal{B}(B{\rightarrow}K^*\mu^+\mu^-)$	$\mathcal{B}(B{\rightarrow}K^{*}e^{+}e^{-})$
	$/10^{-6}$	$/10^{-6}$	$/10^{-6}$
Ali et al. (2000)	$\rightarrow 0.57^{+0.17}_{-0.10}$	$1.9^{+0.5}_{-0.4}$	$\rightarrow 2.3^{+0.7}_{-0.5}$
Ali et al. (2001) [NNLO]	$\rightarrow 0.35 \pm 0.12$	$1.19\pm0.39$	$1.58\pm0.49$
Aliev et al. (1997)	$0.31\pm0.09$	1.4	
Colangelo et al. (1996)	0.3	1.0	
Faessler et al. (2002)	0.55	0.81	
Geng and Kao (1996)	0.5	1.4	
Melikhov et al. (1998)	0.44	1.15	1.50
Zhong <i>et al.</i> (2002)	$0.69\substack{+0.28\\-0.25}$	$1.98^{+0.66}_{-0.71}$	$2.01\substack{+0.65 \\ -0.73}$

 B(B→Kℓ<sup>+</sup>ℓ<sup>-</sup>) = dominant uncertainty: form factors (0.35 ± 0.11(form fac.) ± 0.04(µ<sub>b</sub>) ± 0.02(m<sub>t,pole</sub>) ± 0.0005(m<sub>c</sub>/m<sub>b</sub>)) × 10<sup>-6</sup> [Ali, Lunghi, Greub, Hiller, hep-ph/0112300, 2001]
 New calculations of QCD corrections predict too high a rate for B->K\*γ; the necessary adjustment of T<sub>1</sub> form factor lowers the prediction for B->K\*l<sup>+</sup>l<sup>-</sup>.

#### Belle 2002: Observation of inclusive $B \rightarrow X_s l^+ l^-$



 $BF(B \rightarrow X_{s} l^{+} l^{-}) = (6.1 \pm 1.4_{-1.1}) \times 10^{-6}$ 

#### Belle 2002: $M_{Xs}$ and $M_{11}$ distributions for $B \rightarrow X_s l^+ l^-$







## Sensitivity to new physics in $A_{FB} (B \rightarrow K^* l^+ l^-)$



# Mysteries of Rare B Decay

# Diagrams for $B \rightarrow \eta^{(\prime)} K^{(*)}$ decays

First seen by CLEO PRL 80:3710, (98)



Large rate for  $B \rightarrow \eta' X_s$  decays





## Summary of $B \rightarrow \eta$ `h data/theory

Mode	CLEO	BaBar	Belle
$\eta \prime K^+$	$80^{+10}_{-9}\pm7$	$70\pm8\pm5$	$77.9^{+6.2}_{-5.9}\pm9.0$
$\eta' K^0$	$89^{+18}_{-16}\pm9$	$42^{+13}_{-11} \pm 4$	$68.0^{+10.4}_{-9.6}\pm8.0$
$\eta \prime \pi^+$	< 12	$5.4^{+3.5}_{-2.6}\pm0.8$	_

*BFs in data are above theory predictions and (post)dictions.* 



# Signals for $B \rightarrow \eta K^*$ (Belle)

 $B^0 \rightarrow \eta K^{*0}$ 

 $B^+ \to \eta K^{*+}$ 



# $B \rightarrow \eta h, \eta K^*$ summary

Mode	CLEO	BaBar	Belle
$\eta K^{*+}$	$26.4^{+9.6}_{-8.2}\pm3.3$	$22.1^{+11.1}_{-9.2}\pm3.3$	$26.5^{+7.8}_{-7.0}\pm3.0$
$\eta K^{*0}$	$13.8^{+5.5}_{-4.6}\pm1.6$	$19.8^{+6.5}_{-5.6}\pm1.7$	$16.5^{+4.6}_{-4.2}\pm1.2$
$\eta K^+$	< 6.9	_	$5.3^{+1.8}_{-1.5}\pm0.6$
$\eta \pi^+$	< 5.7		$5.4^{+2.0}_{-1.7} \pm 0.6$



Data BFs for  $B \rightarrow \eta K^*$ modes are above theory predictions.

#### Example from Beneke et al (2002)

Mode	Naive Fact.	QCD Fact.	Exp. average
$B^- \to K^- \eta'$	13	$47^{+40}_{-19}$	$75.1 \pm 6.2$
$\overline{B}^0 \to \overline{K}^0 \eta'$	14	$47^{+38}_{-19}$	$61.0 \pm 12.5$
$B^- \to K^- \eta$	0.7	$1.3^{+1.4}_{-0.8}$	$5.3 \pm 1.8$
$\overline{B}^0 \to \overline{K}^0 \eta$	0.1	$0.5^{+1.0}_{-0.5}$	< 9.3
$B^- \to K^- \pi^0$	4.4	$9.4^{+7.3}_{-3.4}$	$11.5 \pm 1.3$
$\overline{B}{}^{0} \to \overline{K}{}^{0} \pi^{0}$	2.2	$6.4^{+6.1}_{-2.8}$	$8.8\pm2.2$
$B^- \to K^{*-} \eta'$	2.9	$3.3^{+8.7}_{-3.3}$	< 35
$\overline{B}^0 \to \overline{K}^{*0} \eta'$	1.6	$2.1^{+7.4}_{-2.1}$	< 24
$B^- \to K^{*-} \eta$	3.8	$9.3^{+16.6}_{-6.1}$	$25.4\pm5.3$
$\overline{B}^0 \to \overline{K}^{*0} \eta$	4.3	$10.4^{+17.3}_{-6.5}$	$16.4 \pm 3.0$
$B^- \rightarrow K^{*-} \pi^0$	1.7	$3.0^{+4.0}_{-1.4}$	_
$\overline{B}^0 \to \overline{K}^{*0} \pi^0$	0.2	$0.8^{+2.5}_{-0.6}$	_

Table 3: CP-averaged  $B \to K^{(*)}(\eta^{(\prime)}, \pi^0)$  branching fractions in units of  $10^{-6}$  in naive factorization and QCD factorization compared to experimental averages. Theoretical results are preliminary as explained in the text. All theoretical errors are strongly correlated.

#### **BABAR:** Confirmation of Large Inclusive $B \rightarrow \eta' X_s$





## $B \rightarrow \eta' K_s$ and $B \rightarrow \eta' K^{\pm}$ (Belle)





Search for phases from New Physics in a  $b \rightarrow s$ penguin loop decay.

> $S_{\eta'Ks} = 0.76 \pm 0.36 + 0.05$ -0.06



In the absence of New Physics,  $S_{\eta'Ks} = \sin(2 \phi_1)$ (a.k.a.  $\sin(2 \beta)$ )

Current WA: sin (2φ<sub>1</sub>)=0.731±0.055

#### Hunting for new physics phases in $b \rightarrow$ s penguins





#### Hunting for new phases in $b \rightarrow$ s penguins

 $B^0$  tags:



BABAR:  $\sin 2\beta_{eff} = -0.19 \pm 0.51 \pm 0.09$ Belle:  $\sin 2\beta_{eff} = -0.73 \pm 0.64 \pm 0.18$ WA  $\sin 2\beta_{eff} (\varphi K_S) = -0.39 \pm 0.41$ 

# *Example: new physics from SUSY with R parity violation*

Alkabha Datta (hep-ph/0208016)

Other examples given in Grossman, Isidori, and Worah.

> Also discussed by Kagan



#### Example of theory expectations for direct CPV



#### The Hunt for Direct CP violation



So far only seen in K decay at the  $O(10^{-6})$  level

# Mysteries and future work

Are there new physics effects in  $b \rightarrow s l^+ l^-$ 

Modes with  $\eta$  and  $\eta'$  mesons. Why are they anomalously large ? Do they also include anomalous CP violation ?

Are there new sources of CPV in penguins ?

How large is direct CP violation in B decay?