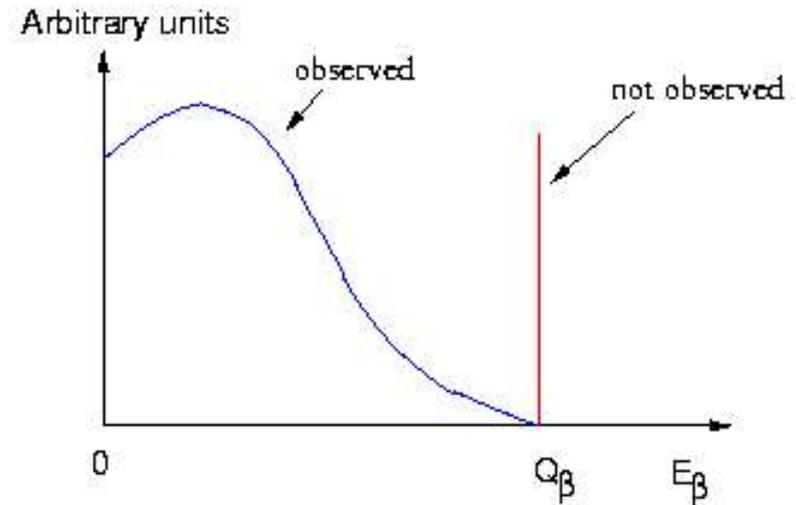
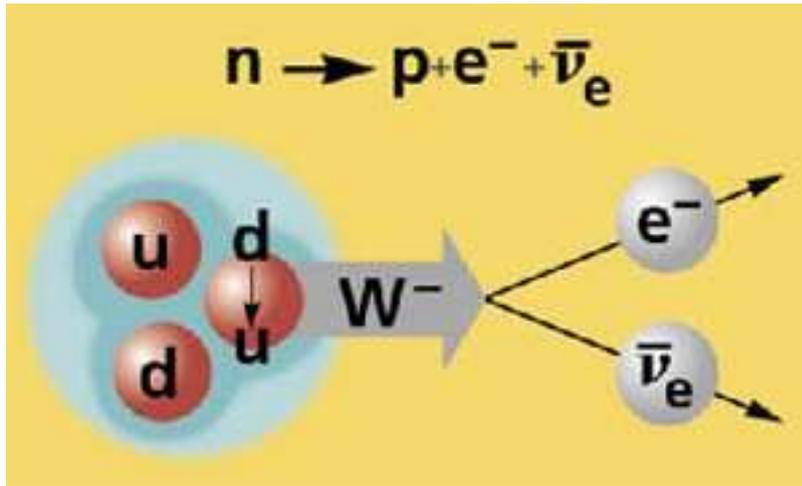


B. T. Fleming
SLAC Summer Institute
August 9th, 2007

MiniBooNE First Oscillation Results



The beginnings of the neutrino: Desperate Remedies



Bohr was ready to abandon Conservation of Energy to explain this missing energy phenomena until Pauli proposed this "desperate remedy": the neutrino

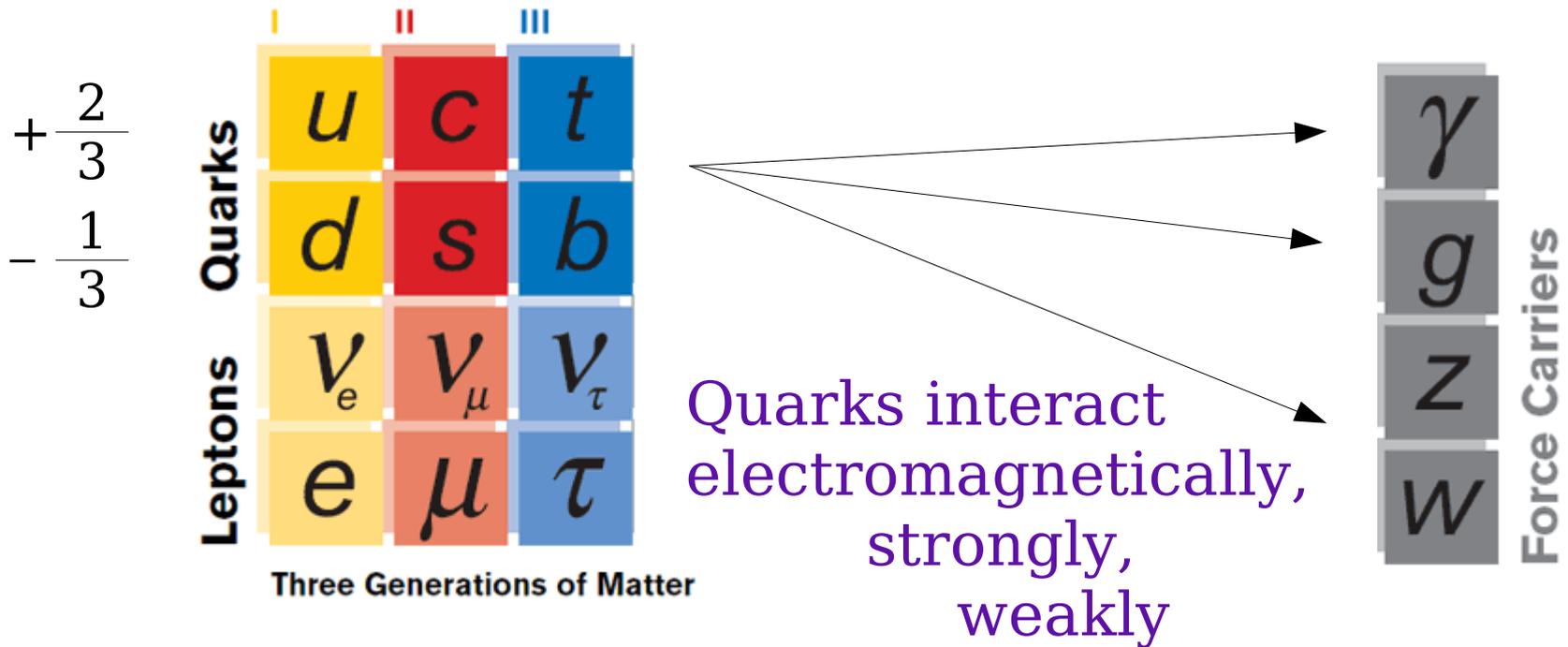


1930: Pauli
"...I have predicted something which shall never be detected experimentally!"

1956: Electron neutrino detected

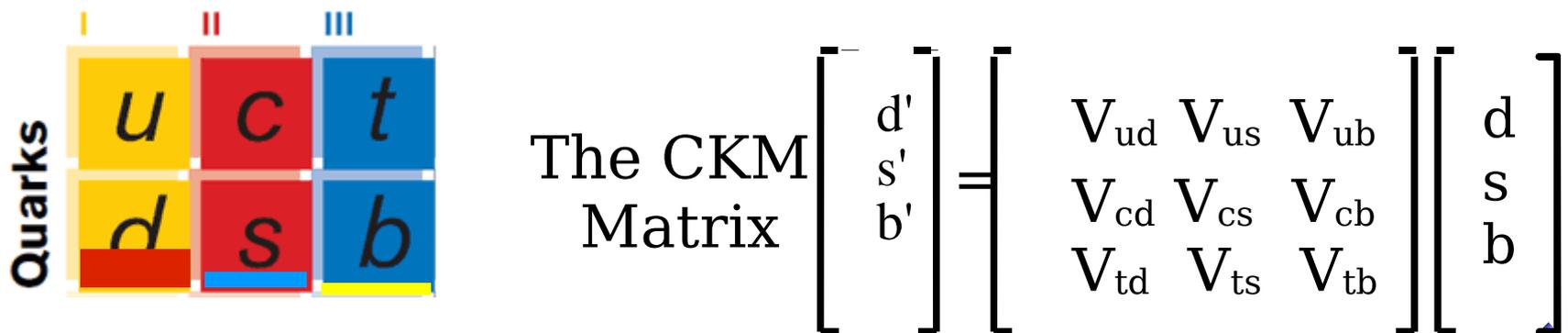
2007: Continually growing field of neutrino physics!

Neutrinos in the Standard Model

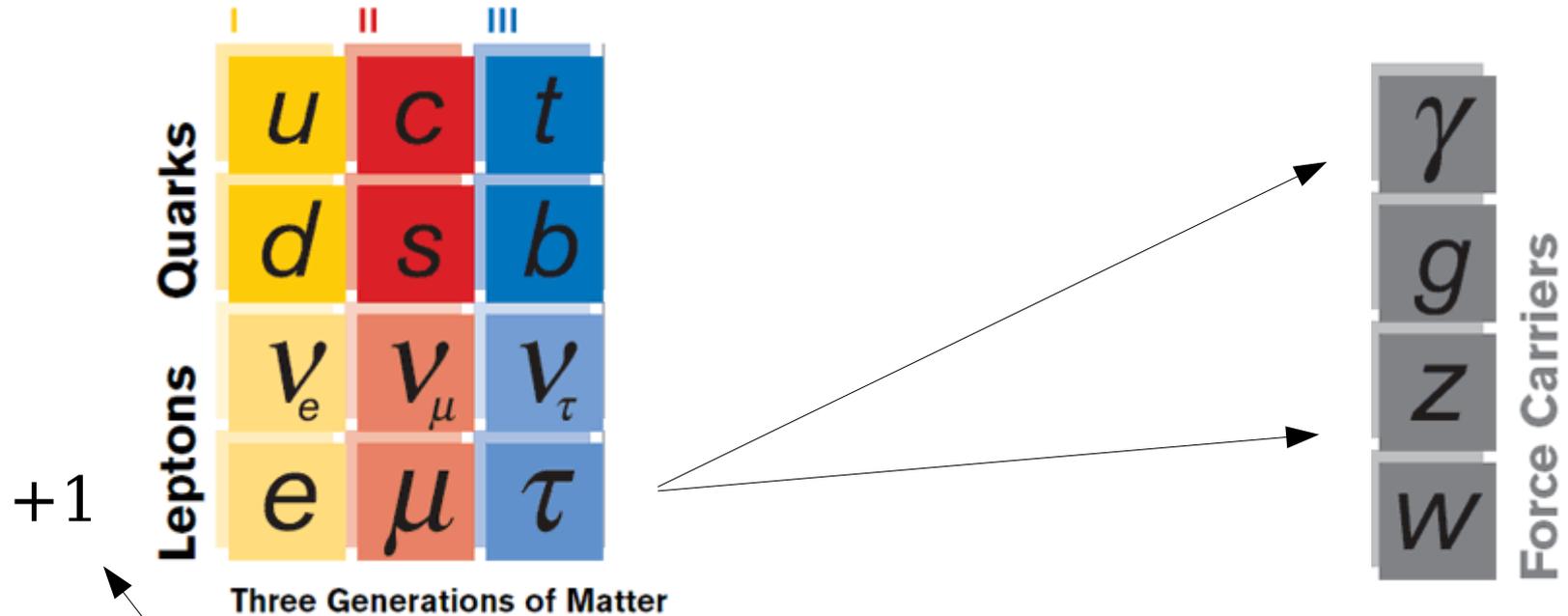


Quark masses range from ~ 1 MeV to 170 GeV

Quarks mix between their flavors

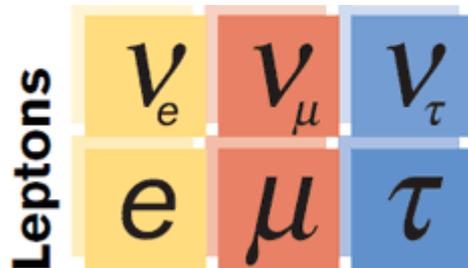


Neutrinos in the Standard Model



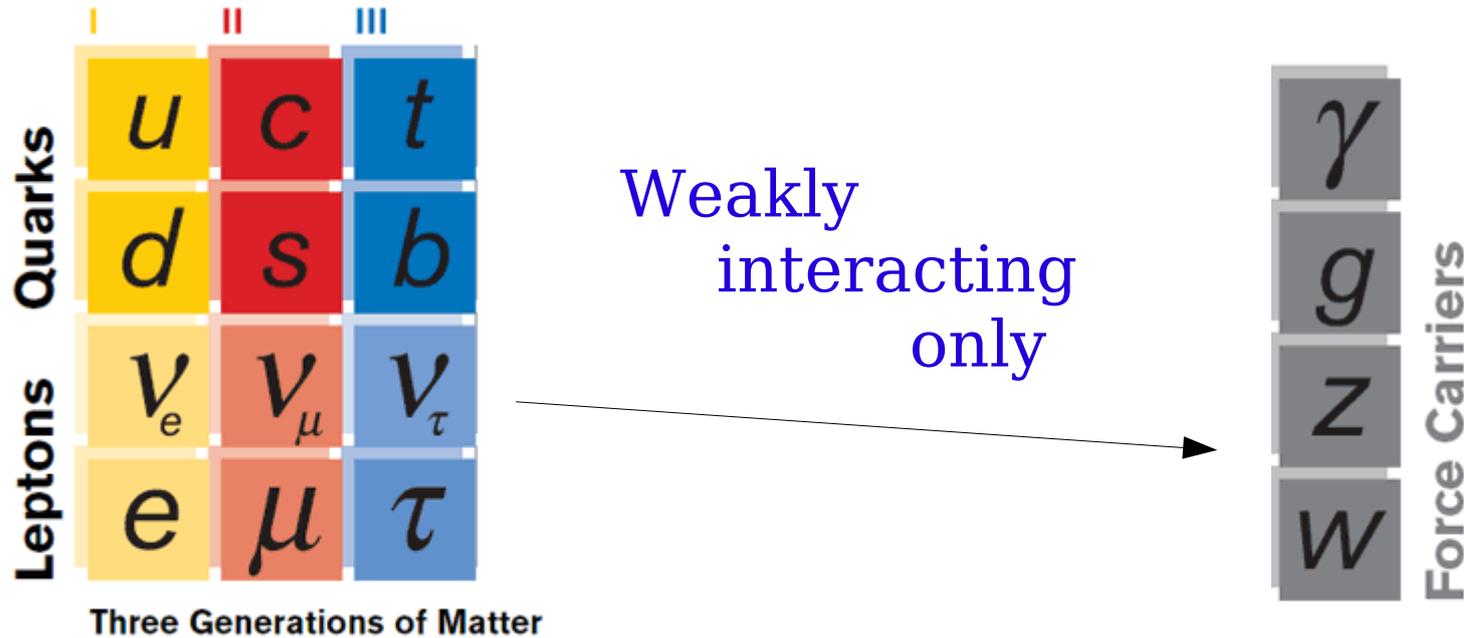
Charged leptons interact
electromagnetically and weakly

Charged leptons range in mass from
0.5 MeV to 1.7 GeV

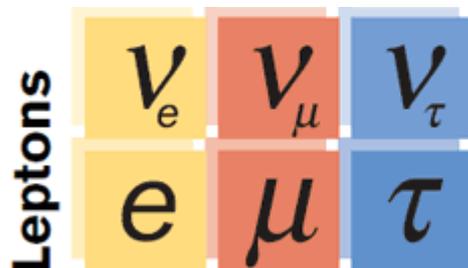


paired in doublets
with neutrinos

Neutrinos in the Standard Model



By comparison, we know relatively little about the neutrinos....



paired in doublets
with electrons
no charge
until recently....no mass?

Why have we known so little?

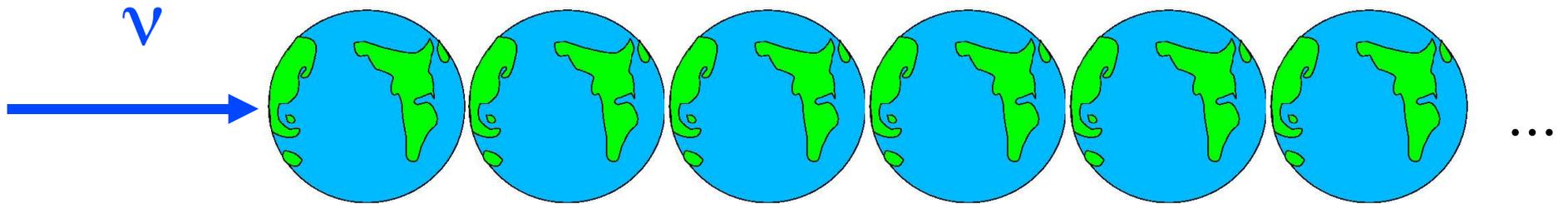
→ Took 26 years from Pauli just to see the neutrino

the weak force is **weak!**

neutrinos interact

100,000,000,000

times less often than quarks



A neutrino has a good chance of traveling through
200 earths before interacting at all!

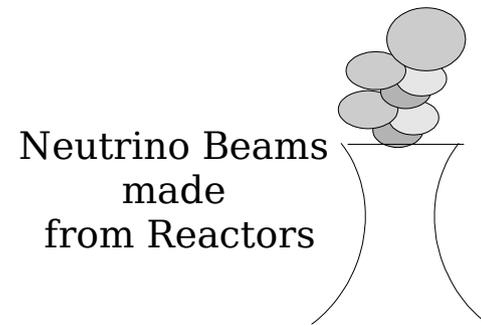
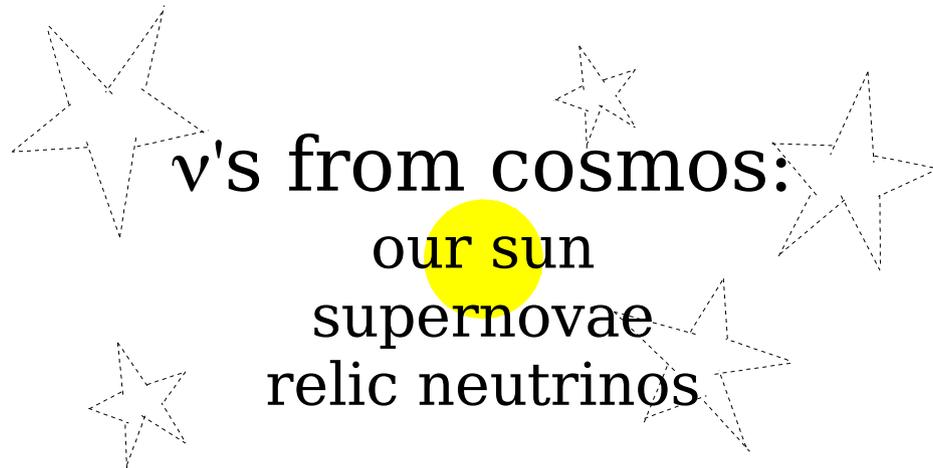
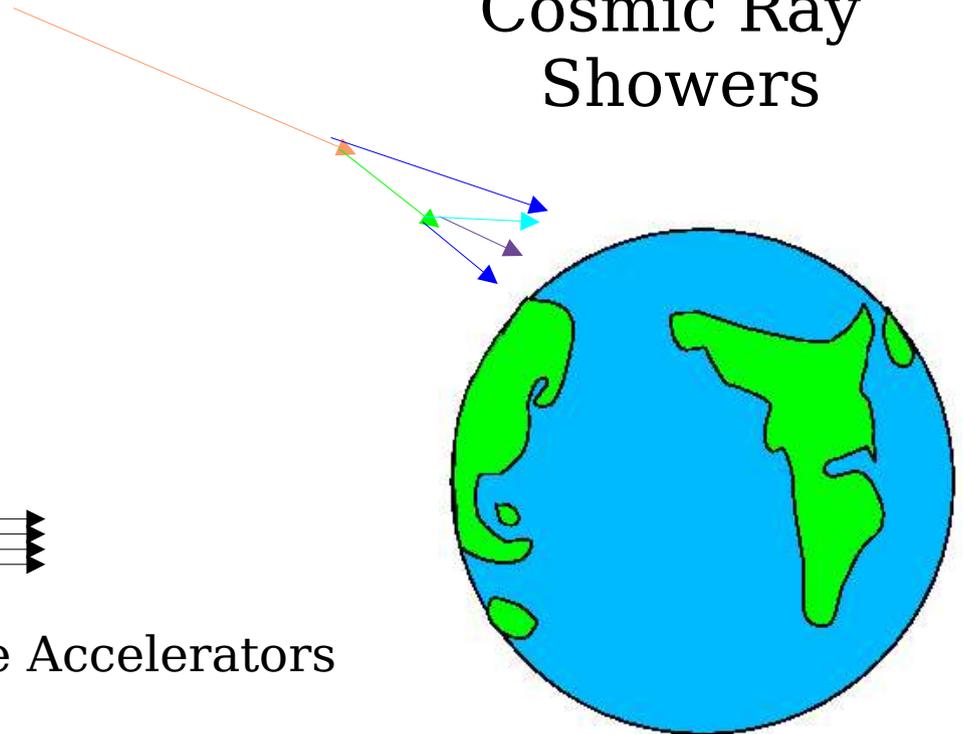
Fortunately, there are many sources of neutrinos from all over the universe!

Unfortunately, they are so small, they don't make up much of the universe



Neutrino Beams made from Particle Accelerators

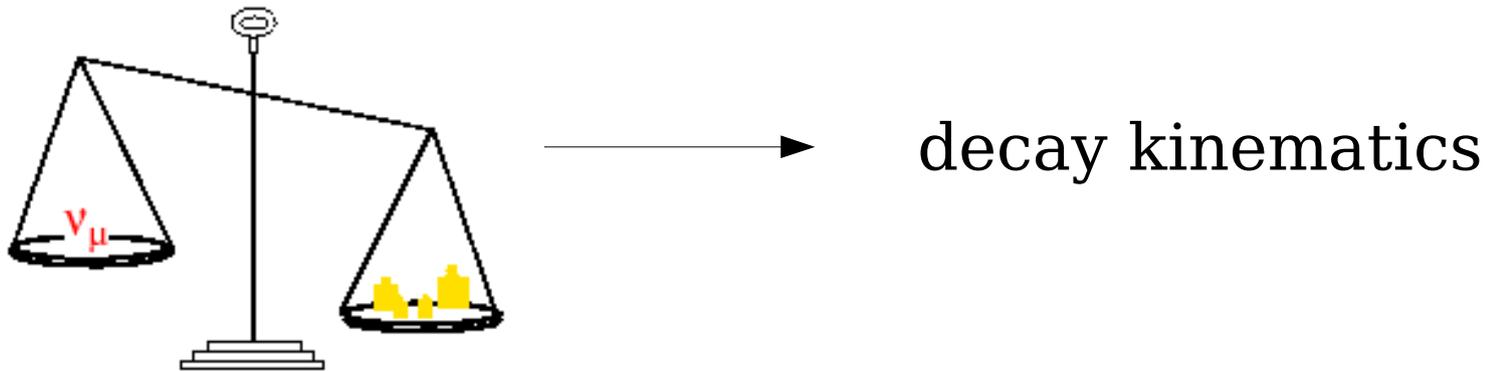
created in particle decay:
Cosmic Ray Showers



Neutrino Mass:

Mass is a fundamental property of particles

Took many years to demonstrate neutrinos have mass
So small -> Can't measure mass in the usual way...



What other behavior is associated with
mass?

A quantum mechanical effect called
Neutrino Oscillations

$$\nu_\mu \longrightarrow \nu_e$$

Neutrino Oscillations indication of mass:

If we postulate:

- Neutrinos have (different) masses
- The **Weak Eigenstate** is a mixture of **Mass Eigenstates**:

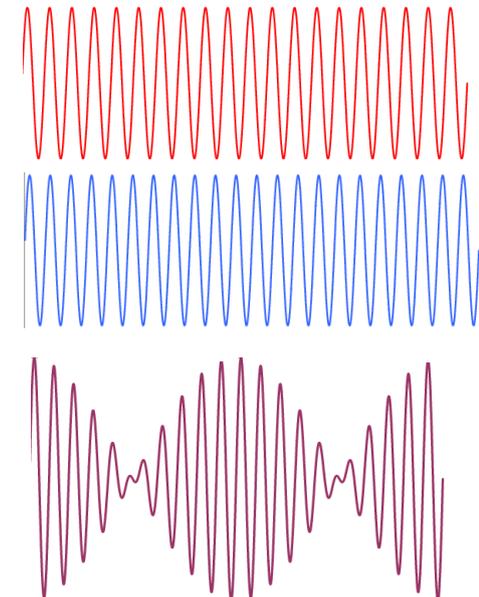
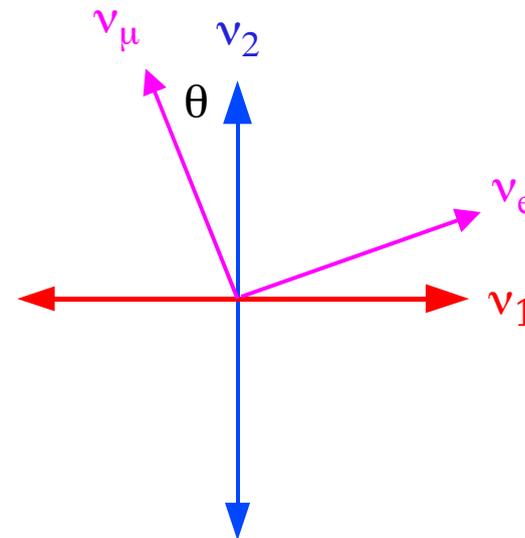
$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

Then a pure ν_μ beam at $t = 0$,
may evolve a ν_e component with time!

The Probability for Oscillations...

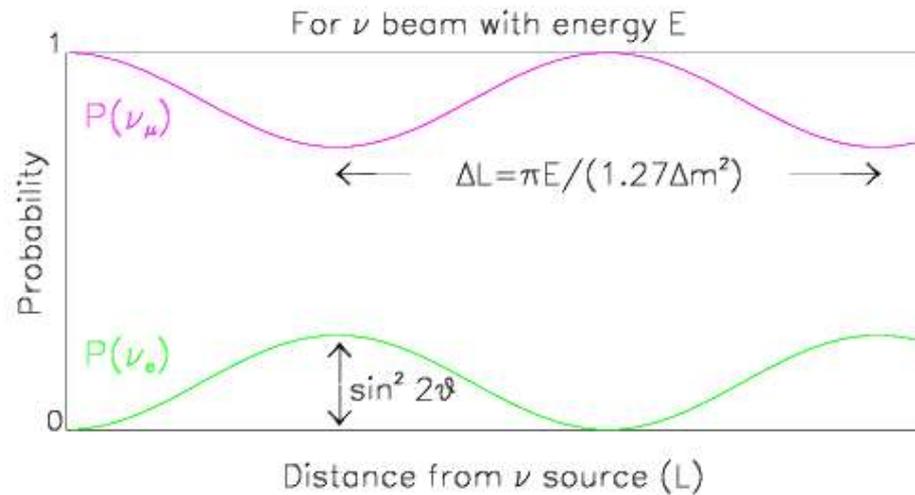
$$P_{osc} = \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

in 2 neutrino dimensions:



straightforward to extend to the 3 neutrino world...

$$P_{osc} = \sin^2 2\theta \sin^2(1.27\Delta m^2 L/E)$$

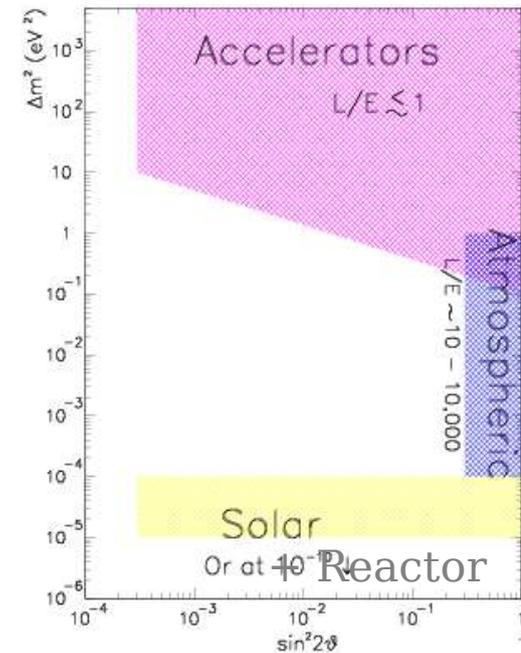


ν_μ disappearance

ν_e appearance

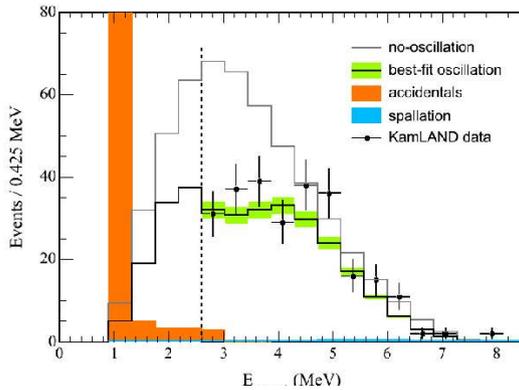
Oscillation Probability depends on:

- Two fundamental parameters
 - Δm^2
 - $\sin^2 2\theta$
- Two experimental parameters
 - L: distance from source to detector
 - E: Neutrino energy



Probe different oscillation parameters by changing L and E

Oscillation Landscape

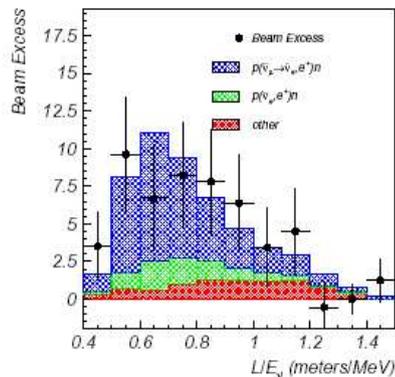
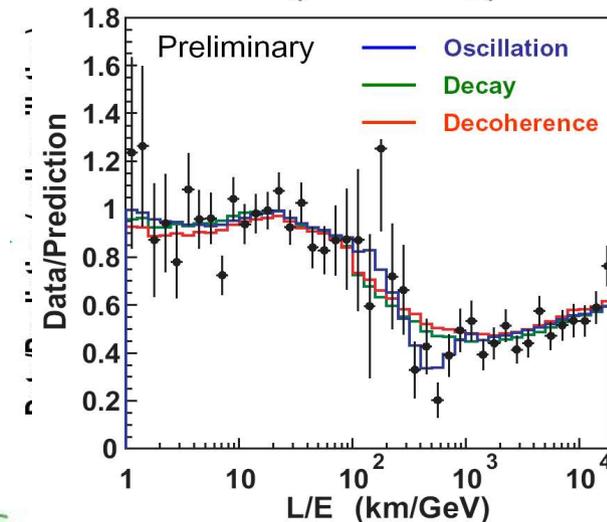


Solar Neutrino Oscillations

- Deficit of ν_e observed from Sun
Cl (Homestake), H₂O ((Super-)K), Ga (GALLEX, SAGE)
- Confirmation at SNO and KamLAND (reactor $\bar{\nu}_e$)

Atmospheric Neutrino Oscillations

- Zenith angle-dependent deficit of ν_μ :
Kamioka, Super-Kamiokande, Soudan, MACRO
- Confirmed by accelerator exp K2K; MINOS



LSND Neutrino Oscillations

- Excess of $\bar{\nu}_e$ in $\bar{\nu}_\mu$ beam produced from μ^+ decay-at-rest
- Unconfirmed by other experiments, but not excluded

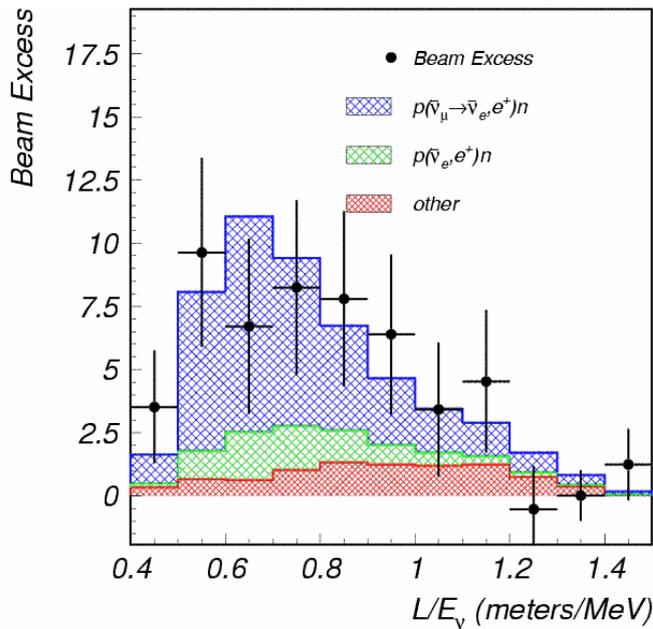
LSND anomaly:

an excess of $\bar{\nu}_e$ events in a $\bar{\nu}_\mu$ beam,

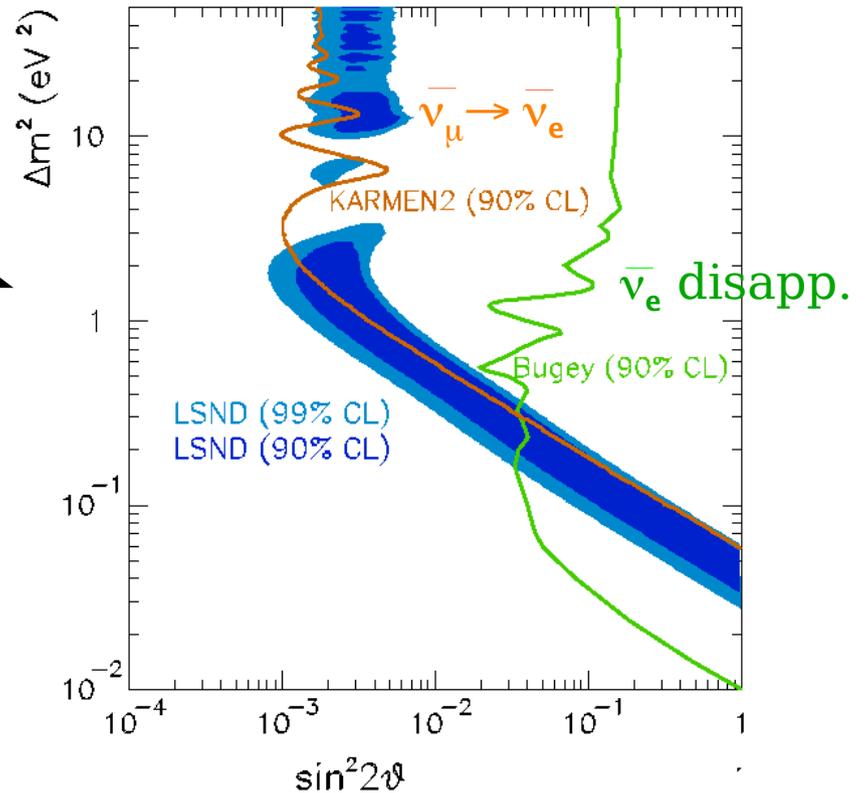
$$87.9 \pm 22.4 \pm 6.0 \quad (3.8\sigma)$$

which can be interpreted as $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ oscillations:

LSND Collab, PRD 64, 112007

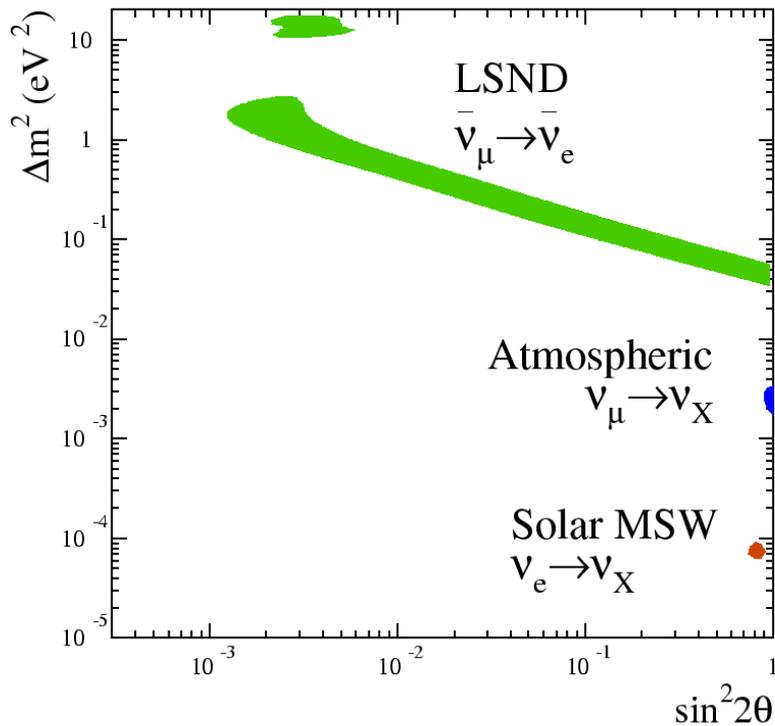


Points -- LSND data
 Signal (blue)
 Backgrounds (red, green)



In a simple 3 neutrino picture:

$$P_{osc} = \sin^2 2\theta \sin^2(1.27\Delta m^2 L/E)$$



There are too many signals!

ν_1 $\overline{\Delta m_{12}^2}$
 ν_2 $\overline{\Delta m_{23}^2}$ $\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$
 ν_3 $\overline{\quad}$

increasing (mass)²

The three oscillation signals cannot be reconciled without introducing Beyond Standard Model Physics

1) LSND is wrong?

Too many signals...

2) There are sterile neutrinos
 -possible....as crazy an idea as Pauli's *“desperate remedy”*

3+1 and 3+2 models

Then these are the main mixing matrix elements

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \\ \nu_{s'} \\ \vdots \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & U_{e5} & \dots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & U_{\mu5} & \dots \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & U_{\tau5} & \dots \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & U_{s5} & \dots \\ U_{s'1} & U_{s'2} & U_{s'3} & U_{s'4} & U_{s'5} & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \\ \nu_5 \\ \vdots \end{pmatrix}$$

4) Something else unexpected?

a) Background that has not been predicted

b) Other new physics: neutrinos in the bulk,
 neutrino decay....

MiniBooNE: address the LSND anomaly

——► look for ν_e appearance in a ν_μ beam at Fermilab

The MiniBooNE Collaboration

A. A. Aguilar-Arevalo, A. O. Bazarko, S. J. Brice, B. C. Brown,
L. Bugel, J. Cao, L. Coney, J. M. Conrad, D. C. Cox, A. Curioni,
Z. Djurcic, D. A. Finley, B. T. Fleming, R. Ford, F. G. Garcia,
G. T. Garvey, J. A. Green, C. Green, T. L. Hart, E. Hawker,
R. Imlay, R. A. Johnson, P. Kasper, T. Katori, T. Kobilarcik,
I. Kourbanis, S. Koutsoliotas, J. M. Link, Y. Liu, Y. Liu,
W. C. Louis, K. B. M. Mahn, W. Marsh, P. S. Martin, G. McGregor,
W. Metcalf, P. D. Meyers, F. Mills, G. B. Mills, J. Monroe,
C. D. Moore, R. H. Nelson, P. Nienaber, S. Ouedraogo,
R. B. Patterson, D. Perevalov, C. C. Polly, E. Prebys, J. L. Raaf,
H. Ray, B. P. Roe, A. D. Russell, V. Sandberg, R. Schirato,
D. Schmitz, M. H. Shaevitz, F. C. Shoemaker, D. Smith, M. Sorel,
P. Spentzouris, I. Stancu, R. J. Stefanski, M. Sung, H. A. Tanaka,
R. Tayloe, M. Tzanov, M. O. Wascko, R. Van de Water, D. H. White,
M. J. Wilking, H. J. Yang, G. P. Zeller, E. D. Zimmerman



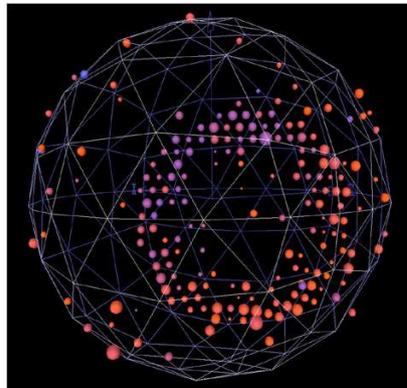
University of Alabama
Bucknell University
University of Cincinnati
University of Colorado
Columbia University
Embry Riddle University
Fermi National Accelerator Laboratory
Indiana University

Los Alamos National Laboratory
Louisiana State University
University of Michigan
Princeton University
Saint Mary's University of Minnesota
Virginia Polytechnic Institute
Western Illinois University
Yale University

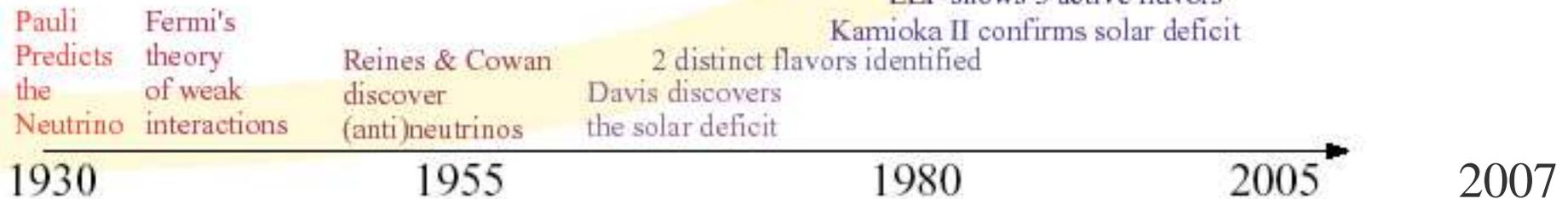
The New York Times

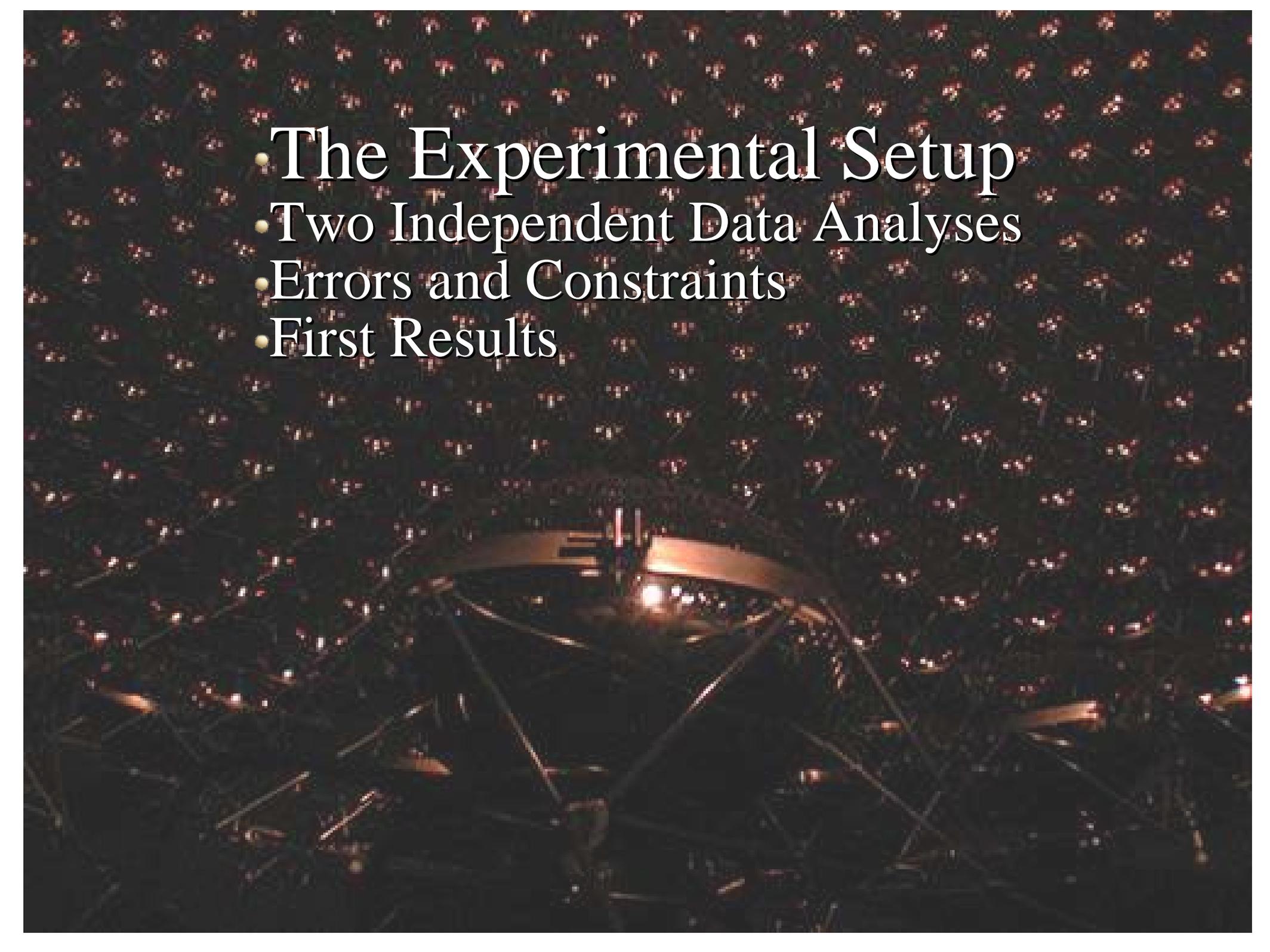
April 12, 2007

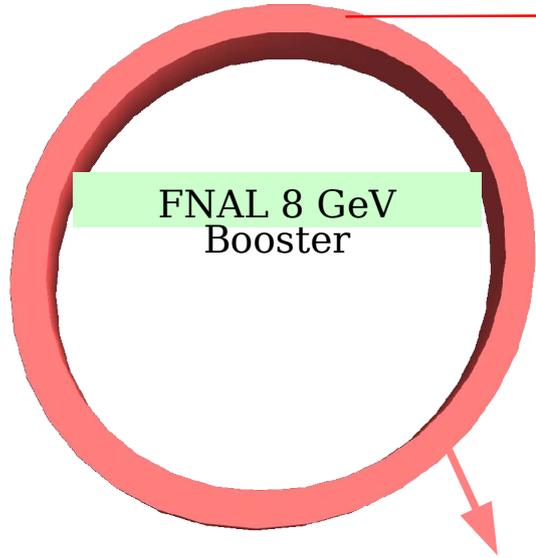
How Did the Universe Survive the Big Bang? In This Experiment, Clues Remain Elusive



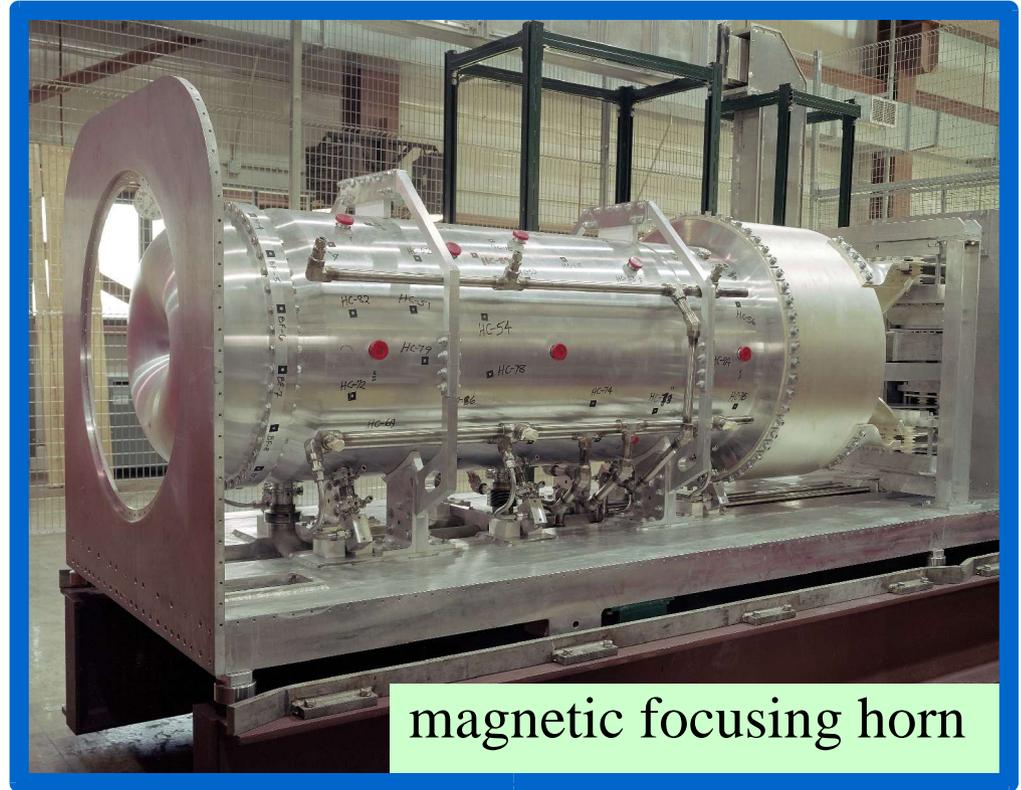
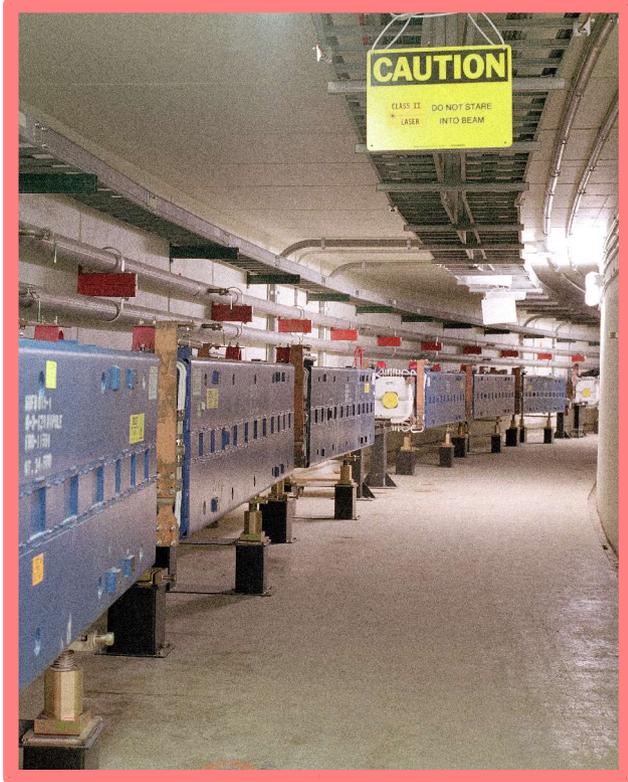
MiniBooNE's first results!



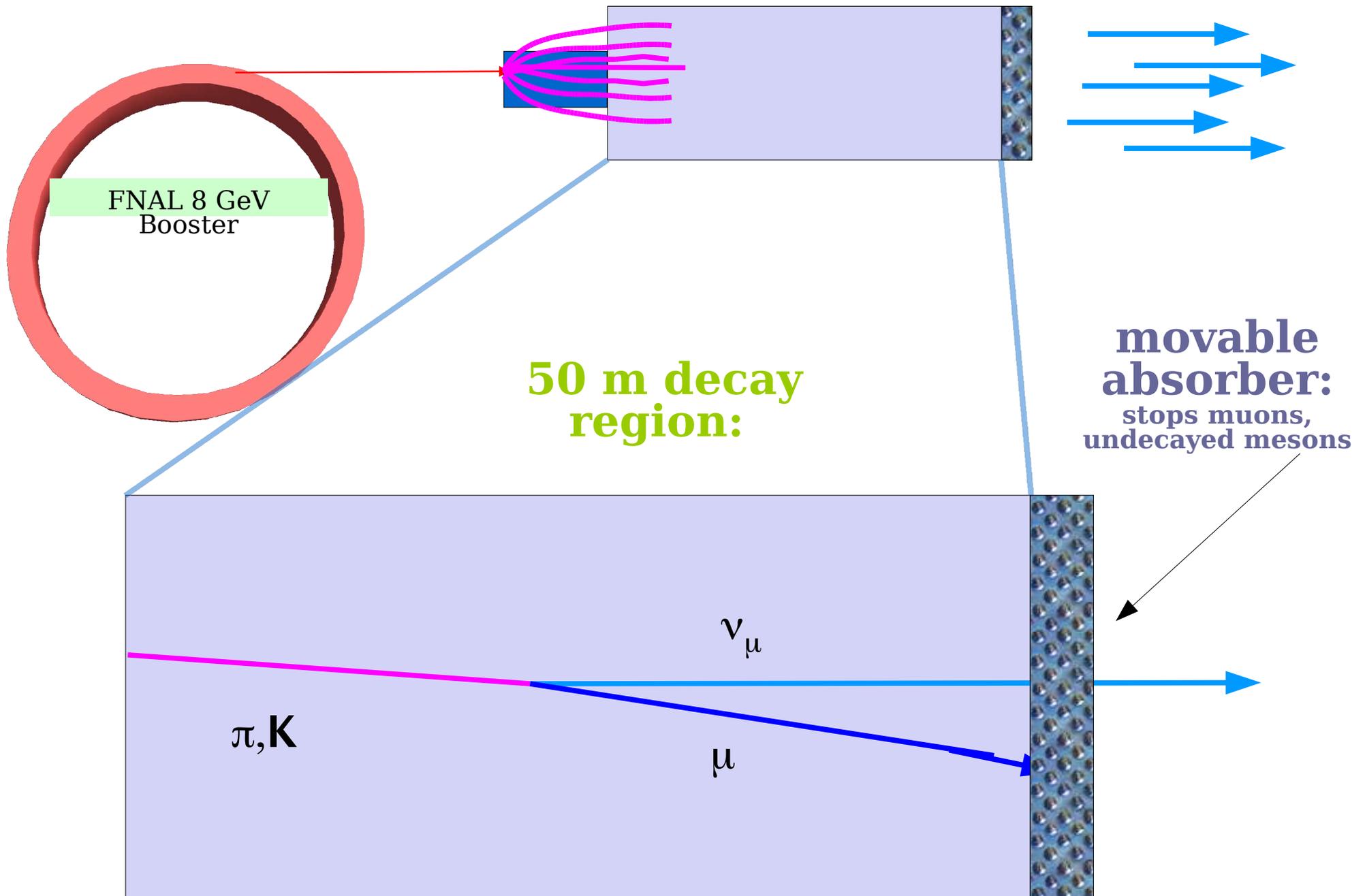
- 
- The Experimental Setup
 - Two Independent Data Analyses
 - Errors and Constraints
 - First Results

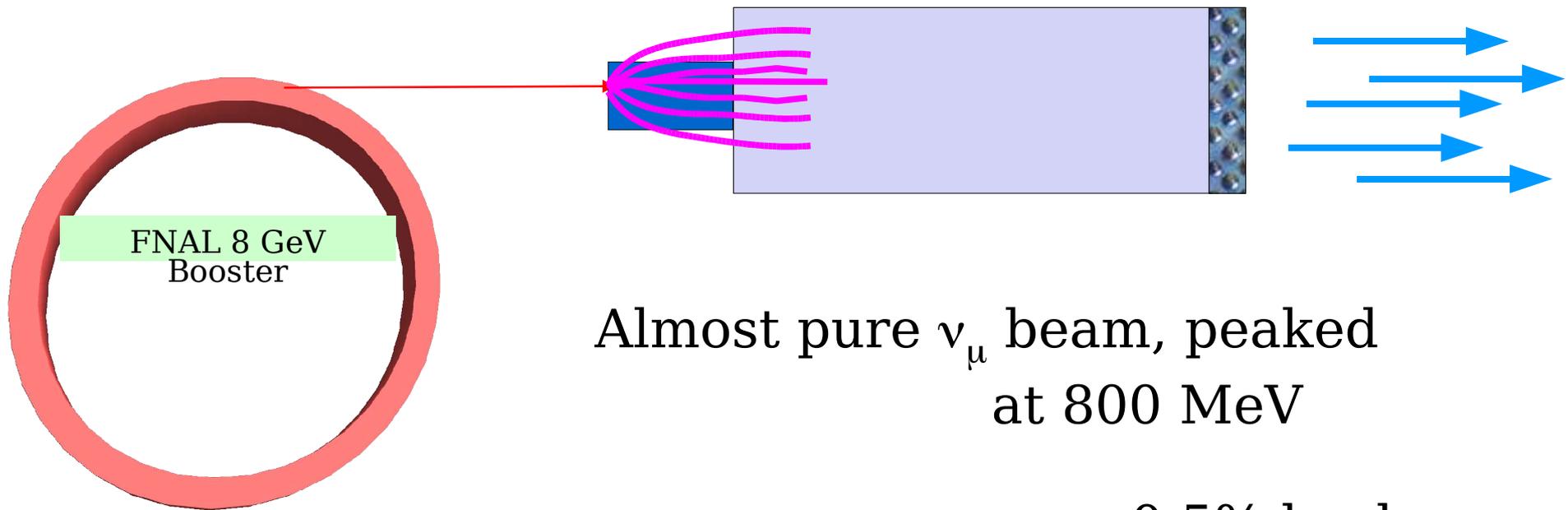


8 GeV protons on Beryllium Target
in a magnetically focusing horn

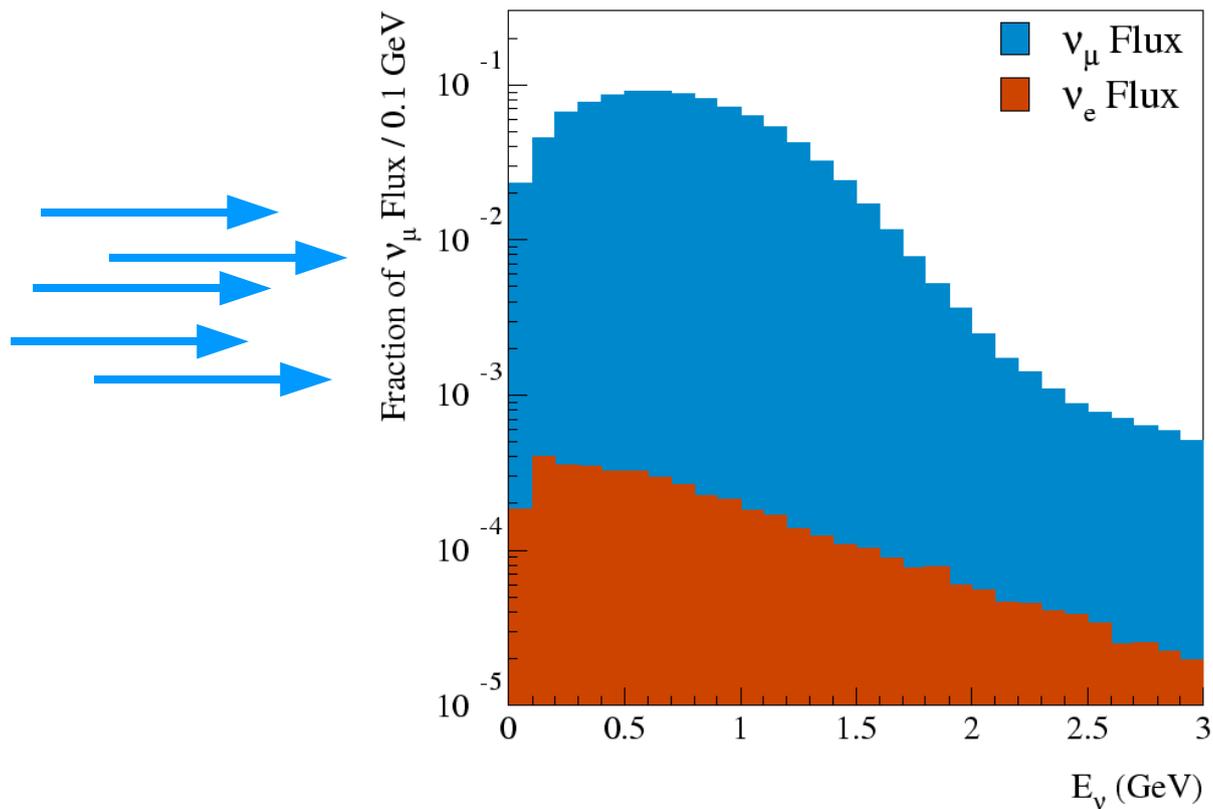


magnetic focusing horn

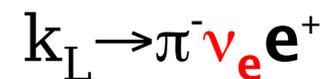
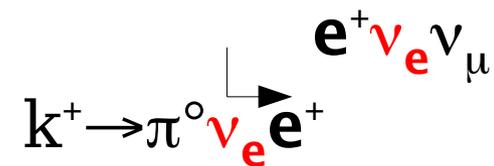
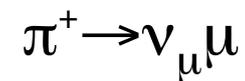


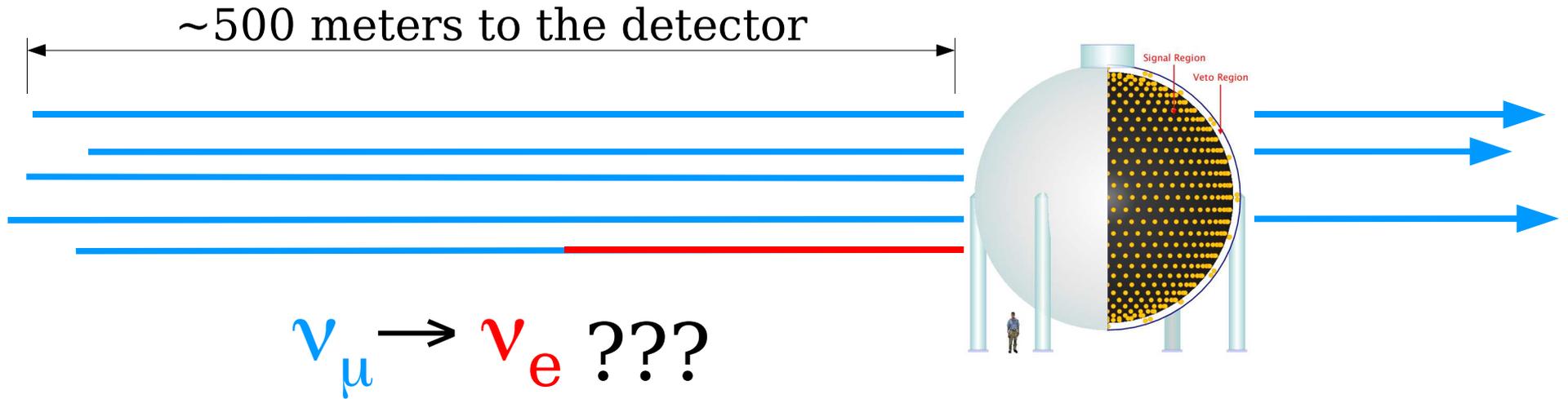


~0.5% background
“intrinsic” ν_e s

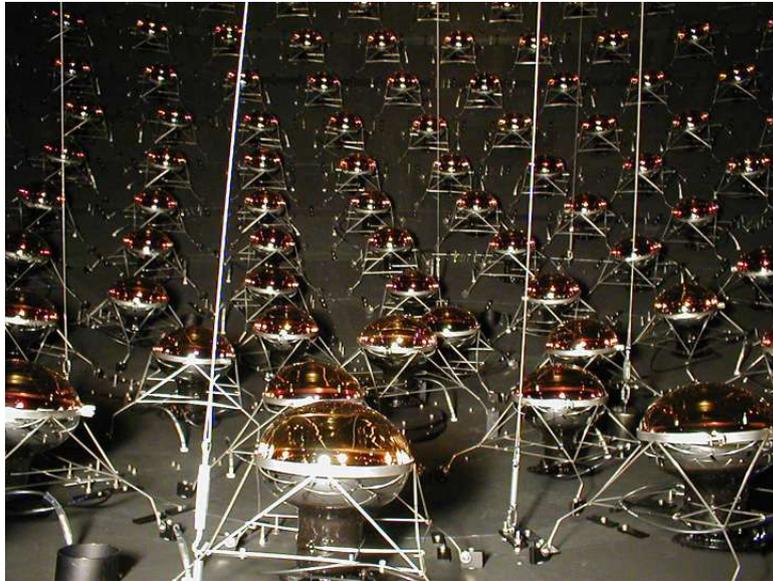


ν_e intrinsics:





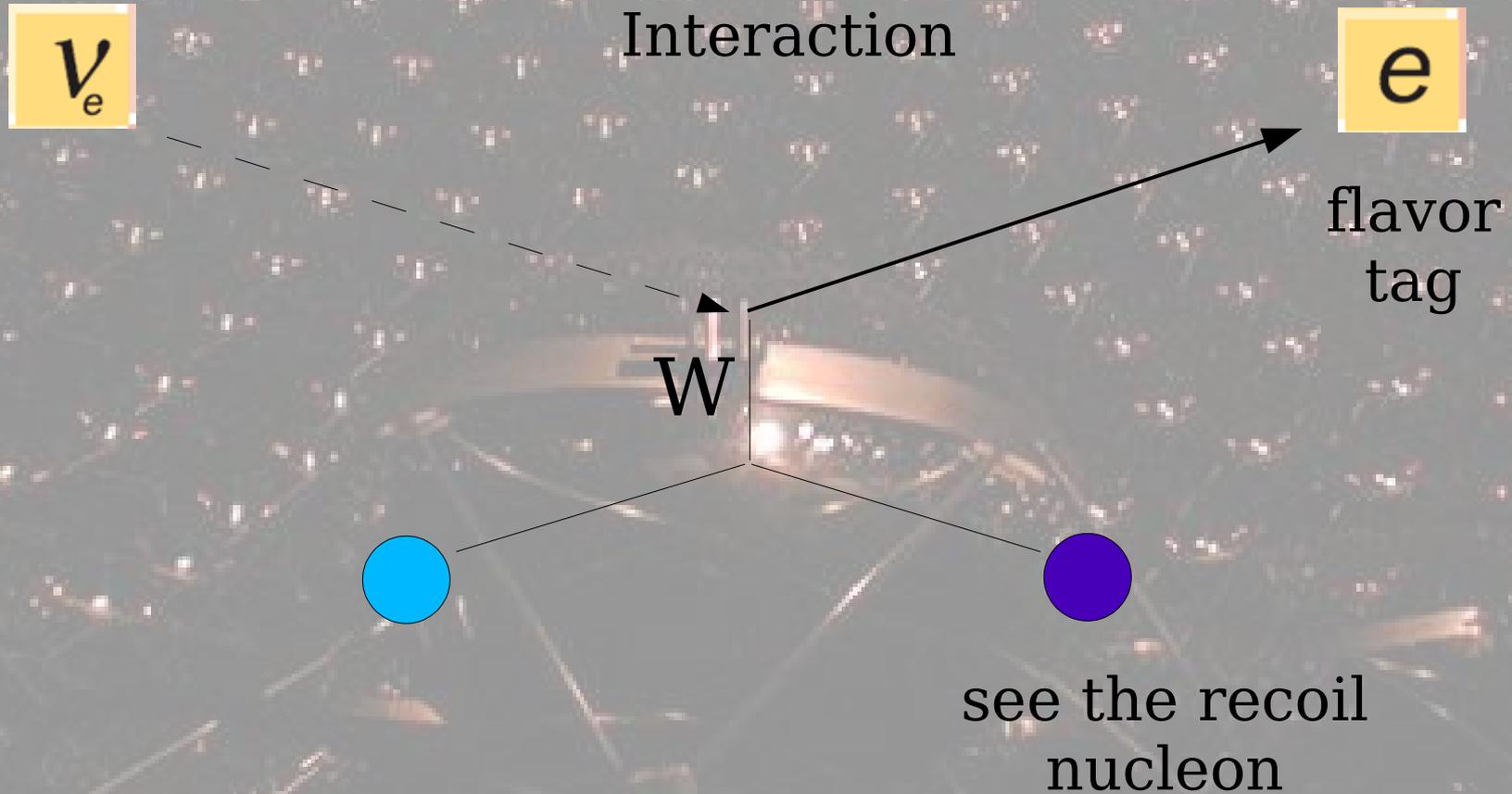
MiniBooNE
Detector



- 12m in diameter sphere
- 800 tons of
ultra pure mineral oil
- sphere-within-a-sphere
- light tight signal region
1280 PMTs
- veto region
240 PMTs

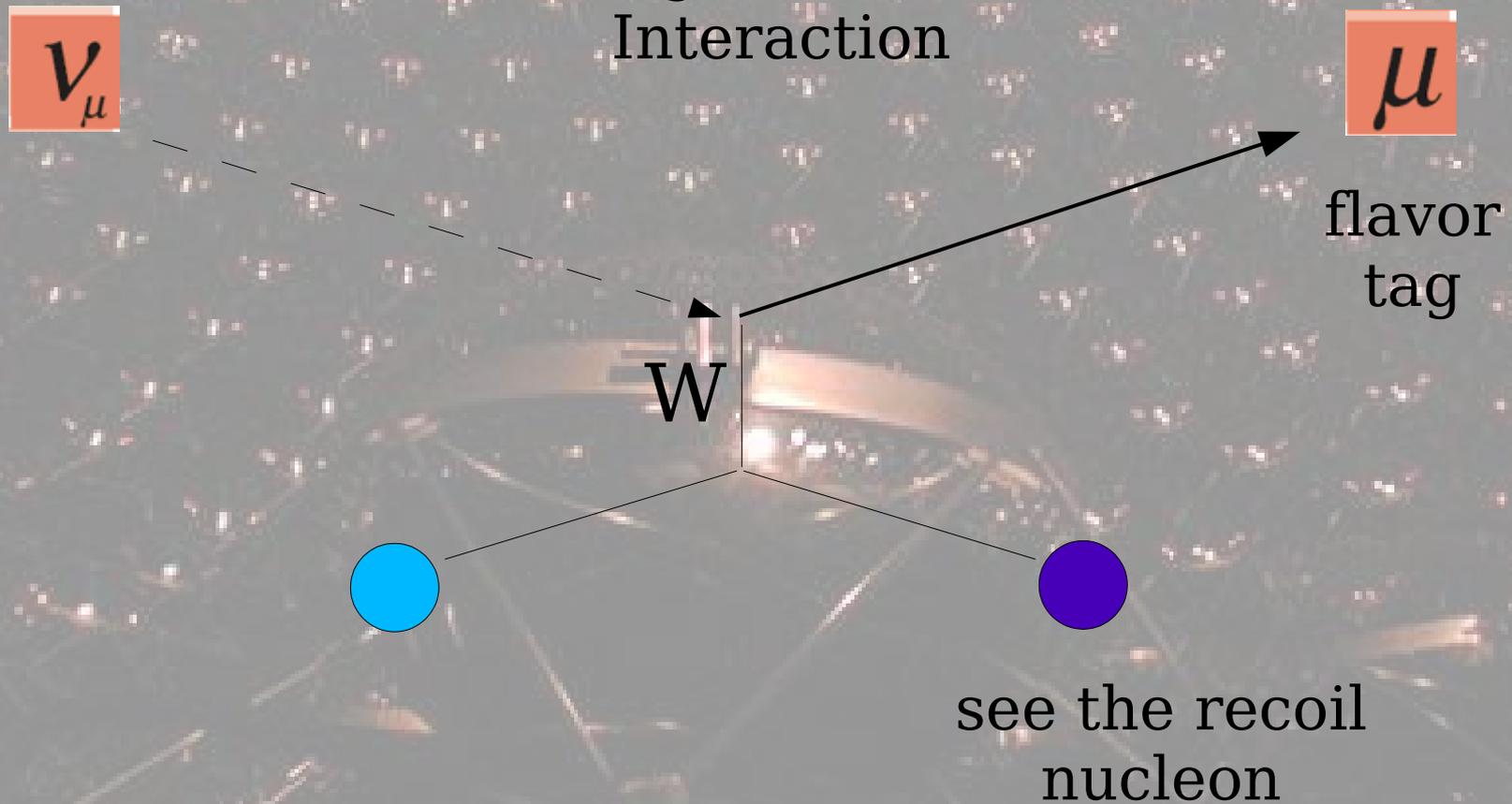
See them interact by seeing remnants
of the interaction....

Quasi-Elastic Scattering:
Charged Current
Interaction



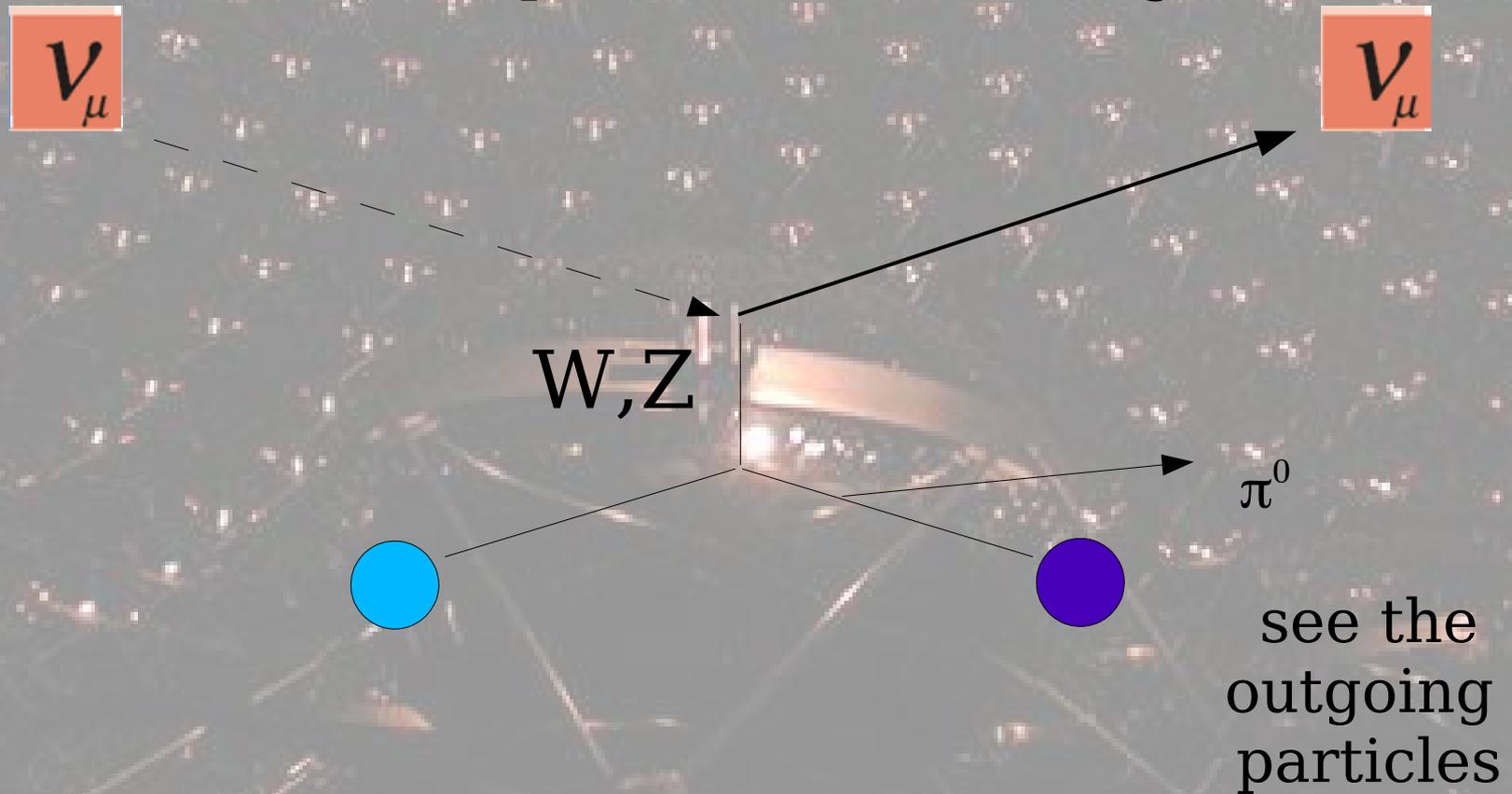
See them interact by seeing remnants
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Quasi-Elastic Scattering:
Charged Current
Interaction

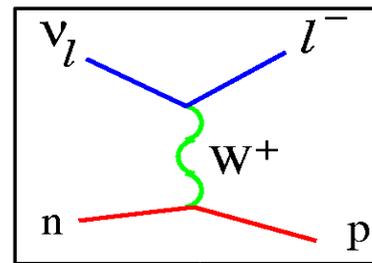
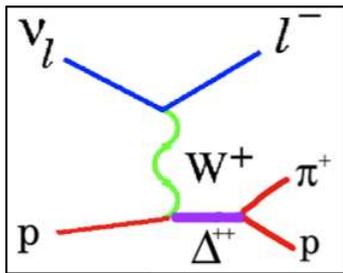
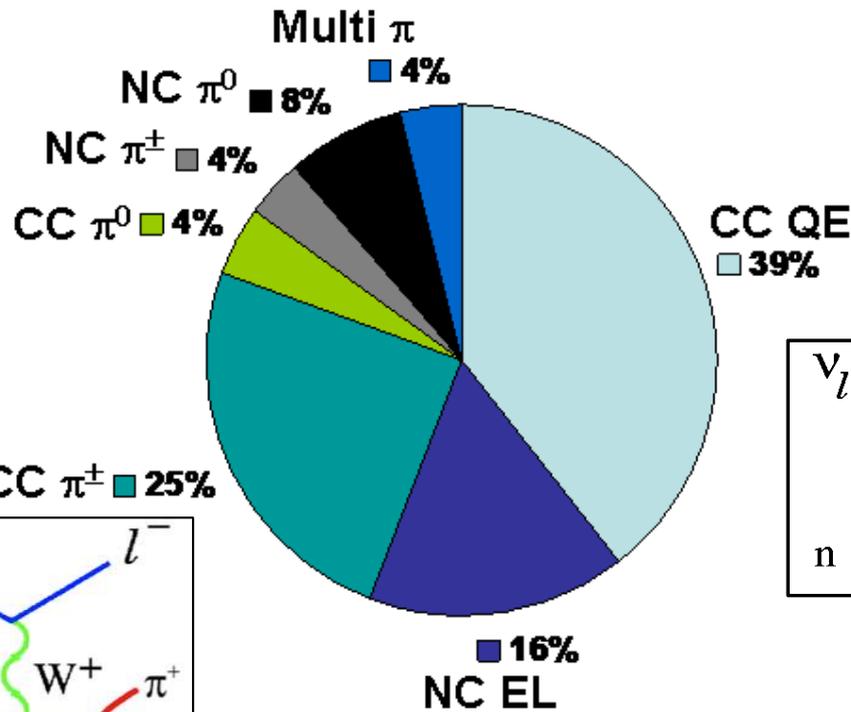
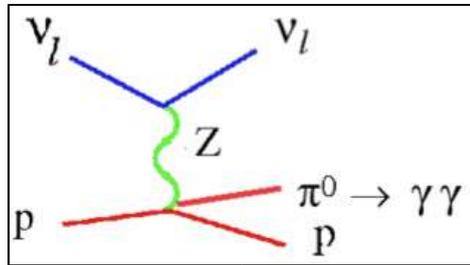
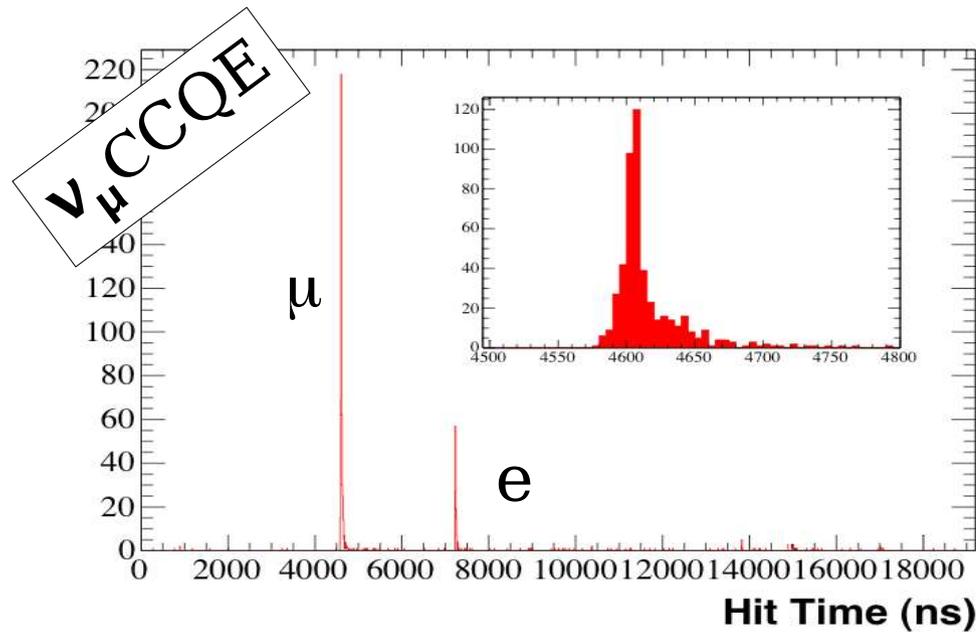


See them interact by seeing remnants
of the interaction....

Single Pion Production to Deep Inelastic Scattering



Neutrino interactions in MiniBooNE...



Event signature: First cluster of hits (first subevent) is the muon. Followed by smaller cluster of hits: decay electron

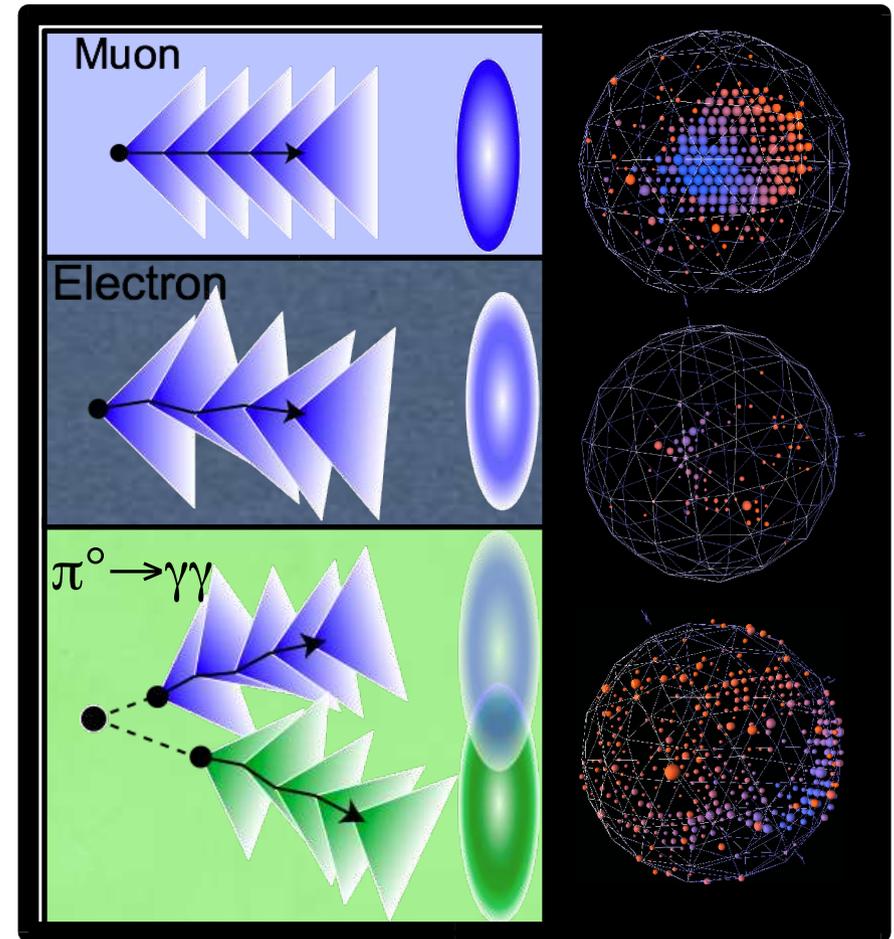
signal channel

Predicted event rates before cuts (NUANCE Monte Carlo)

Muons:
Produced in most CC events.

Electrons:
Tag for $\nu_{\mu} \rightarrow \nu_e$ CCQE signal.

π^0 s:
Can form a background if one
photon is weak or exits tank.

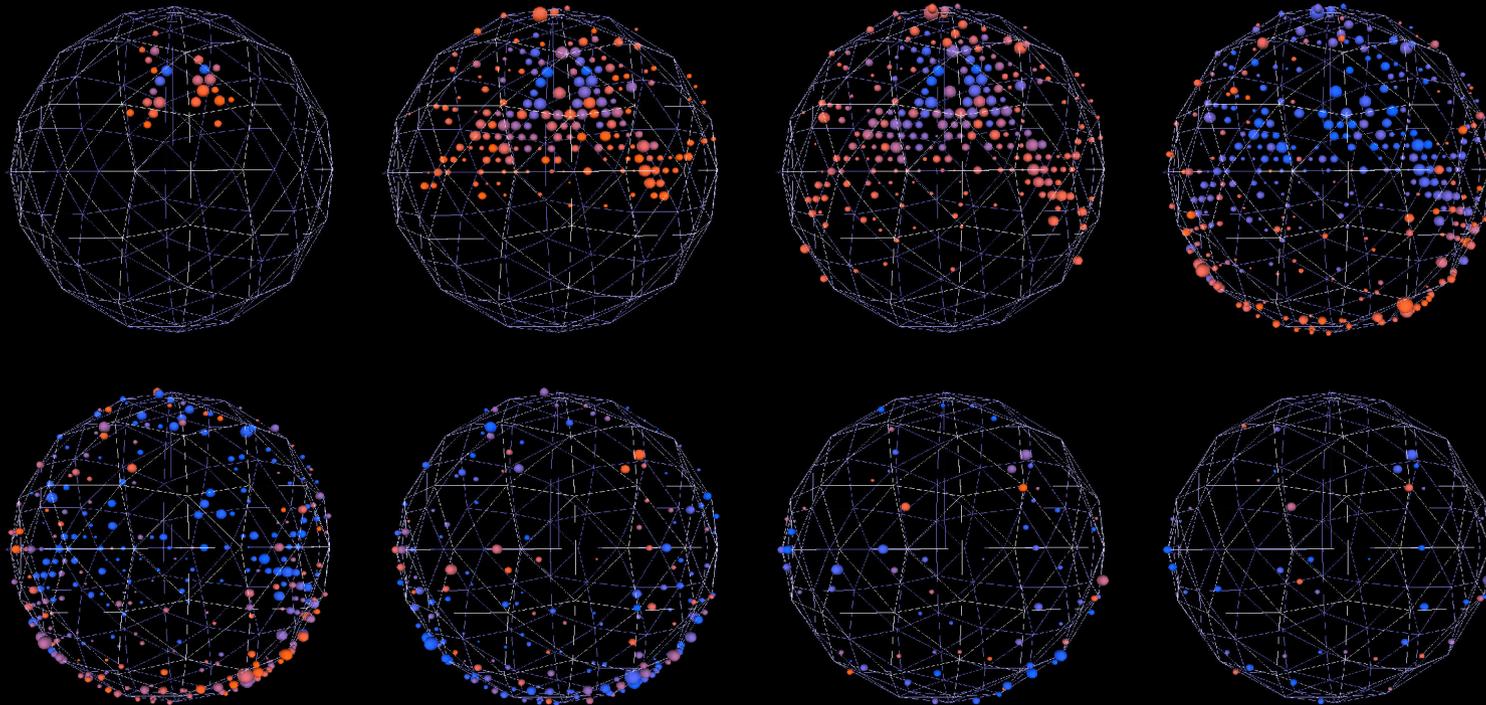
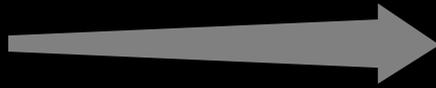


Example of Cerenkov Rings: A stopping cosmic ray

Charge (Size)

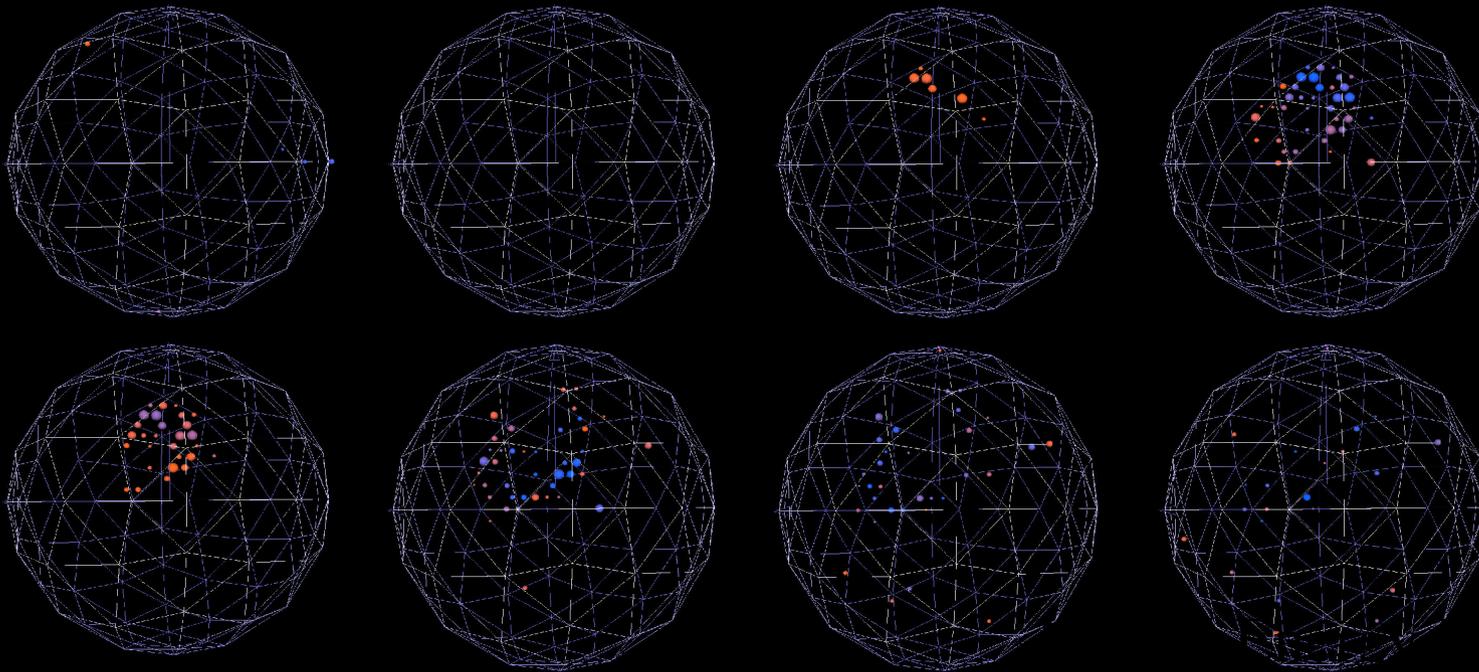


Time (Color)



First the muon enters the tank and stops...

Later a the Michel electron is observed



Michel electrons provide muon tags and calibration

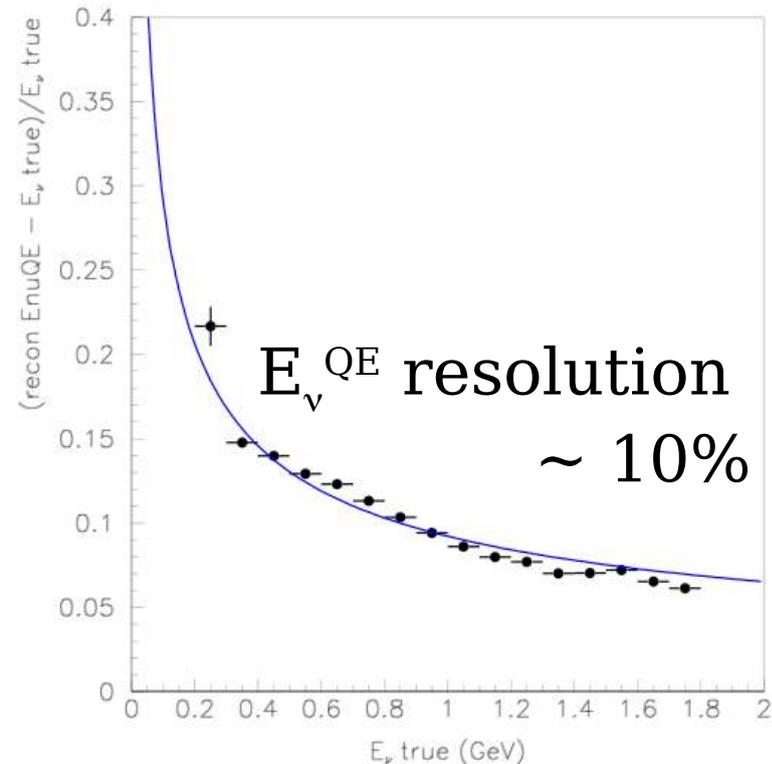
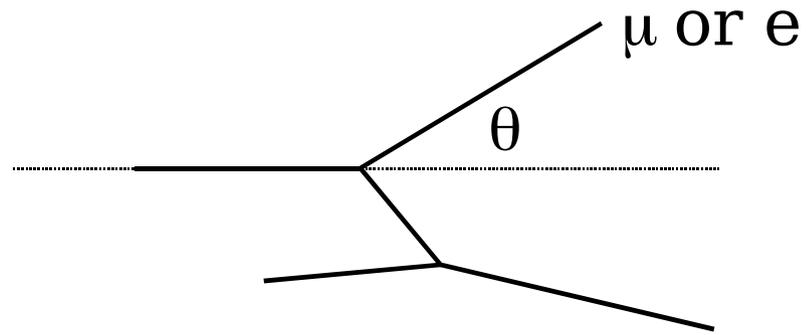
CCQE (Charged Current Quasi-Elastic)

39% of total

- Events are “clean” (few particles)
- Energy of the neutrino can be reconstructed

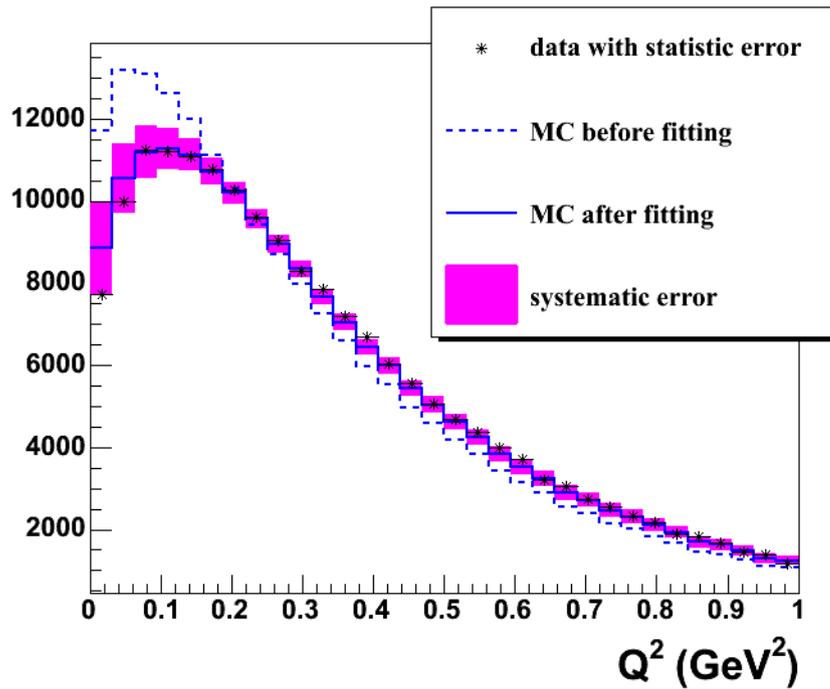
$$E_{\nu}^{QE} = \frac{1}{2} \frac{2M_p E_\ell - m_\ell^2}{M_p - E_\ell + \sqrt{(E_\ell^2 - m_\ell^2) \cos \theta_\ell}}$$

Reconstructed from:
Scattering angle
Visible energy (E_{visible})



An oscillation signal is
an excess of ν_e events as a function of E_{ν}^{QE}

NUANCE Parameters:



Model describes CCQE ν_μ data well

From Q^2 fits to MB ν_μ CCQE data:

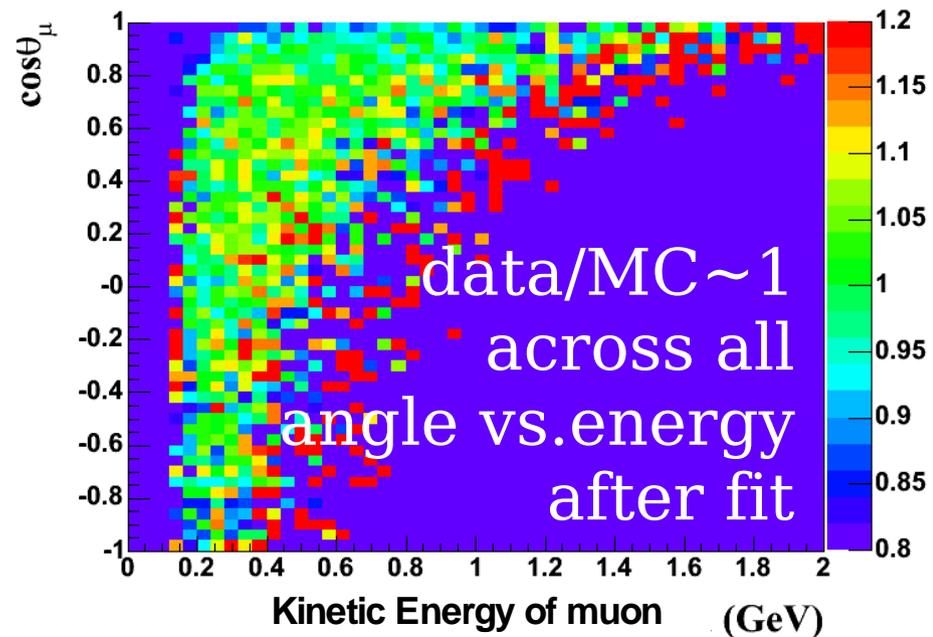
M_A^{eff} -- effective axial mass

E_{10}^{SF} -- Pauli Blocking parameter

From electron scattering data:

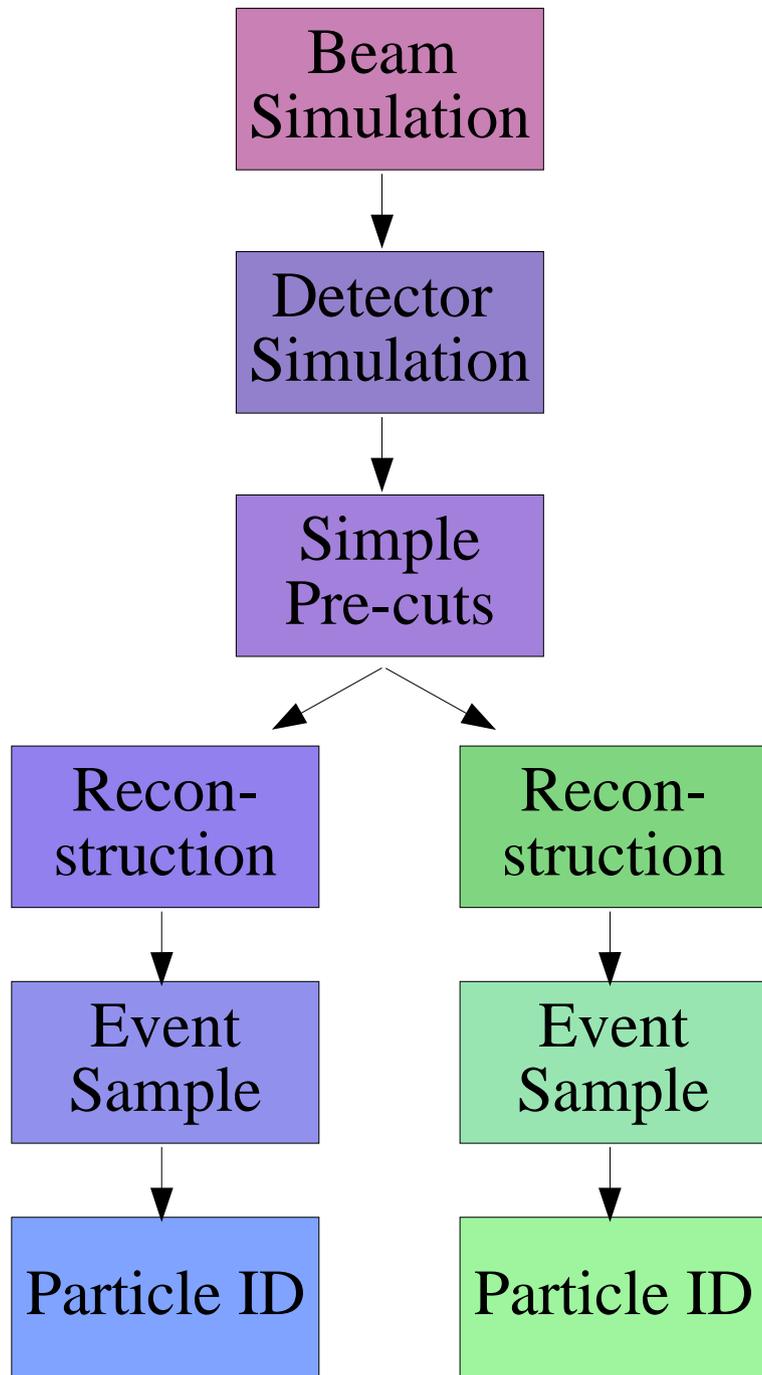
E_b -- binding energy

p_f -- Fermi momentum



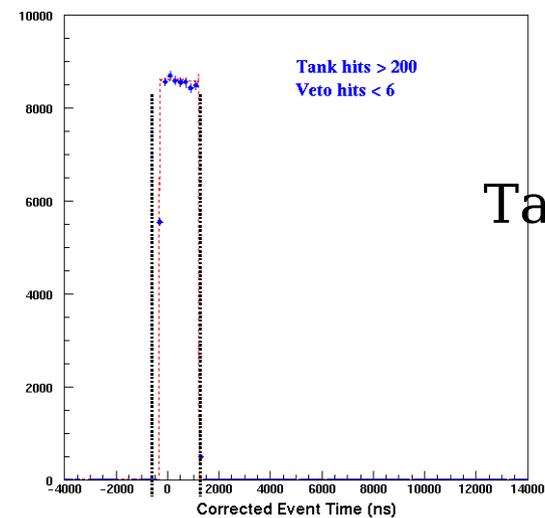
- The Experimental Setup
- Two Independent Data Analyses
 - Track Based Analysis ←
 - Boosted Decision Trees
- Errors and Constraints
- First Results





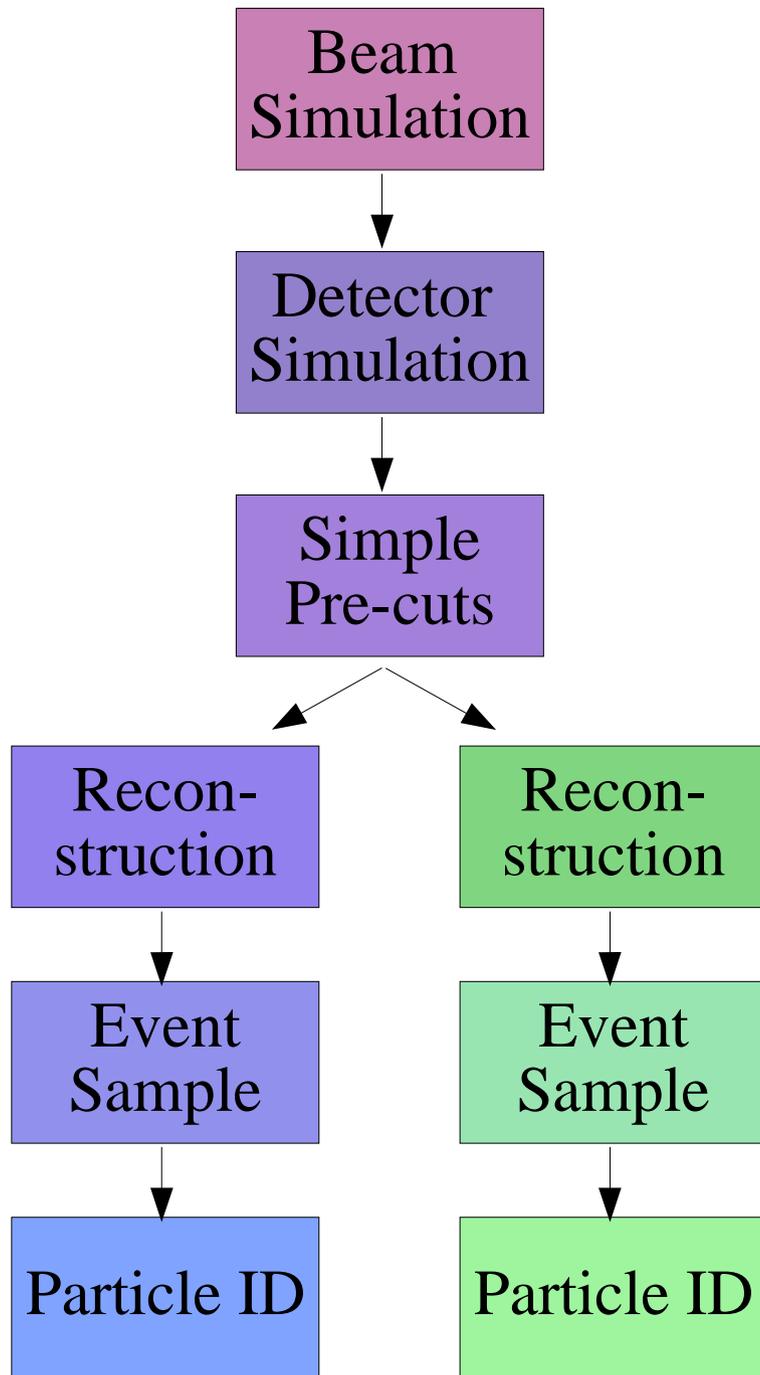
Share

- GEANT 4 beam Monte Carlo
- NUANCE v3 event generator
- GEANT3 detector Monte Carlo
- Simple pre-cuts



Tank Hits
> 200

removes low energy Michel electrons
(+ 1 subevent and $R < 500$ cm)



Both were blind analyses:

data
↓

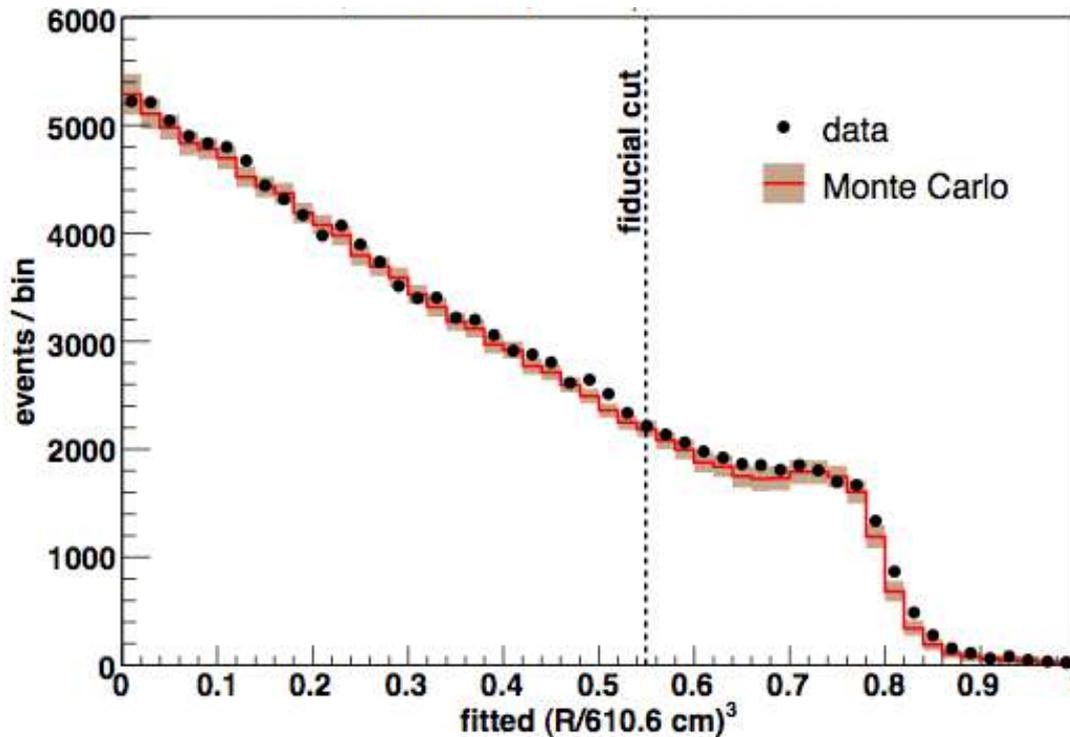
Filter: electron-like events
were hidden from analysis

non-electron like (~99% of
events) available for analysis

As well, for all events, low
level information was
available

Each event is characterized by 7 reconstructed variables:
vertex (x,y,z), time, energy, and
direction $(\theta, \varphi) \rightarrow (U_x, U_y, U_z)$.

Resolutions: vertex: 22 cm
 direction: 2.8°
 energy: 11%



ν_μ CCQE events

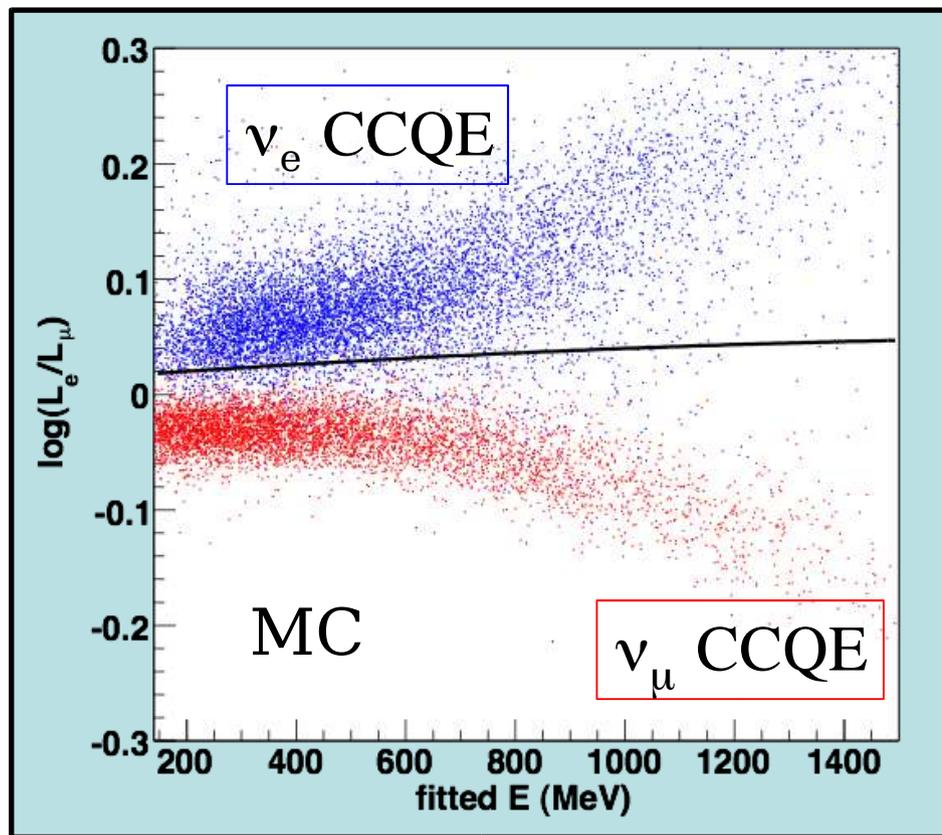
2 subevents

Veto Hits < 6

Tank Hits > 200

Each event is reconstructed under several different reconstruction hypotheses
electron like (L_e), Muon-like (L_μ), π^0 like, (L_{π^0})

$\log(L_e/L_\mu) > 0$ favors electron-like hypothesis



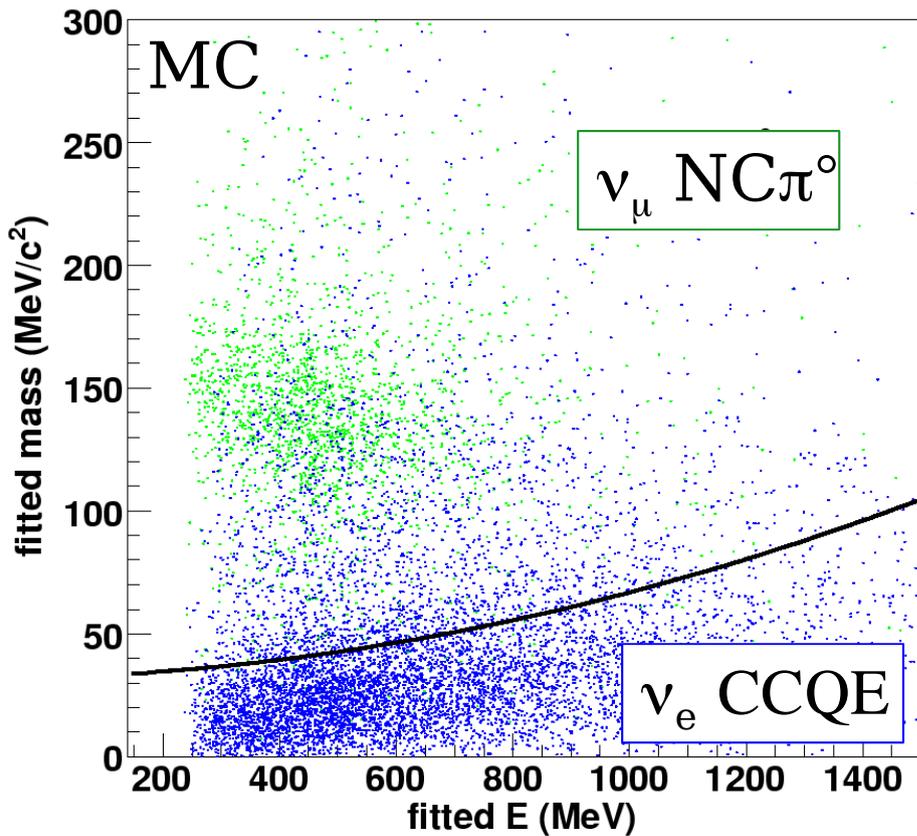
Separation is clean at high energies where muon-like events are long.

Analysis cut was chosen to maximize the $\nu_\mu \rightarrow \nu_e$ sensitivity

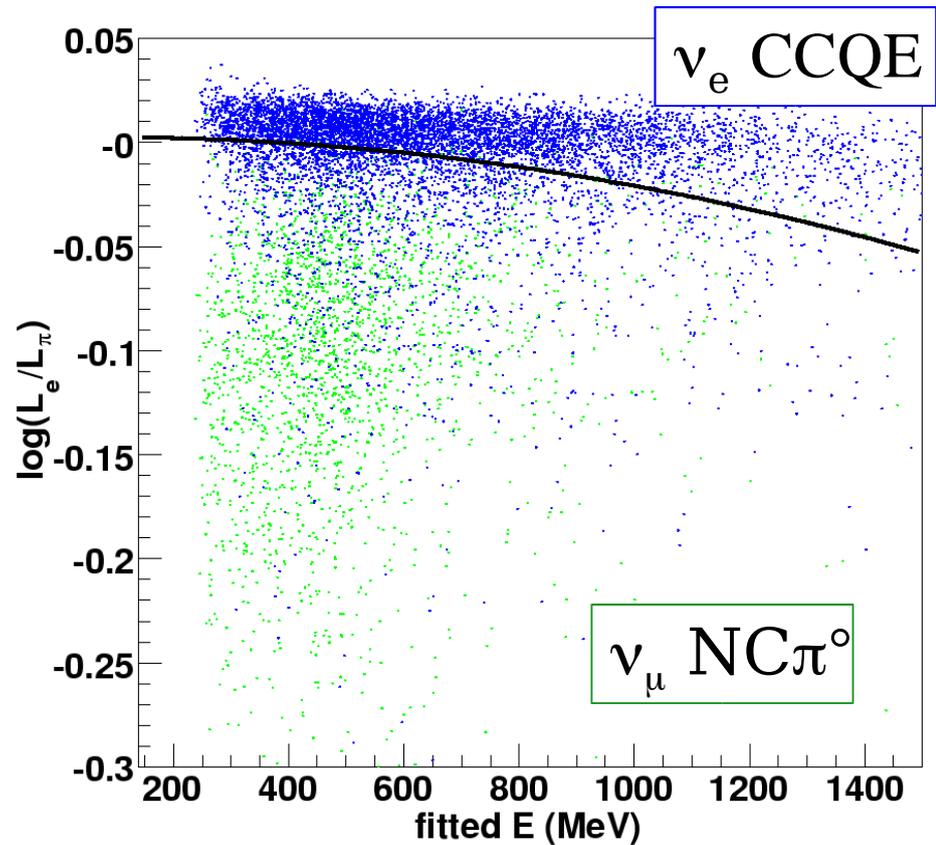
Photon conversions are electron-like. These are not cut here

Rejecting “ π^0 -like” events

Using a mass cut



Using $\log(L_e/L_{\pi^0})$



Cuts were chosen to maximize $\nu_\mu \rightarrow \nu_e$ sensitivity

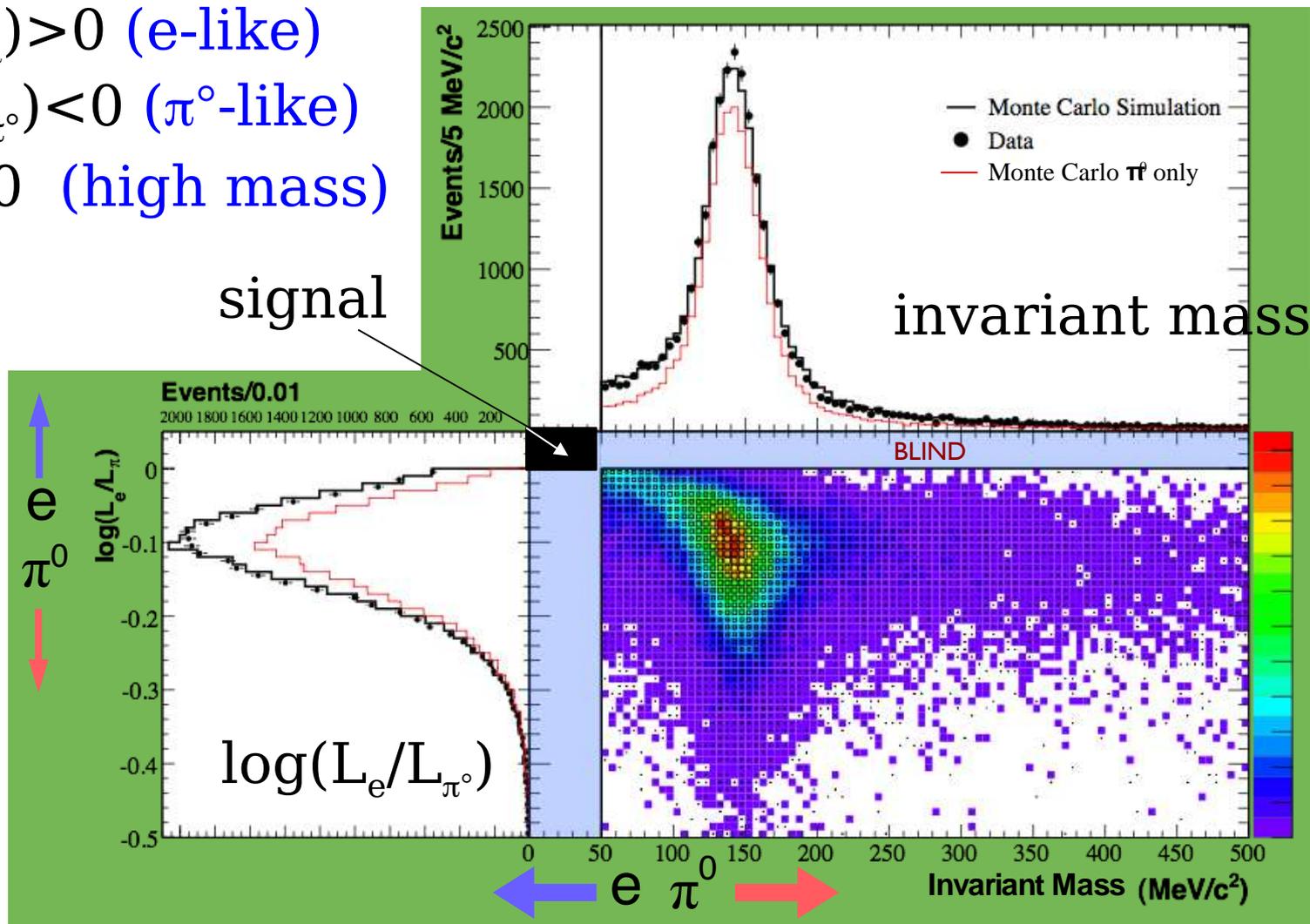
Testing $e\text{-}\pi^0$ separation using data

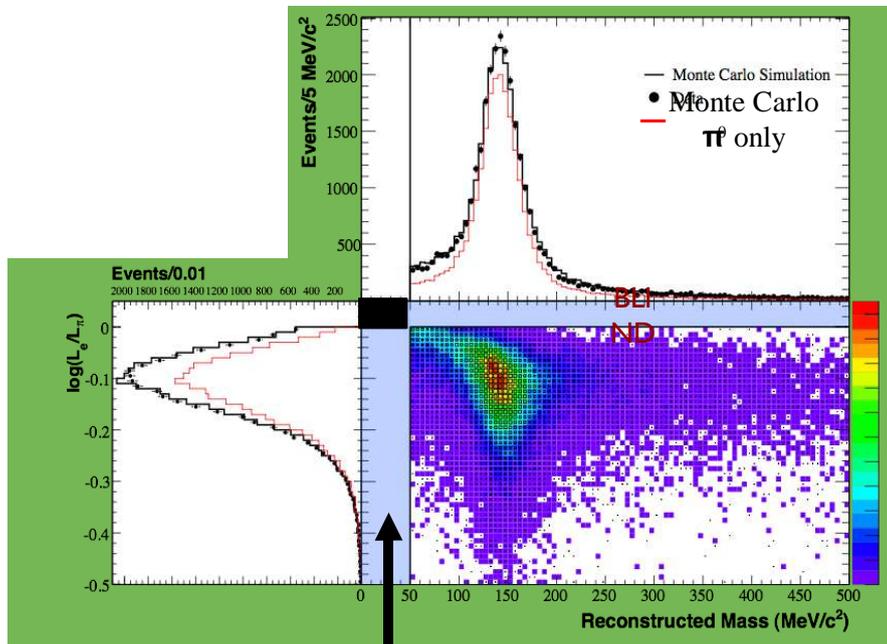
1 subevent

$\log(L_e/L_\mu) > 0$ (e-like)

$\log(L_e/L_{\pi^0}) < 0$ (π^0 -like)

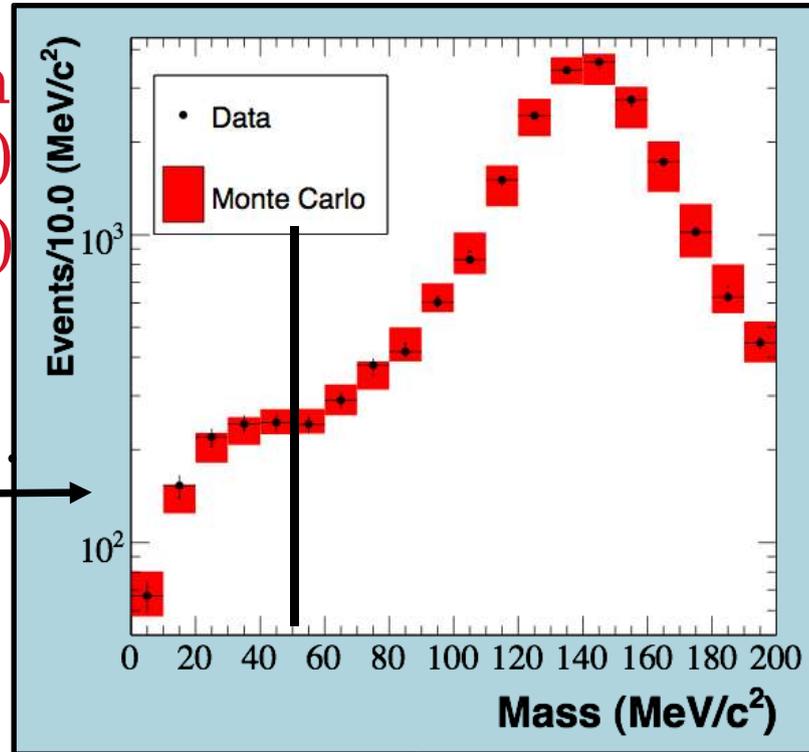
mass > 50 (high mass)





1 subevent
 log(L_e/L_μ) > 0 (e-like)
 log(L_e/L_{π⁰}) < 0 (π⁰-like)
 mass < 200 (high mass)

Next: look here...



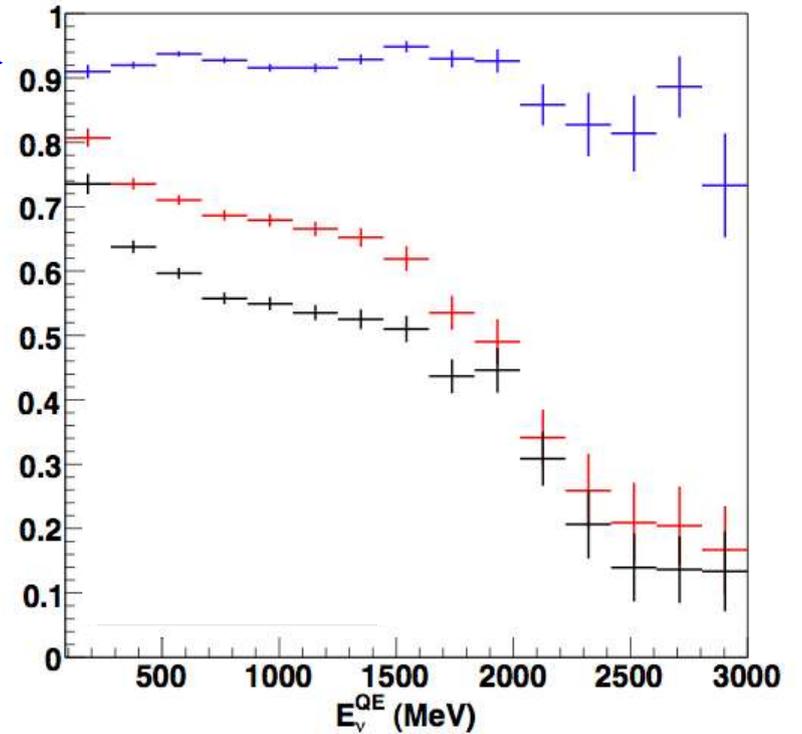
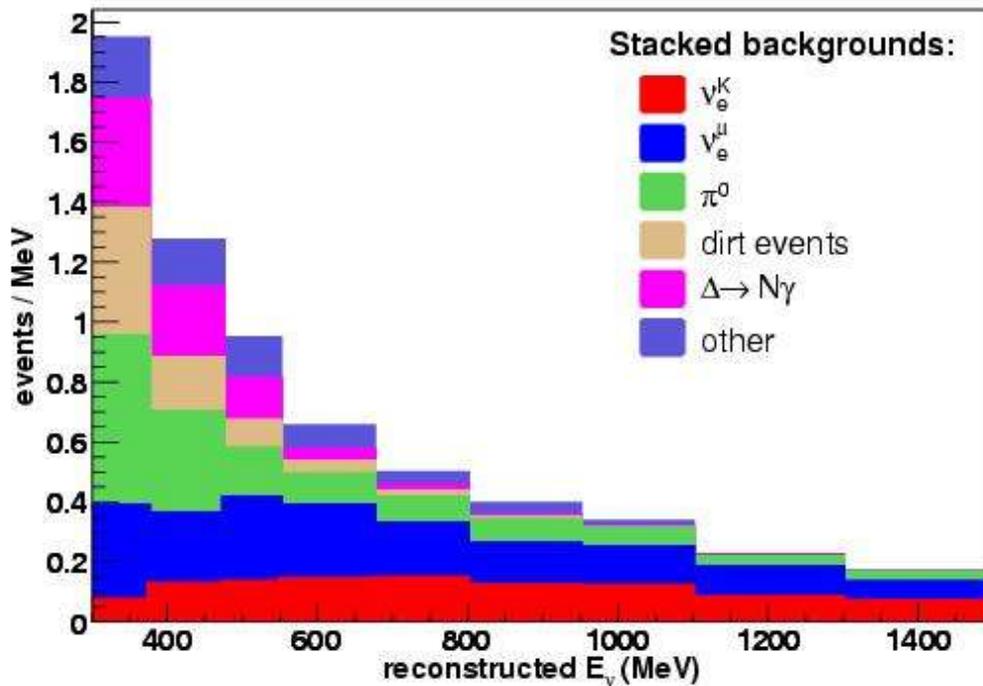
χ^2 Prob for mass < 50 MeV
 (“most signal-like”): 69%

Summary of TB cuts

$\text{Log}(L_e/L_\mu)$
 $\text{Log}(L_e/L_{\pi^0})$
invariant mass

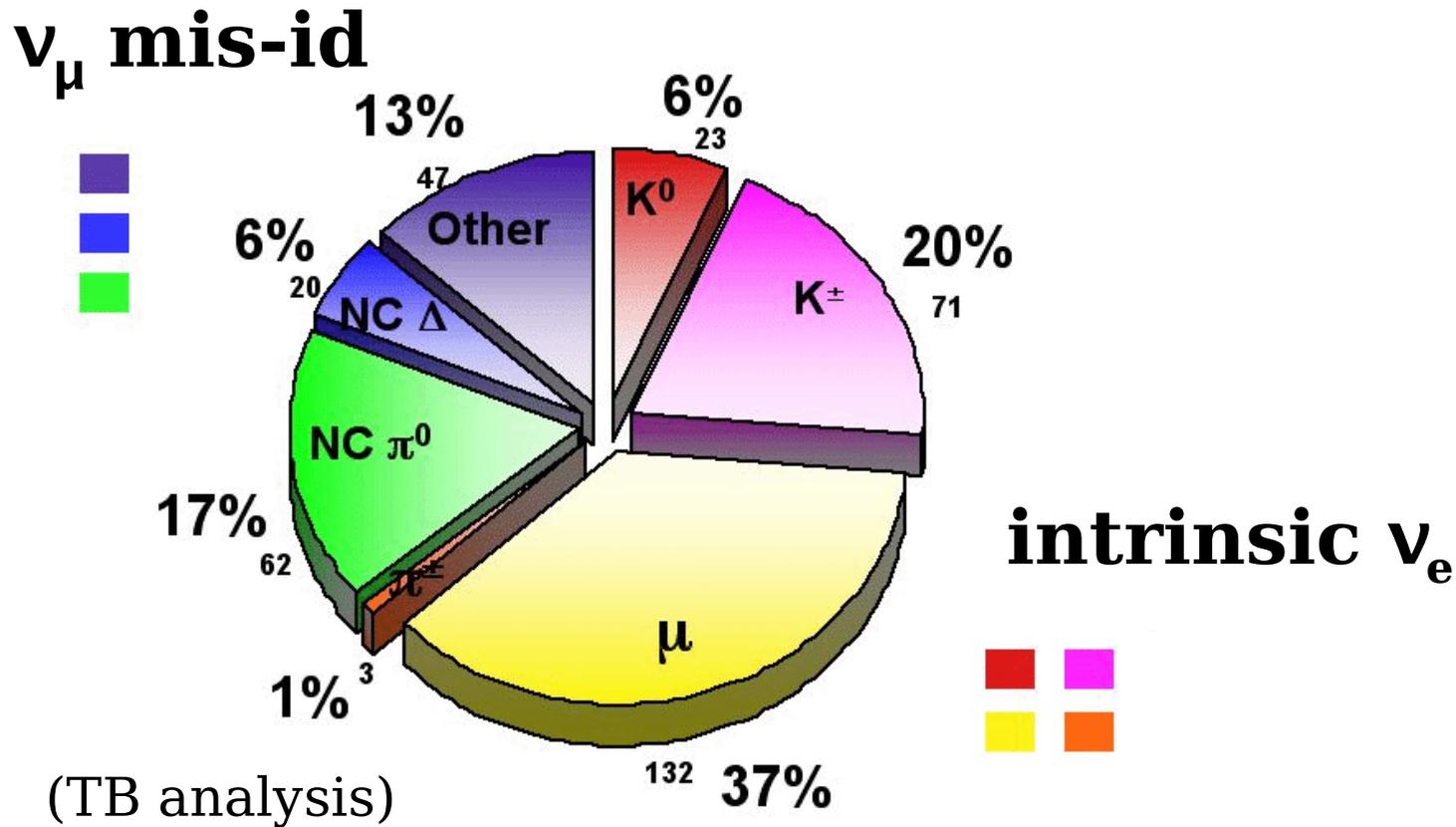
Efficiency:

Backgrounds after cuts



- 
- The Experimental Setup
 - Two Independent Data Analyses
 - Errors and Constraints
 - First Results

We have two categories of backgrounds:



Predictions of the backgrounds are among the nine sources of significant error in the analysis

Summary of predicted backgrounds for
the final MiniBooNE result
(TB Analysis):

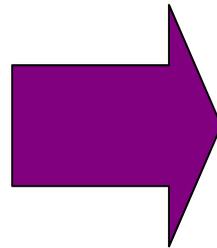
Process	Number of Events
Beam Unrelated	2
ν_μ CCQE	10
$\nu_\mu e \rightarrow \nu_\mu e$	7
Miscellaneous ν_μ Events	13
NC π^0	62
NC $\Delta \rightarrow N\gamma$	20
NC Coherent & Radiative γ	< 1
Dirt Events	17
ν_e from μ Decay	132
ν_e from K^+ Decay	71
ν_e from K_L^0 Decay	23
ν_e from π Decay	3
Total Background	358
0.26% $\nu_\mu \rightarrow \nu_e$	(example signal) 163

Handling uncertainties in the analyses:

What we begin with...

... what we need

For a given source
of uncertainty,
Errors on a wide range
of parameters
in the underlying model



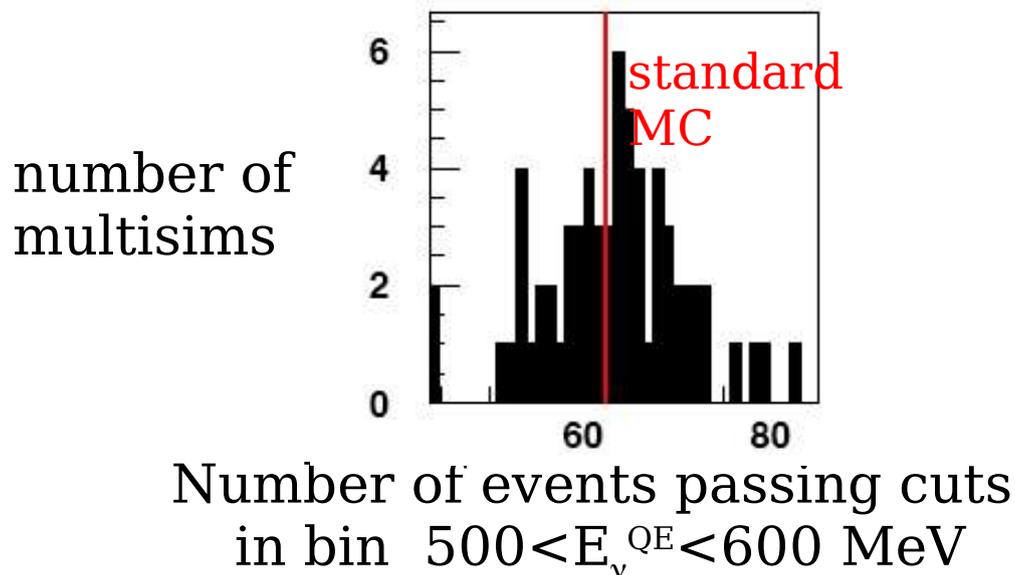
For a given source
of uncertainty,
Errors in bins of
 E_{ν}^{QE}
and information on
the correlations
between bins

Use “Multisims” To convert from errors on parameters
to errors in E_ν^{QE} bins:

For each error source,

“Multisim” experiments are generated within the
allowed variations by reweighting the standard Monte Carlo.
In the case of the OM, hit-level simulations are used.

70 multisims
for the Optical Model



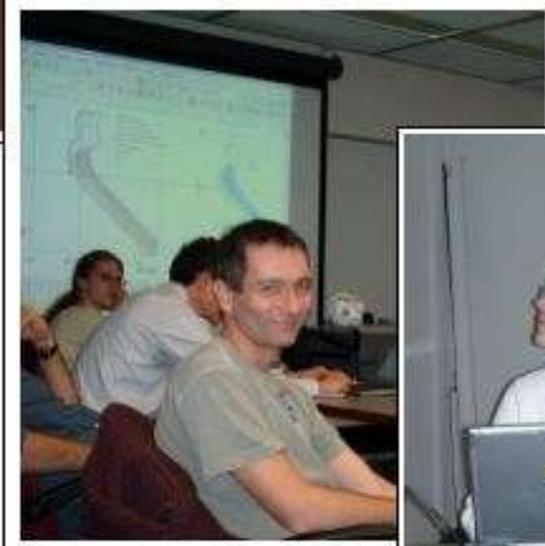
From differences
between central value
and each Multisim,
Form correlated Error
Matrices

Error bars on plots are the diagonals of the error matrix,
but the fully correlated matrix goes into oscillation fit

- The Experimental Setup
- Two Independent Data Analyses
- Errors and Constraints
- First Results



The Box Opening



The Track-based $\nu_{\mu} \rightarrow \nu_e$ Appearance-only Result:

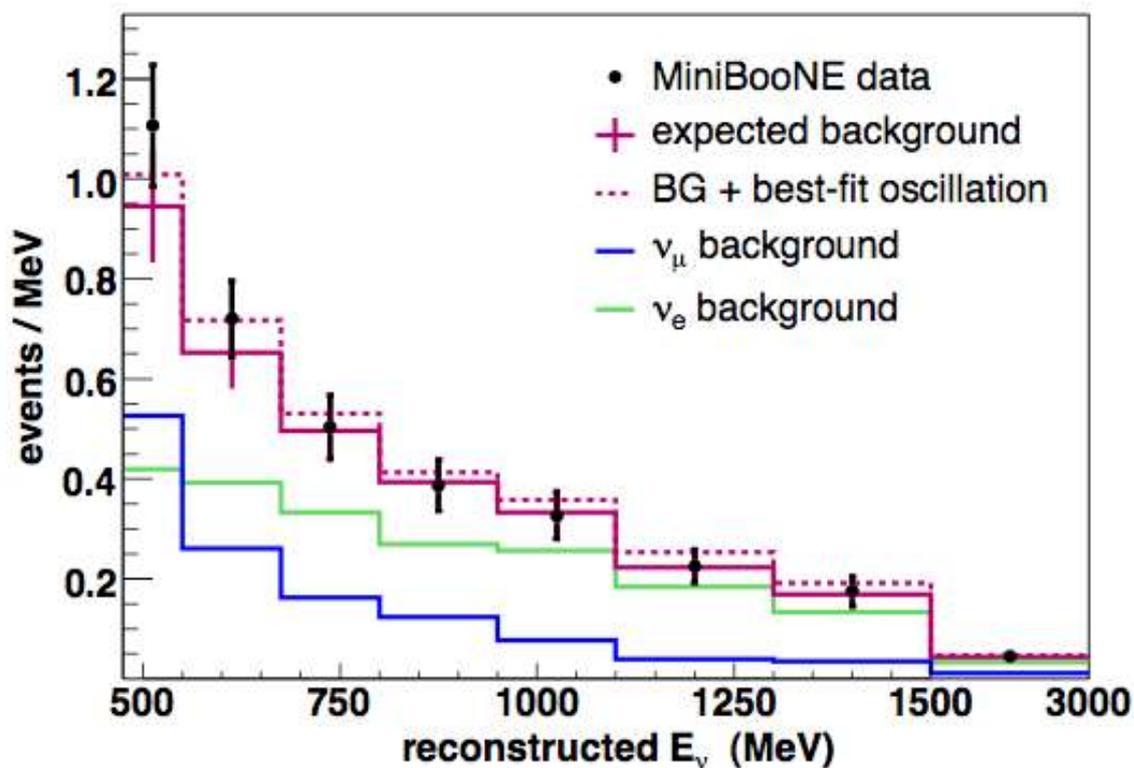
Counting Experiment: $475 < E_{\nu}^{\text{QE}} < 1250$ MeV

data: 380 events

expectation: 358 ± 19 (stat) ± 35 (sys) events

significance: 0.55σ

TB energy dependent fit results:

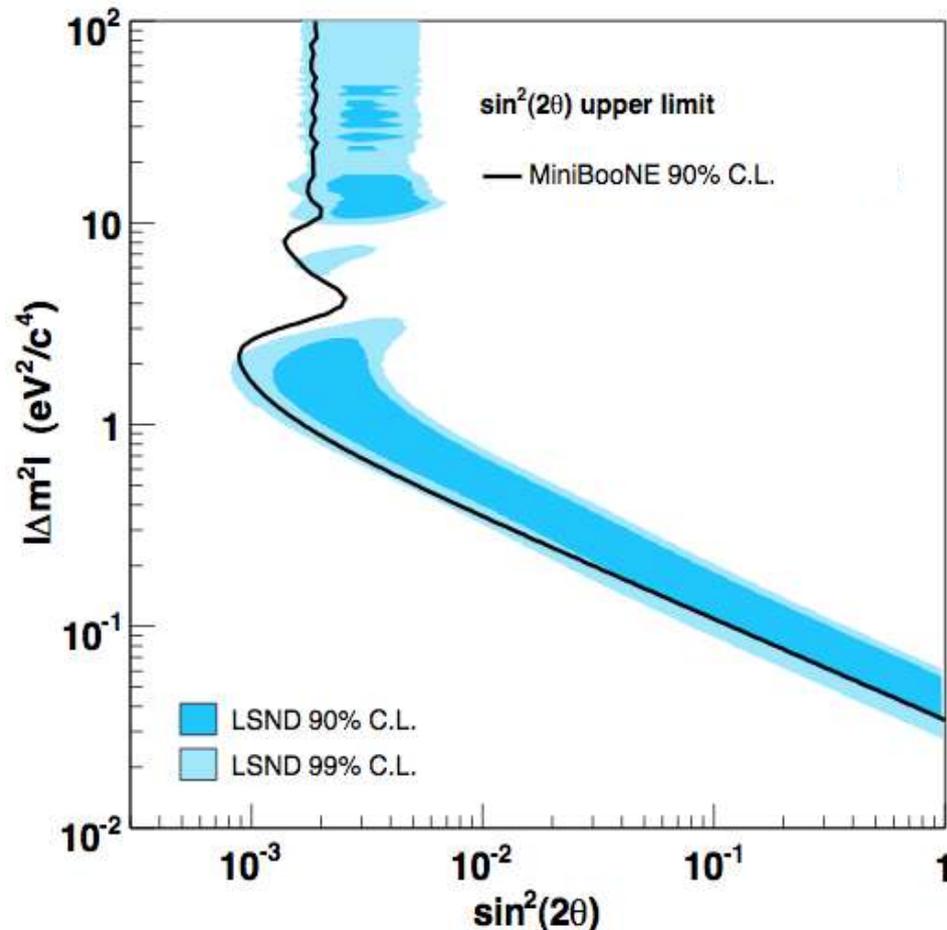


Error bars are diagonals of error matrix.

Fit errors
for >475 MeV:
Normalization 9.6%
Energy scale: 2.3%

Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (0.001, 4 \text{ eV}^2)$

This places a limit on on oscillations
for ν_μ to ν_e appearance only oscillations



Incompatible with
the LSND experiment
at 98% CL.

Energy fit: $475 < E_{\nu}^{\text{QE}} < 3000$ MeV

Boosted decision tree analysis is consistent (both
as counting experiment and in energy fit) with this

*Across a broader range
of energies:*

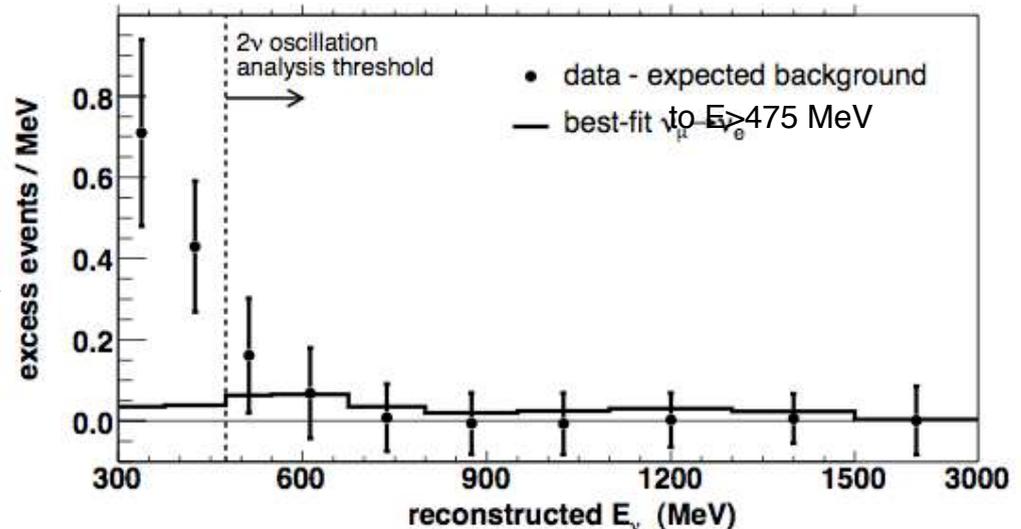
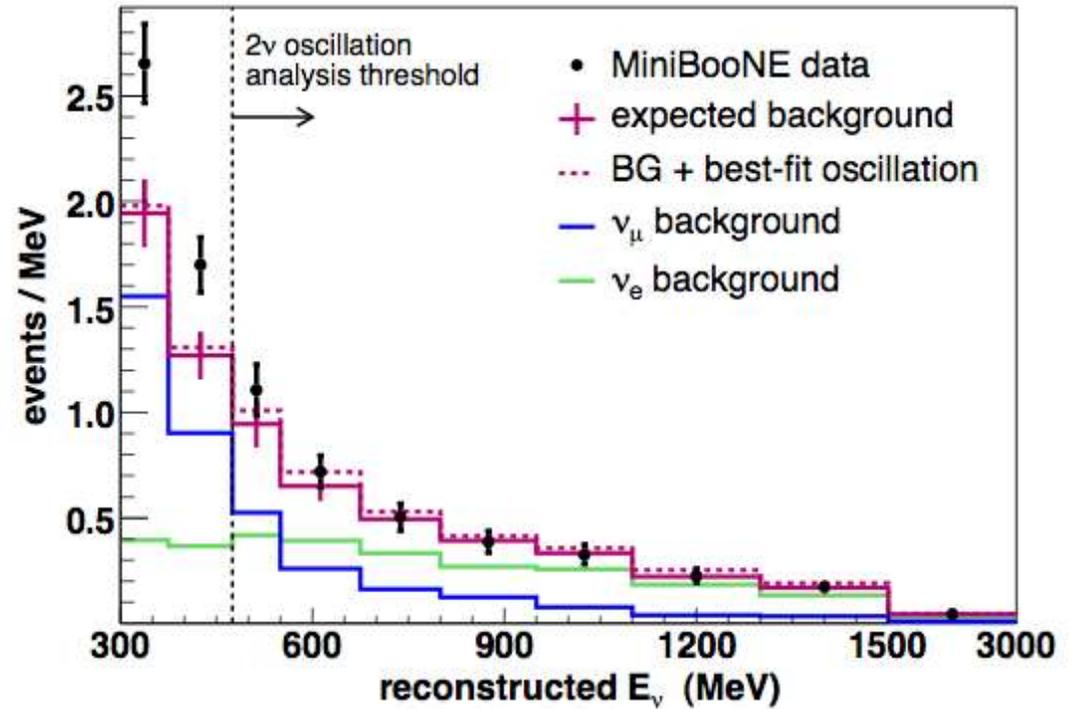
$$300 < E_{\nu}^{\text{QE}} < 3000 \text{ MeV}$$

$96 \pm 17 \pm 20$ events
above background,
for $300 < E_{\nu}^{\text{QE}} < 475 \text{ MeV}$

Background-subtracted:

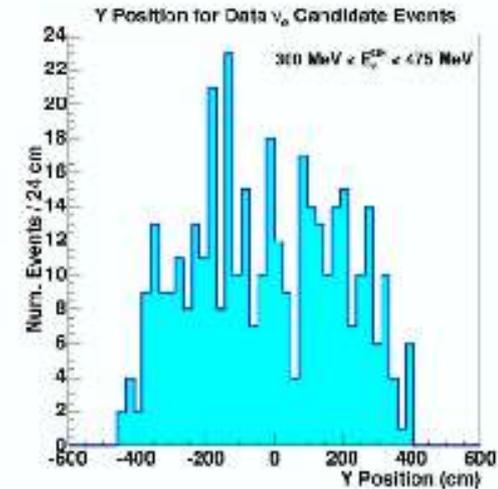
MiniBooNE investigating
low energy events:
Need to understand these
in any case:

- new physics
- background which must be understood for next class of experiments

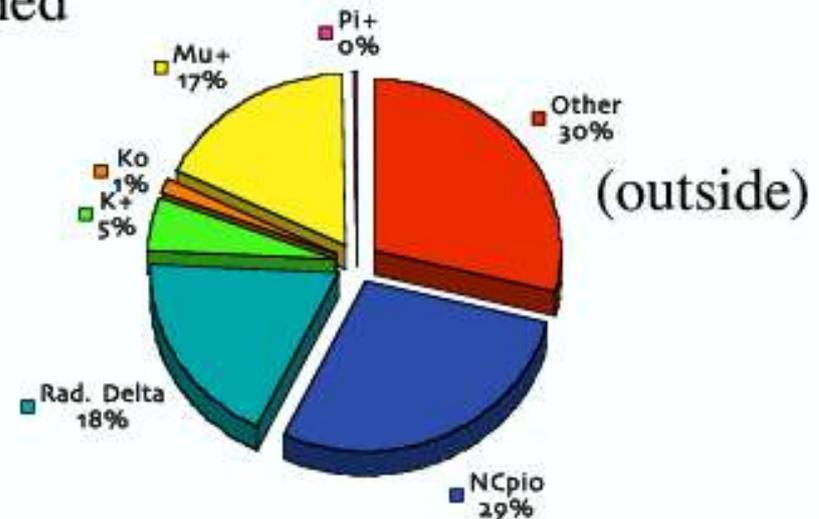


What we already know about these events.....

- They are spread throughout the run,
- They show no bias in event timing
- They show no bias in spatial distribution (they are not from outside)
- The backgrounds in this region are large, but they are well constrained



Likelihood Analysis
300 MeV to 475 MeV



1) LSND is wrong?

LSND is not standard muon neutrino to electron neutrino appearance

2) There are sterile neutrinos

3+1 and 3+2 models

Then these are the main mixing matrix elements

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \\ \nu_{s'} \\ \vdots \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & U_{e5} & \dots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & U_{\mu5} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & U_{\tau5} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & U_{s5} \\ U_{s'1} & U_{s'2} & U_{s'3} & U_{s'4} & U_{s'5} \\ \dots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \\ \nu_5 \\ \vdots \end{pmatrix}$$

4) Something else unexpected?

a) Background that has not been predicted

b) Other new physics: neutrinos in the bulk,
neutrino decay....

1) LSND is wrong?

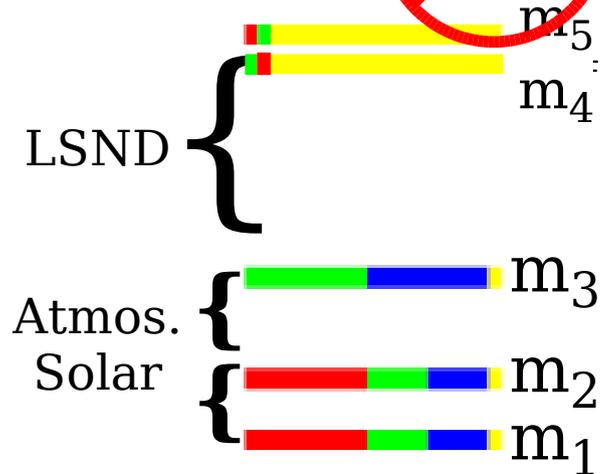
3+1 models are ruled out but 3+2 and 3+3 fit MiniBooNE + LSND well

...tension with SBL disappearance expts.

...tension for some configurations with cosmological constraints

2) There are sterile neutrinos

~~3+1~~ and 3+2 models



ν_ϵ
 ν_μ
 ν_τ
 ν_σ

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \\ \nu_{s'} \\ \vdots \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} & U_{e5} & \dots \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} & U_{\mu5} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} & U_{\tau5} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} & U_{s5} \\ U_{s'1} & U_{s'2} & U_{s'3} & U_{s'4} & U_{s'5} \\ \dots \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \\ \nu_5 \\ \vdots \end{pmatrix}$$

Then these are the main mixing matrix elements

4) Something else unexpected?

a) Background that has not been predicted

b) Other new physics: neutrinos in the bulk, neutrino decay....

Are sterile neutrinos an asset for astrophysics?

The R-process needs a large neutron imbalance

How do you create a very large neutron-imbalance?

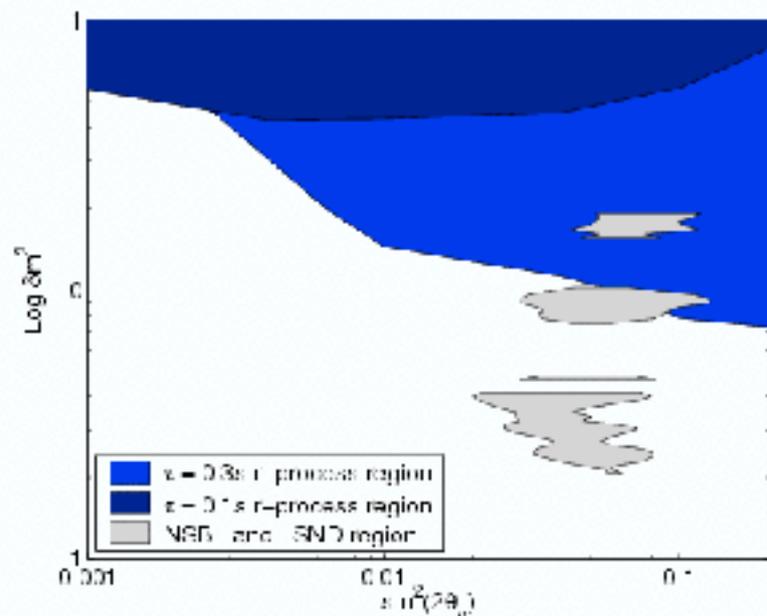
First create an anti-electron neutrino rich environment.



... which works if the conditions are right (high electron density, right oscillation parameters) to produce a $\nu_e \rightarrow \nu_s$ “resonance”

Allowed ranges for oscillation to enable sufficient U production

Beun, Surman, McLaughlin & Hix,
preliminary



Are they a problem for cosmology?

Cosmology constrains neutrino mass to $\sim 1-2$ eV

Depending on the sterile neutrino mass ordering,

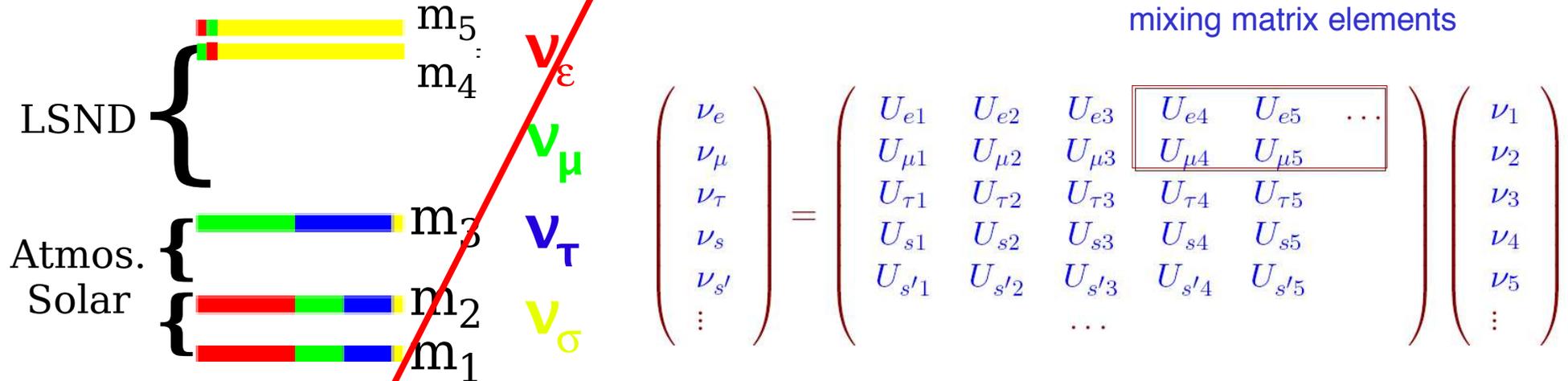
neutrino mass $\sim 2-10$ eV. So some constraints are there.

1) LSND is wrong?

2) There are sterile neutrinos

- *New physics? Possible*
- *Background? Well constrained but we're still looking!*
- *MiniBooNE, SciBooNE and follow-on experiments will look*

3+1 and 3+2 models



4) Something else unexpected?

a) Background that has not been predicted

b) Other new physics: neutrinos in the bulk, neutrino decay....



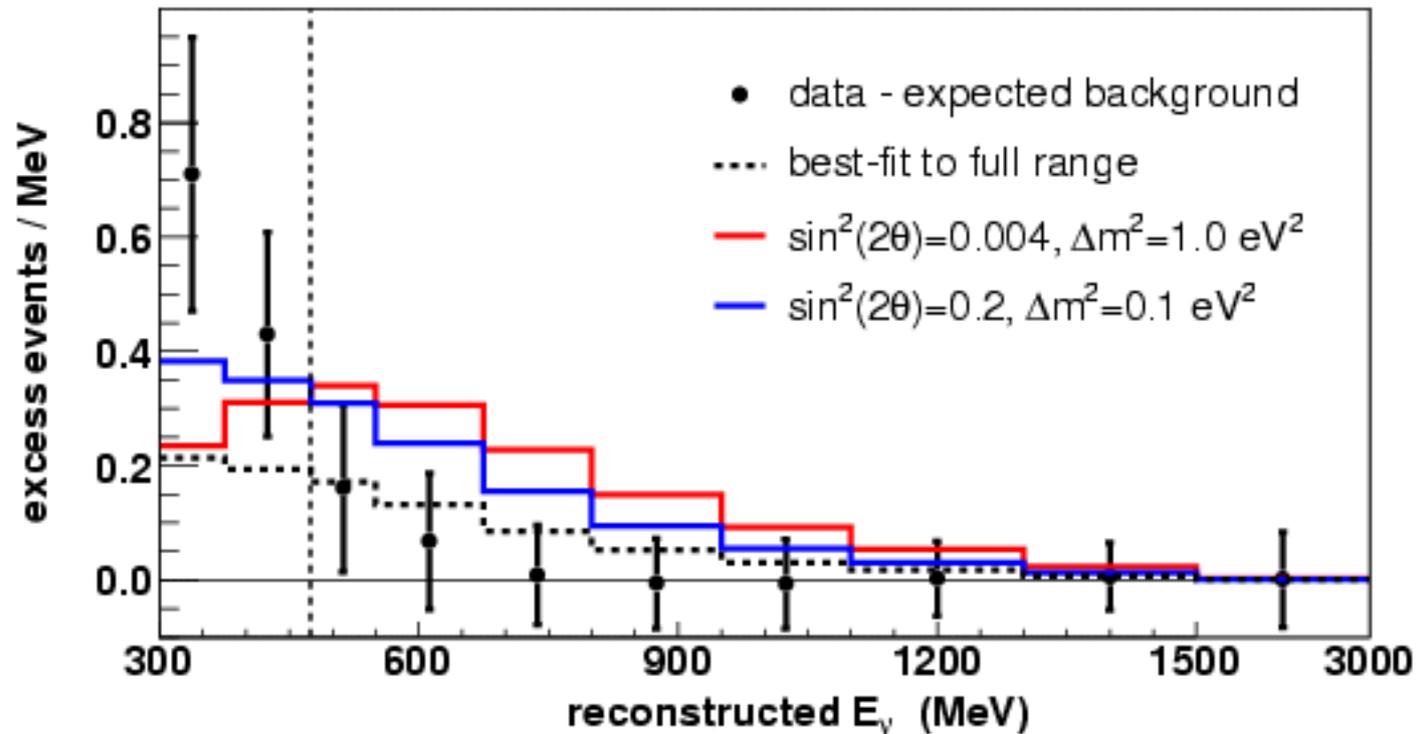
MiniBooNE rules out a two neutrino
interpretation of LSND

Discrepancy at low energy is
under study

Fit to the > 300 MeV range:

Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (1.0, 0.03 \text{ eV}^2)$

χ^2 Probability: 18%

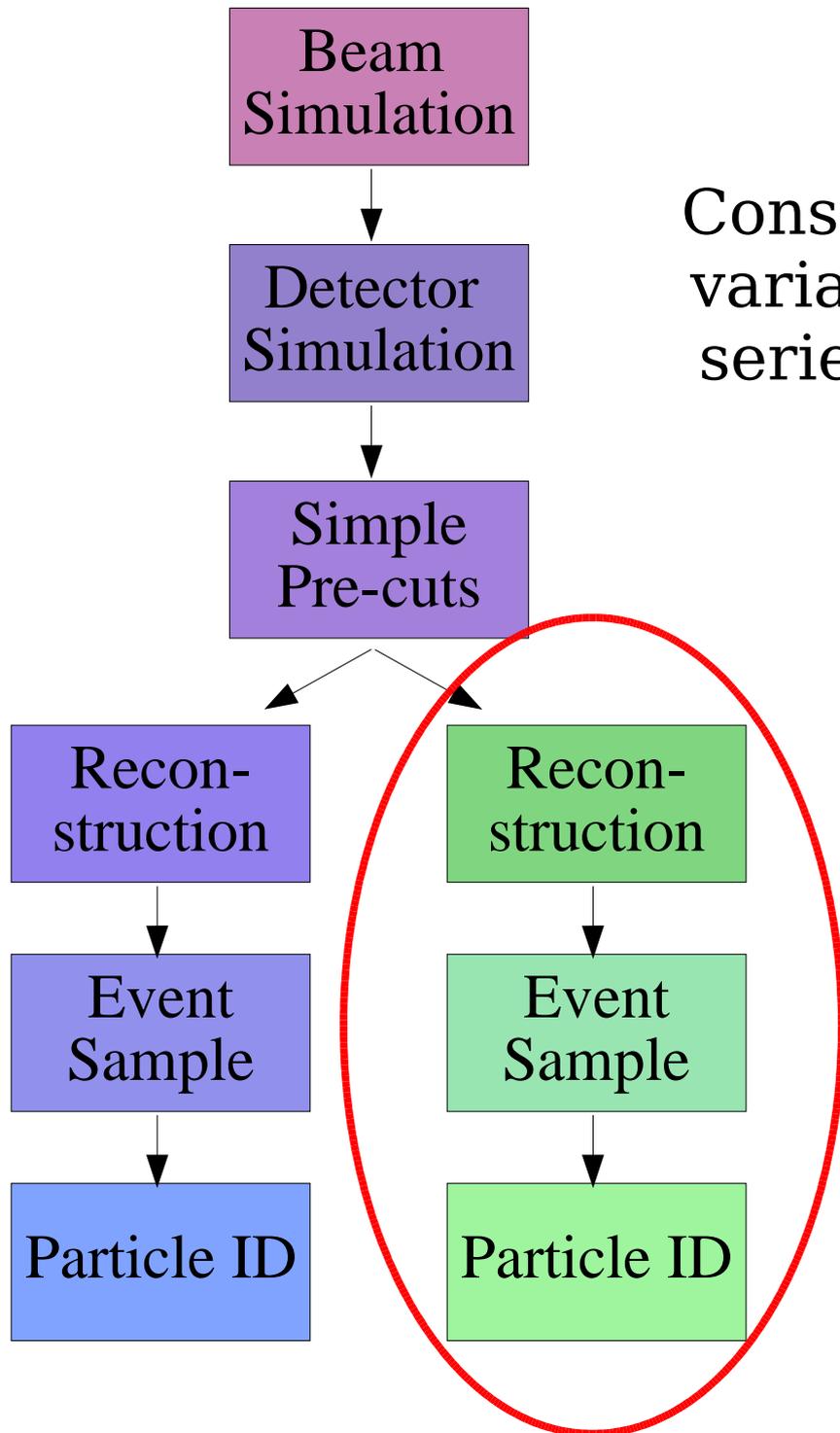


Boosted Decision Trees

Construct a set of low-level analysis variables which are used to make a series of cuts to classify the events.

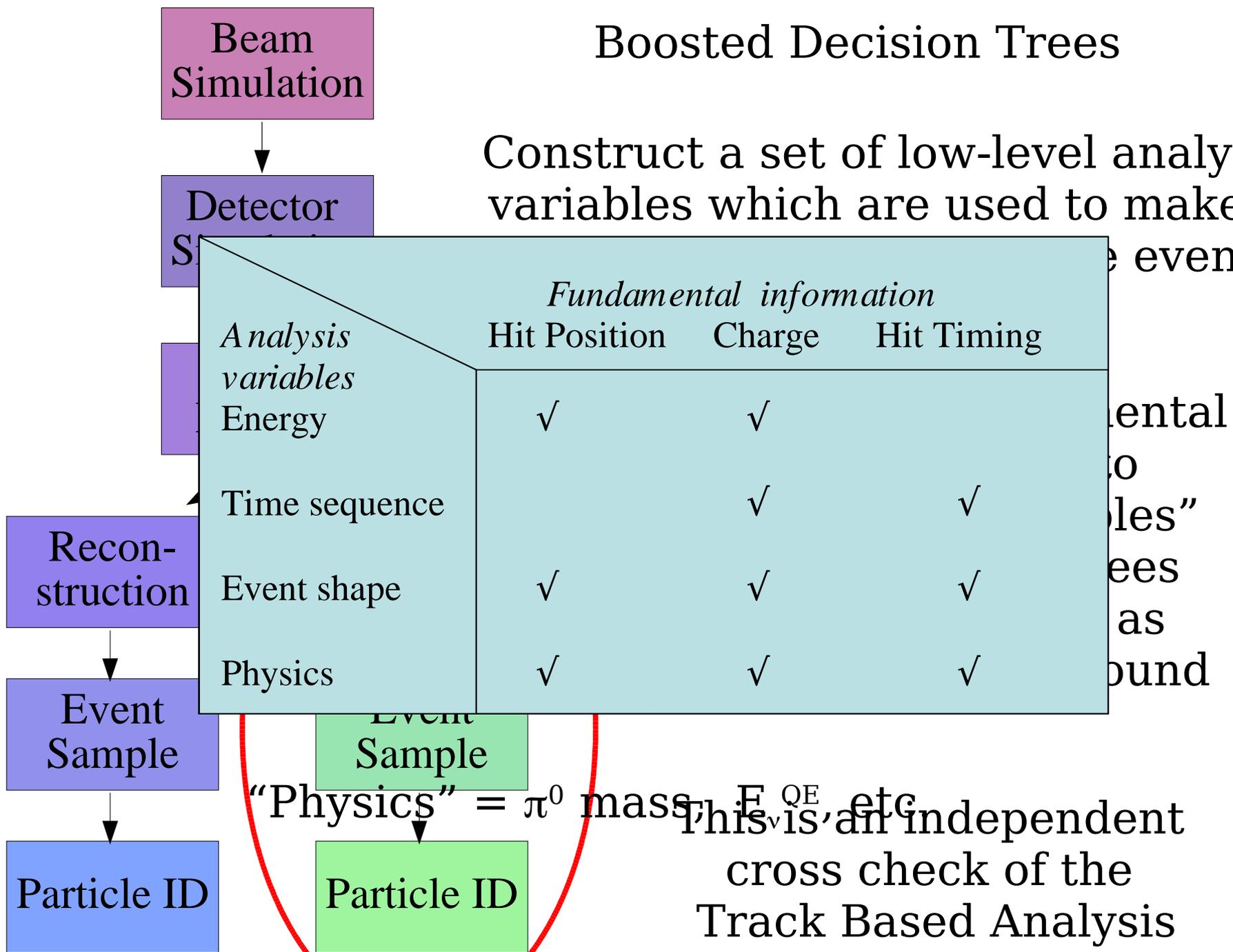
Convert “Fundamental Variables” into “Analysis Variables” then develop trees to score events as signal or background

This is an independent cross check of the Track Based Analysis



Boosted Decision Trees

Construct a set of low-level analysis variables which are used to make a decision on events.

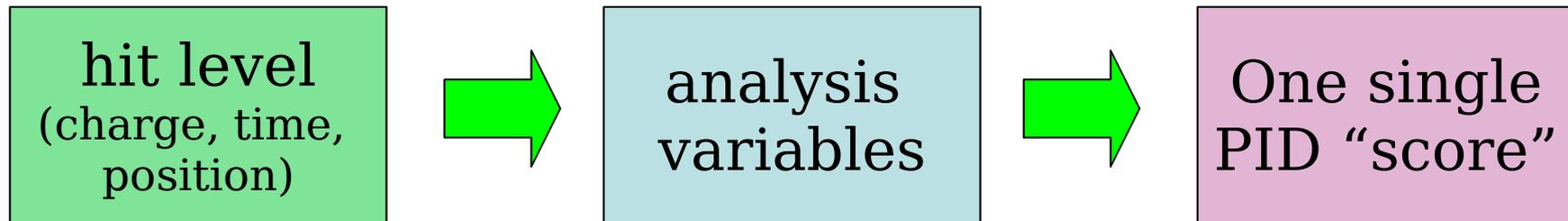


Reduce Analysis Variables to a Single PID Variable

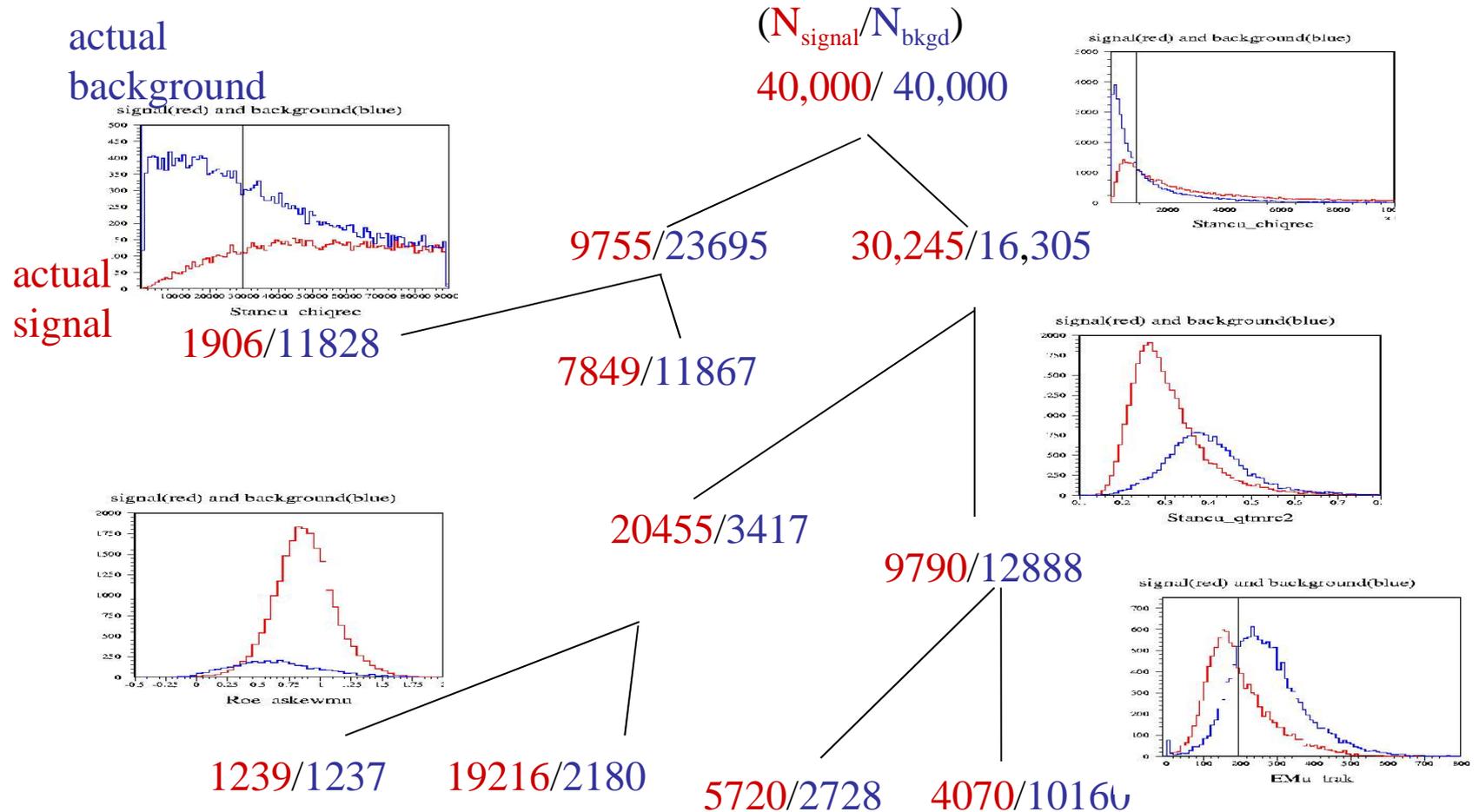
Boosted Decision Trees

“A procedure that combines many weak classifiers to form a powerful committee”

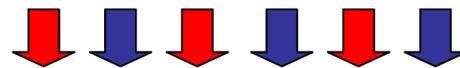
Byron P. Roe, et al.,
NIM A543 (2005) 577.



Boosting uses Decision Trees (sequential series of cuts)



Continue decision tree until each “leaf”
is either very pure or statistically small.



Combine output of many trees → Boosting

Boosted Decisions Trees

A set of decision trees is created using Monte Carlo

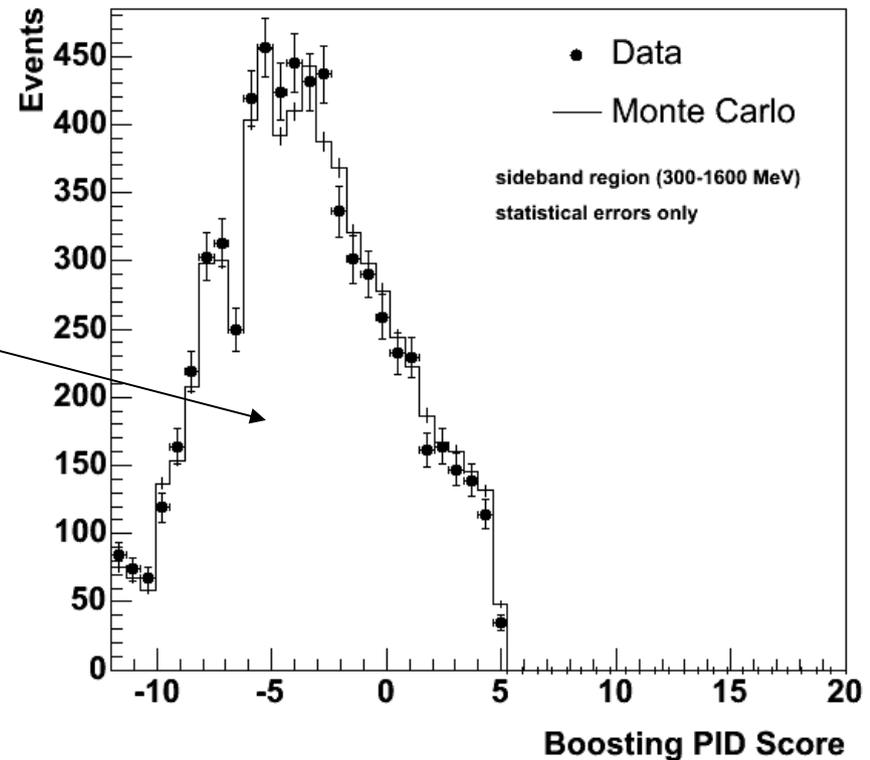
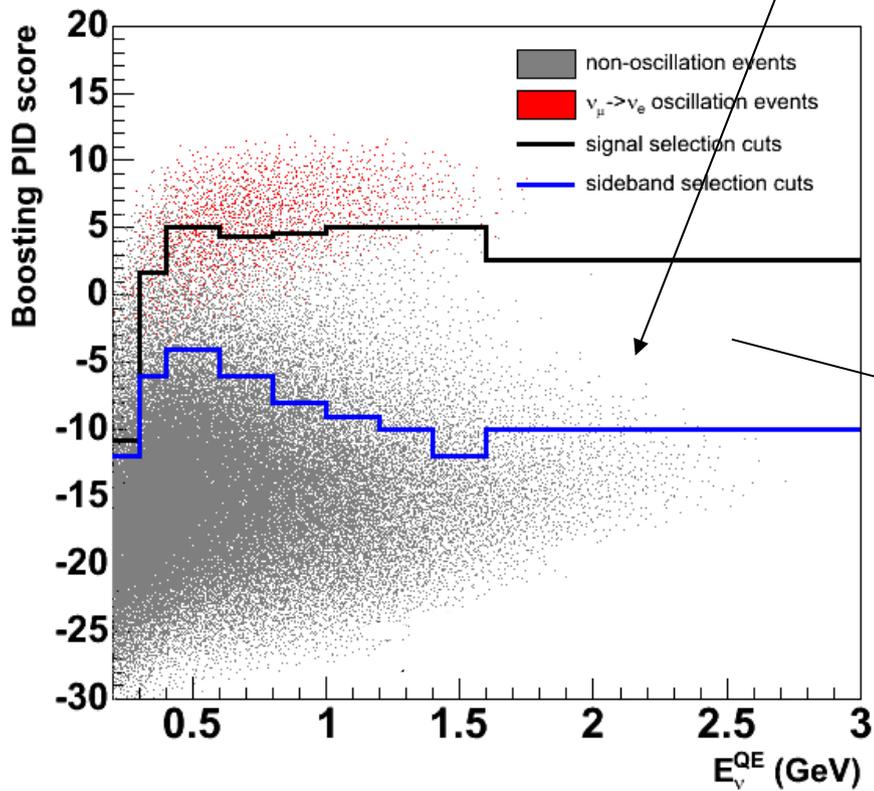
For each tree, the data event is assigned
+1 if it is identified as **signal**,
-1 if it is identified as **background**.

The total for all trees is then combined.

The resulting “score” for the event
can be thought of as a probability that it is signal.



BDT cuts on PID score as a function of energy.
We can define a “sideband” just outside of the **signal region**



MiniBooNE data constrains uncertainties!

Source of Uncertainty On ν_e background	TB/BDT error in %	Checked or Constrained by MB data	Further reduced by tying ν_e to ν_μ
Flux from π^+/μ^+ decay	6.2 / 4.3	✓	✓
Flux from K^+ decay	3.3 / 1.0	✓	✓
Flux from K^0 decay	1.5 / 0.4	✓	✓
Target and beam models	2.8 / 1.3	✓	
ν -cross section	12.3 / 10.5	✓	✓
NC π^0 yield	1.8 / 1.5	✓	
External ints. ("Dirt")	0.8 / 3.4	✓	
Optical model	6.1 / 10.5	✓	✓
DAQ electronics model	7.5 / 10.8	✓	

Different analyses are sensitive to different uncertainties