

# Dark Current Simulation And Structure R&D

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SLAC

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## *KEK*

*Toshiyasu Higo, Shuji Matsumoto, Kazue Yokoyama*

# Outline

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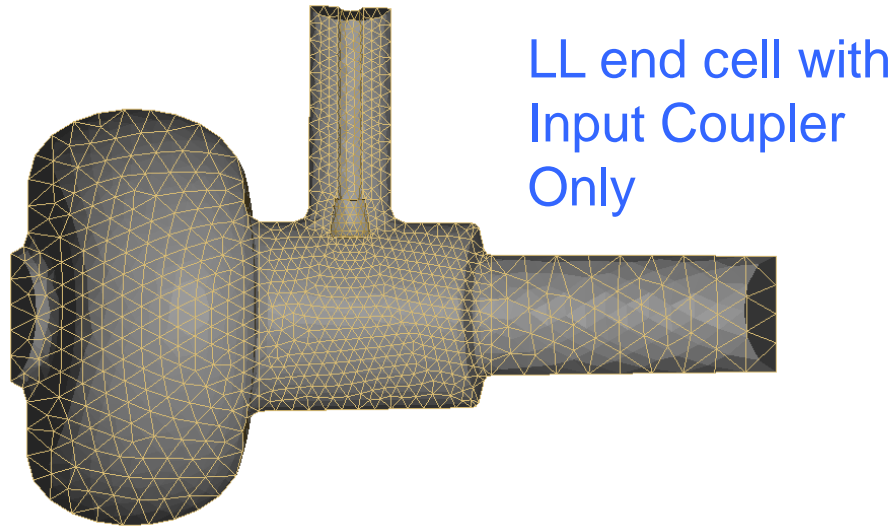
- [ACE3P – Parallel Finite Element Codes](#)
- [Progress in T18vg2.6 Dark Current Simulation](#)
- [Low RF Heating Input Coupler for HG Structure](#)
- [Cavity Optimization](#)

# ACE3P Suite

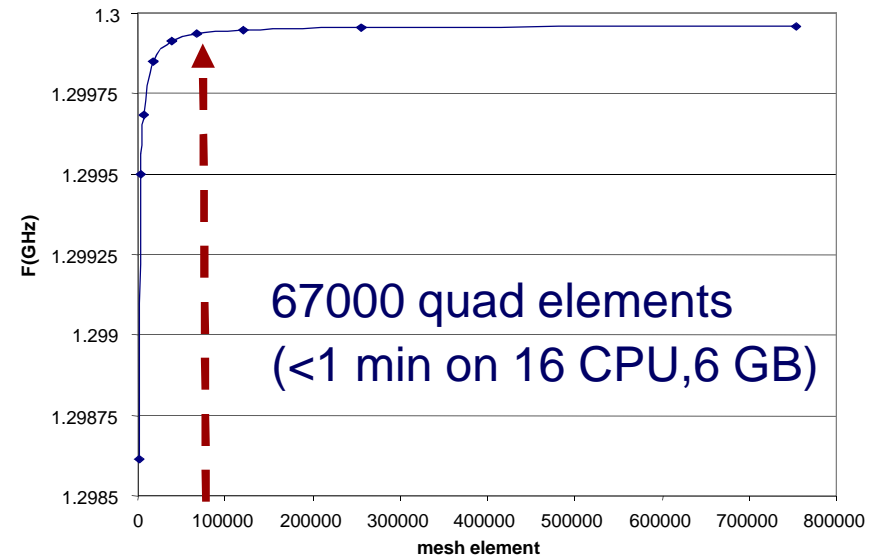
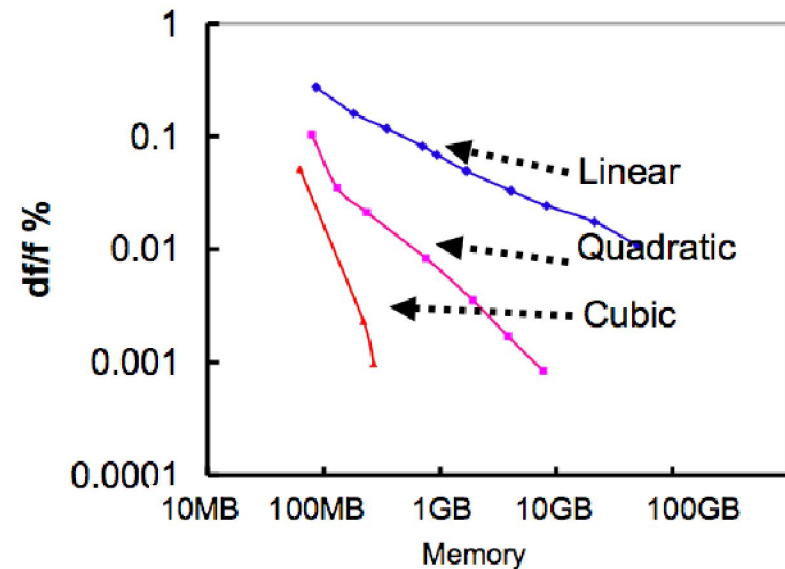
**ACE3P** – Advanced Computational Electromagnetics  
Parallel Finite Element Implementation

<b>Omega3P</b>	<i>Complex Eigensolver</i>
<b>S3P</b>	<i>S-Parameter</i>
<b>T3P</b>	<i>Transients &amp; Wakefields</i>
<b>Track3P</b>	<i>Dark Current and Multipacting</i>
<b>Pic3P</b>	<i>Self-Consistent Particle-In-Cell</i>
<b>Gun3P</b>	<i>Space-Charge Beam Optics</i>
<b>TEM3P</b>	<i>Multi-Physics EM-Thermal-Mechanical</i>
<b>V3D</b>	<i>Visualization of Mesh, Field and Particles</i>

# Key Strength of ACE3P



- **Tetrahedral Conformal Mesh** w/ quadratic surface
- **Higher-order Finite Elements**  $p = 1-6$
- **Parallel Computing** large memory & speedup



# MP/DC Simulation Using *Track3P* Module

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- 3D parallel high-order finite-element particle tracking
- Using RF fields obtained by **Omega3P** (resonant mode), **S3P** (traveling wave) and **T3P** (transient fields)
- Curved surfaces for accurate surface fields
- Emission models include thermal, field and secondary
- Benchmarked with measurements
  - Rise time effects on dark current for an X-band 30-cell structure
  - Prediction of MP barriers in the KEK ICHIRO cavity

# MP and DC Simulation Using *Track3P*

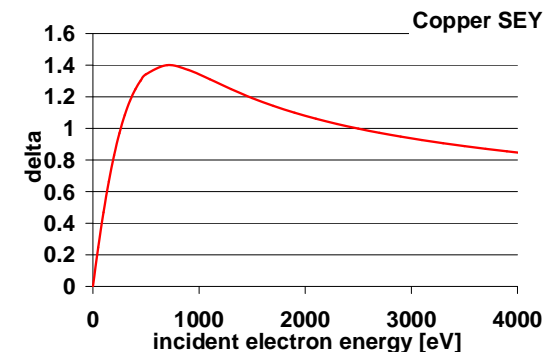
## Multipacting Simulation

- Analyze resonant conditions – location, order and type
- Calculate multipacting map using impact energy and SEY data

## Dark Current Simulation

- Track Field Emitted (FN) & Secondary Electrons

$$J(r, t) = 1.54 \times 10^{\left(-6 + \frac{4.52}{\sqrt{\varphi}}\right)} \frac{(\beta E)^2}{\varphi} e^{\left(\frac{-6.53 \times 10^9 \varphi^{1.5}}{\beta E}\right)}$$



- Analyze accumulated effects of DC current & power
  - DC current monitor
  - DC surface power monitor

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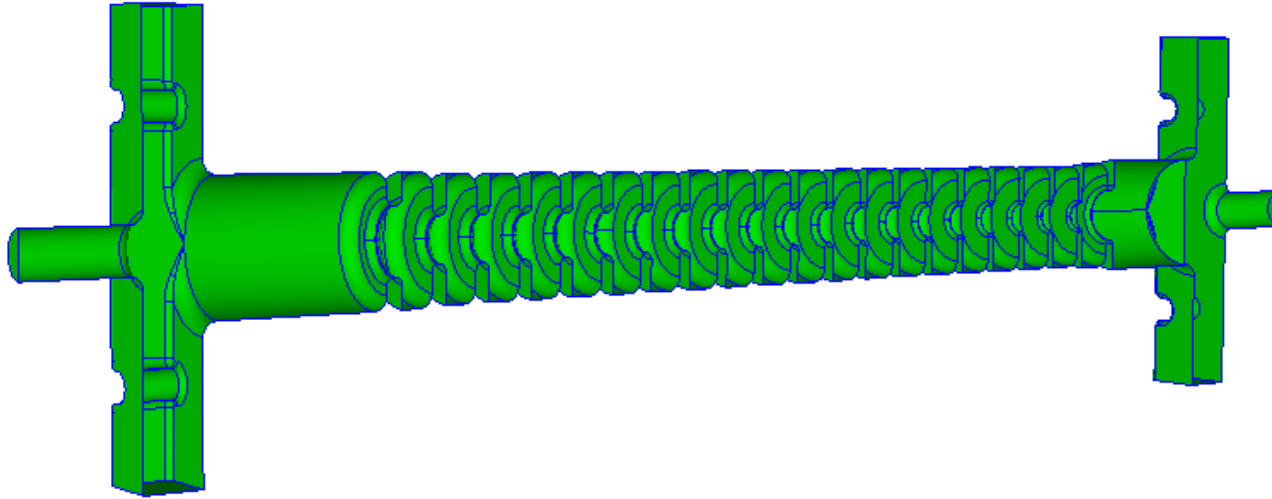
# CLIC T18vg2.6

## Dark Current simulation



# T18vg2.6 Structure

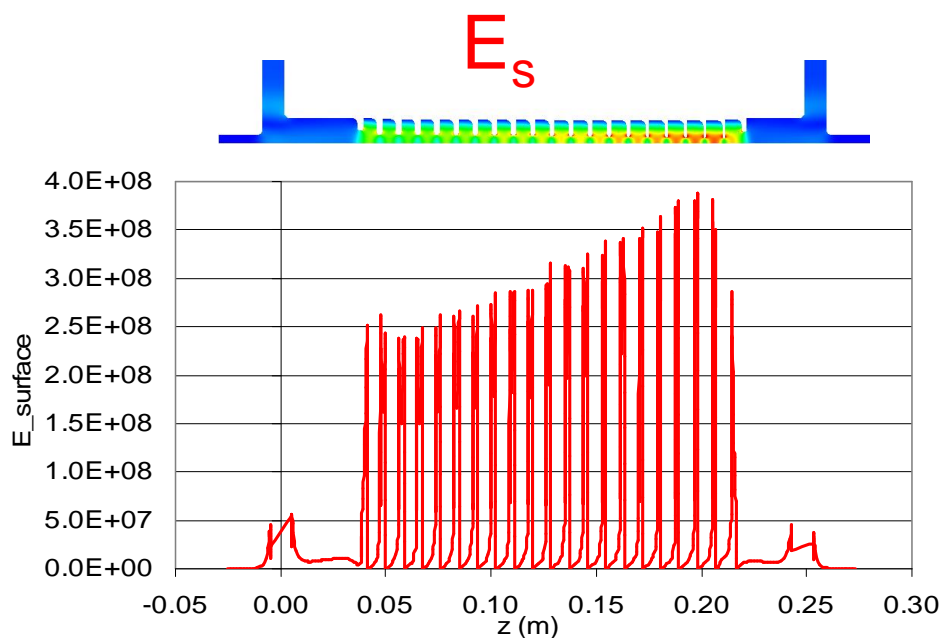
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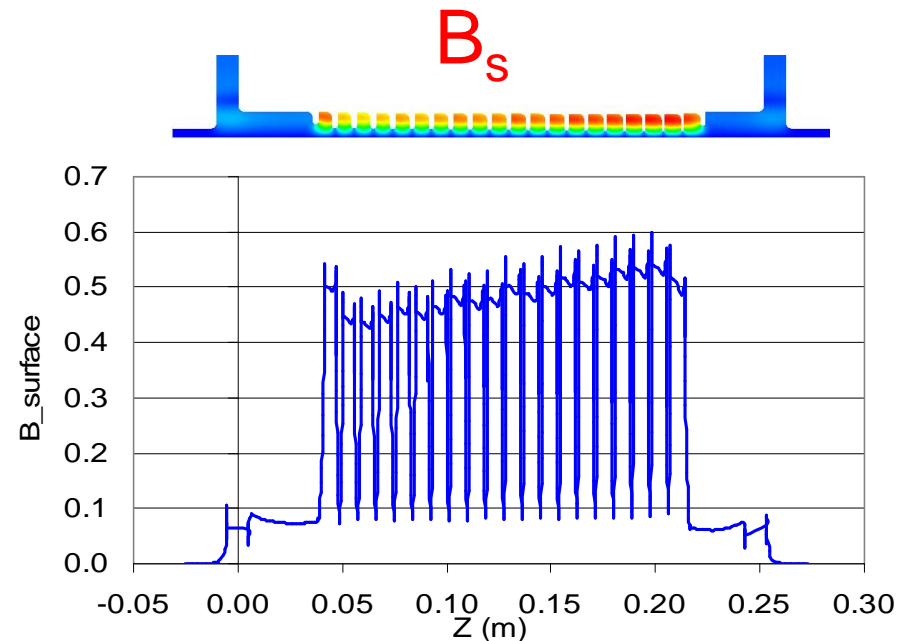
- This structure is being tested at KEK and SLAC
- Comparison between measurement and simulation in progress

# T18 Structure Fields

RF fields obtained using S3P with surface loss  
 $S_{11}=0.014$ ;  $S_{22}=0.032$ ;  $S_{12}=0.82$

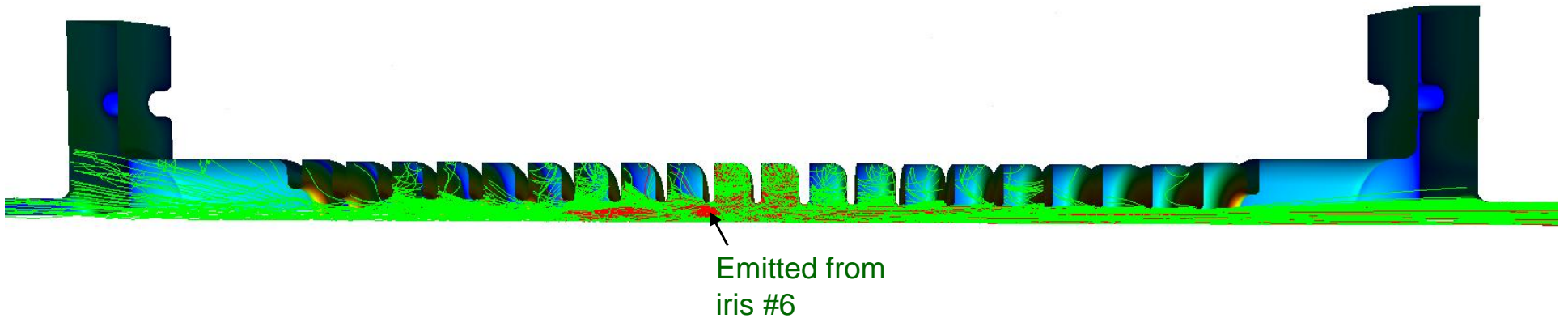


Structure tapered: higher  
E fields at output end



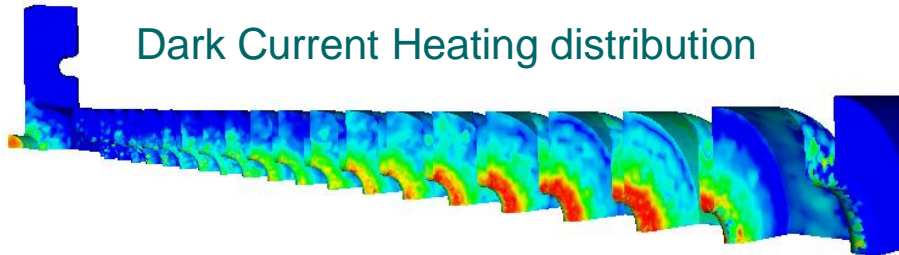
Higher B field at the output end,  
not as significant as E field

# Dark Current Simulation

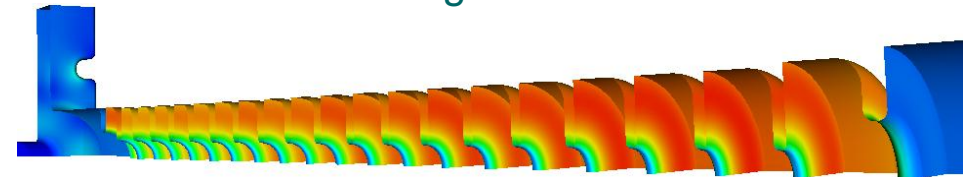


- Intercepted electrons deposit energy into the wall and result in heating.
- Captured electrons are accelerated downstream and may induce IP background.

# Dark Current Heating



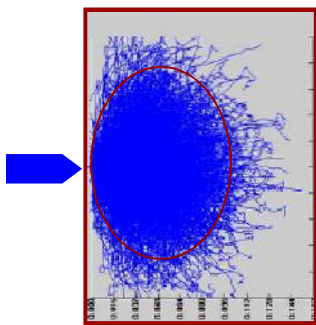
Dark Current Heating distribution



RF Heating distribution

Assumed emitters uniformly distributed. In reality, most likely clusters of emitters, result in local hot spots.

High energy electron penetration into material



Sharon Lee  
ICSE2006

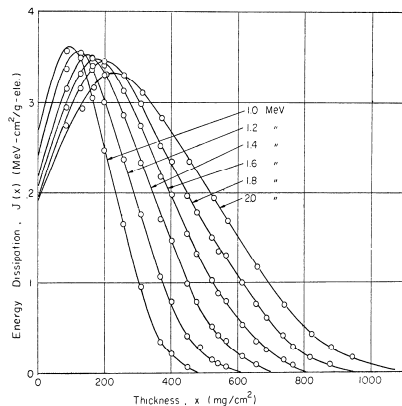


Fig. 9. Energy dissipation curves of electrons in copper.

Yohta Nakai JJAP-2-743

## Dark Current Heating

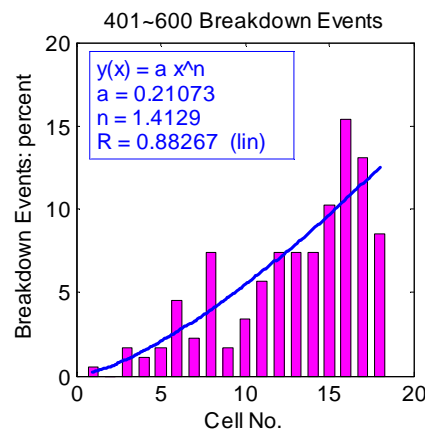
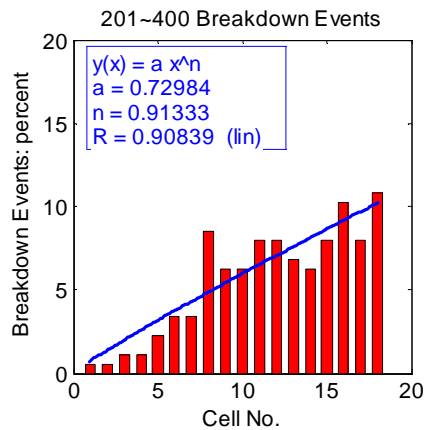
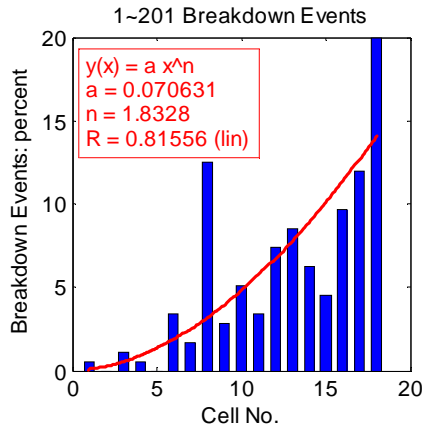
- Interception concentrated in high E region around iris
- Impact energy could be as high as a few MeV
- Depth of energy deposit ~ 1-2 hundred microns
- Significantly higher heating at the output end
- Heating distribution correlate well with breakdown rate

## RF Pulse Heating

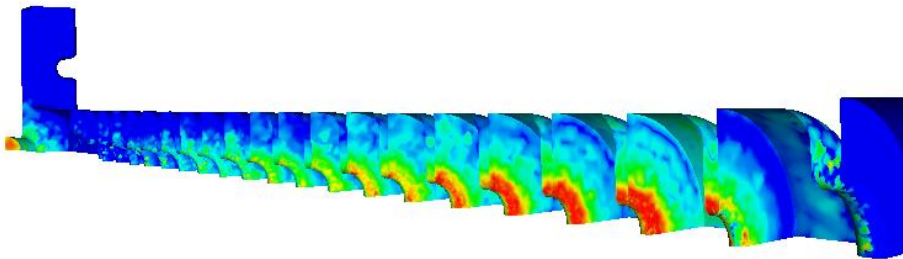
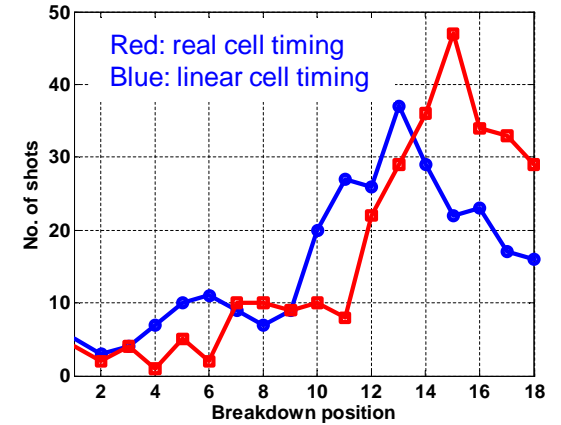
- High on the outer wall where electric field is “low”.
- Depth ~ skin depth
- Temperature rise is around 25°C at 100MV/m, 200ns pulse length
- At  $E_{acc}=80$  MV/m; ( $H_s/E_a \sim 0.004$ ),  $Power_{max}=1.4$  GW/m<sup>2</sup>

# High Power Test Data - Breakdown Distribution

F. Wang

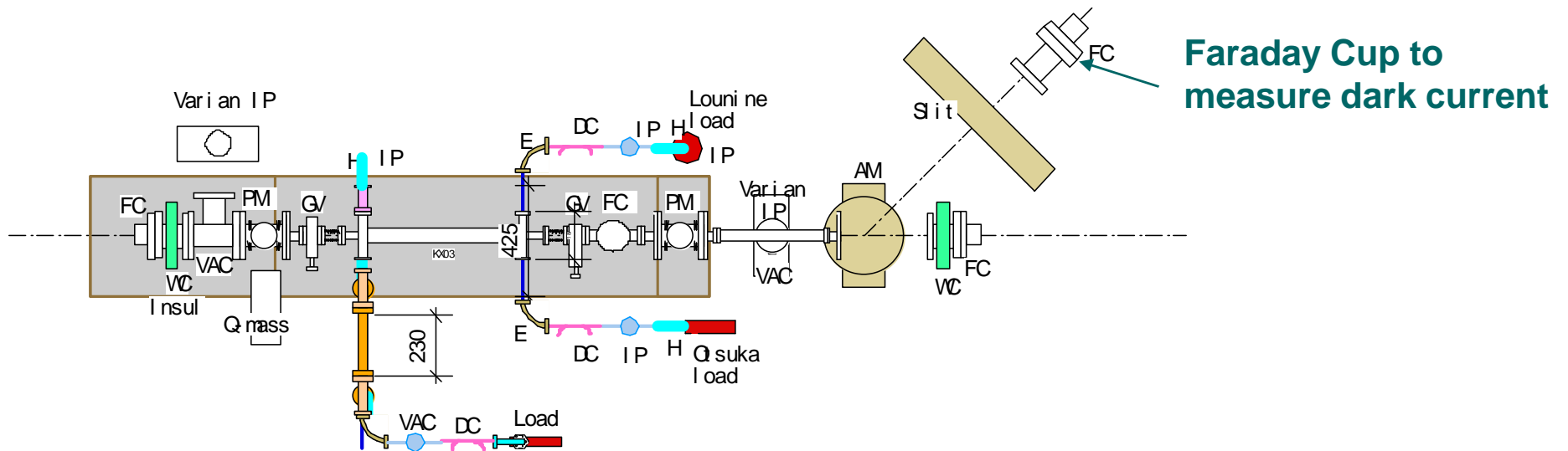


KEK, Higo



- Breakdown rate significantly higher at the output end
- Good correlation with field enhancement and dark current heating at the output end

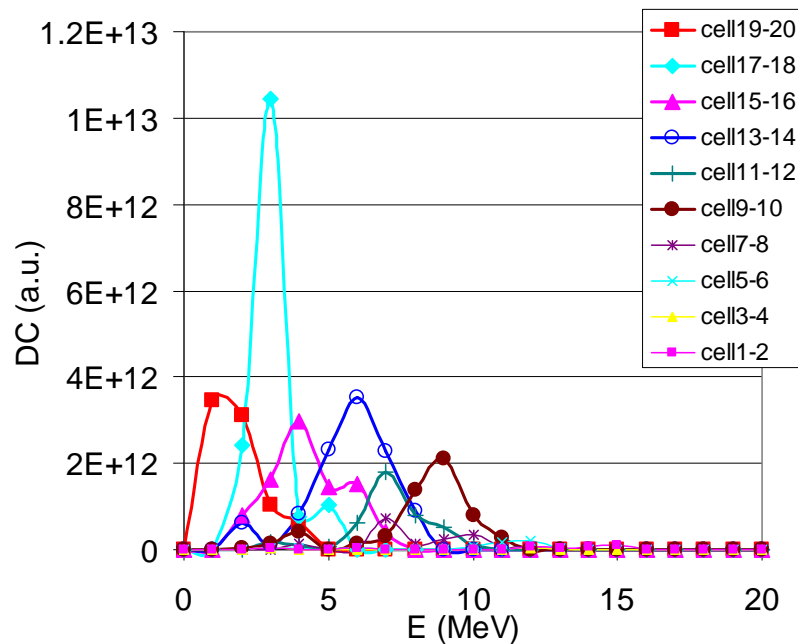
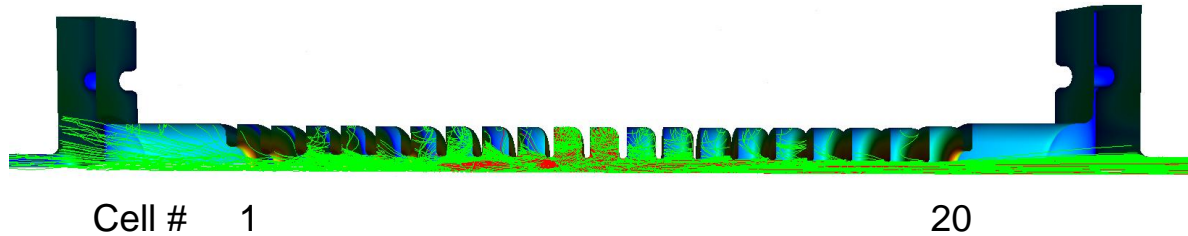
# Dar Current Measurement & Comparison with Simulation



Schematic of KEK high power test and dark current measurement

SLAC is also setup for similar measurement

# Energy of Captured Dark Current vs Location



## Simulation

Electron energy as function of emission location.

- $E_{acc}=97\text{MV/m}$ .
- Higher cell number indicates downstream location

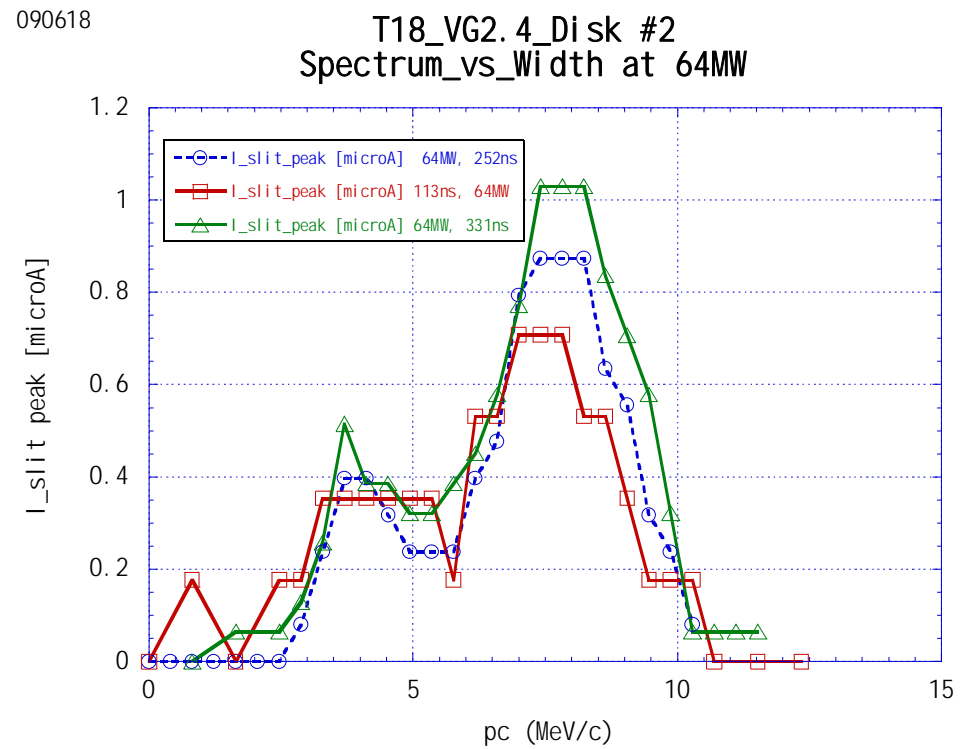
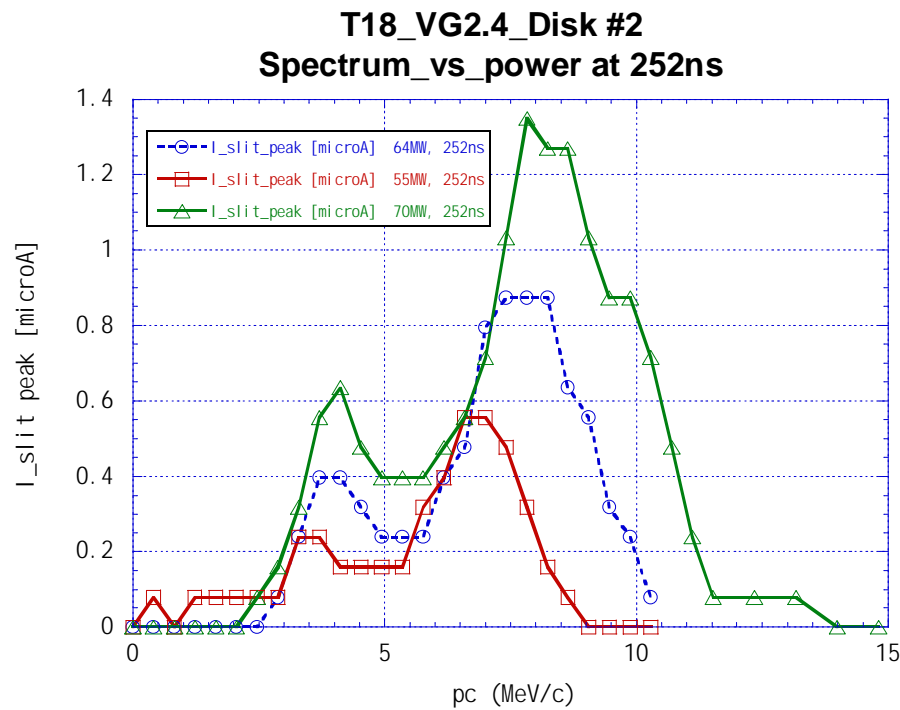
Electrons emitted upstream are accelerated to higher energy (monitored at output end).

# T18\_VG2.4\_Disk\_#2

## Dark current spectra measured 18 June 2009

Dependence on power

Dependence on width

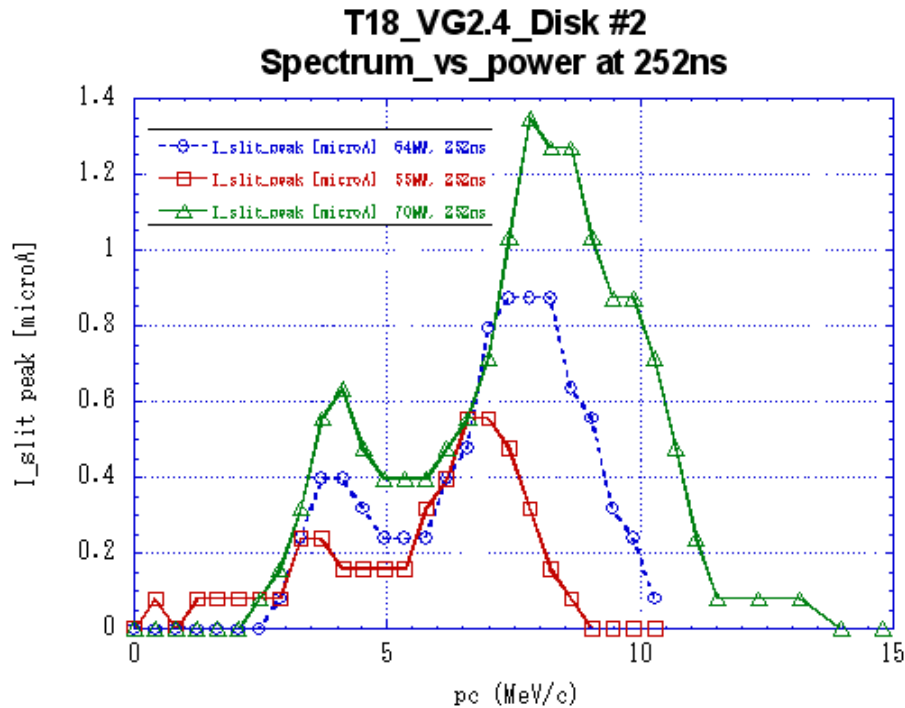


Measurement Data at KEK (Higo)

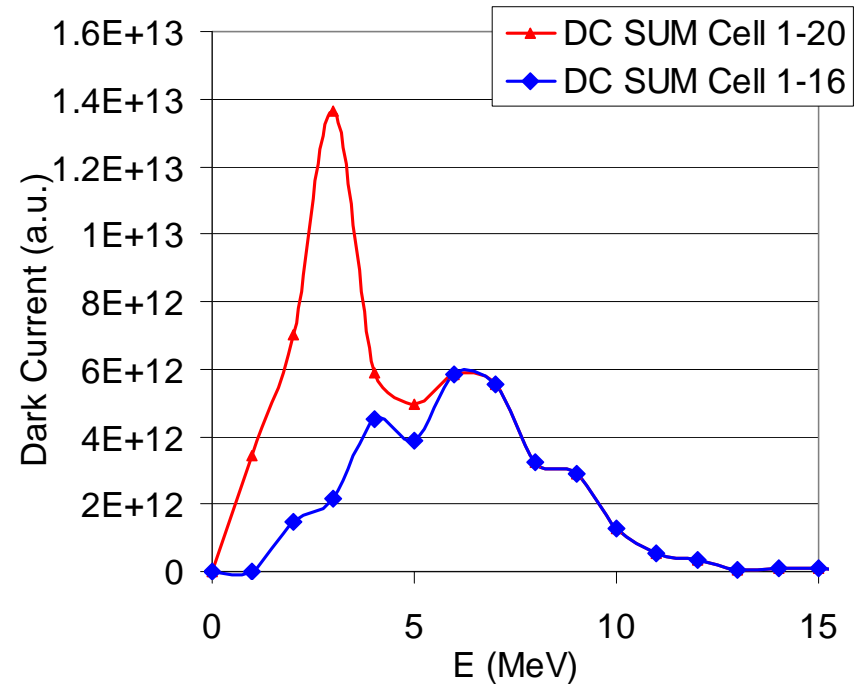
Higo 090703



# Dark Current Spectrum Comparison



Measured dark current energy spectrum at downstream (need to scale by  $1/(pc)$ )

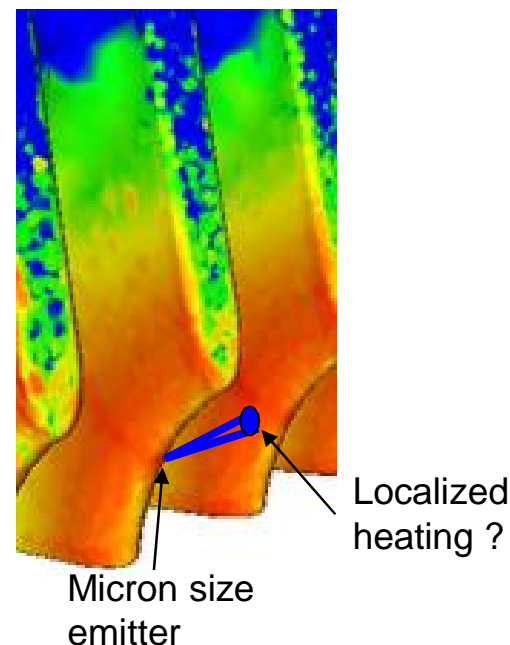


Spectrum from Track3P simulation, 97MV/m gradient.

“Certain” collimation of beampipe on dark current is considered in simulation data. More detailed analysis Needed.

# Individual Field Emitter

- Field emission current density based on FN can be significant
  - with  $\beta=50$ ,  $E_{acc}=100$  MV/m,
  - $J_{peak} \sim 10^{13}$  A/m<sup>2</sup>
- Uniform emission (with typical beta) result too high in current
- Need to study effects of individual emitters



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- In progress

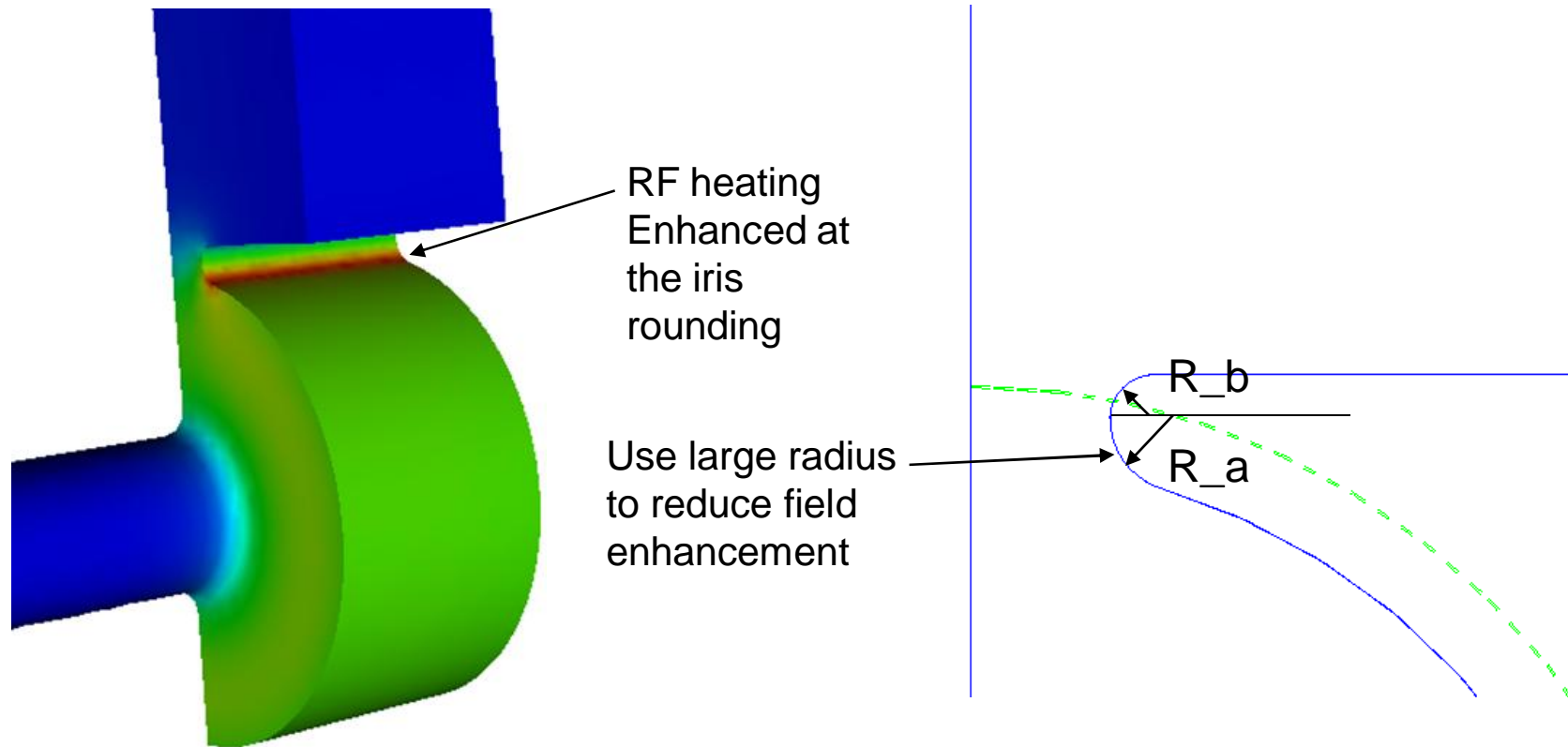
- Realistic assumption of emitter parameters – size & density ...
- More detailed analysis and comparison with measurement of captured dark current

# Structure Design

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## Low H-field Enhancement Coupler For High Gradient 3-cell Test Stack

# Fat-lip Coupler

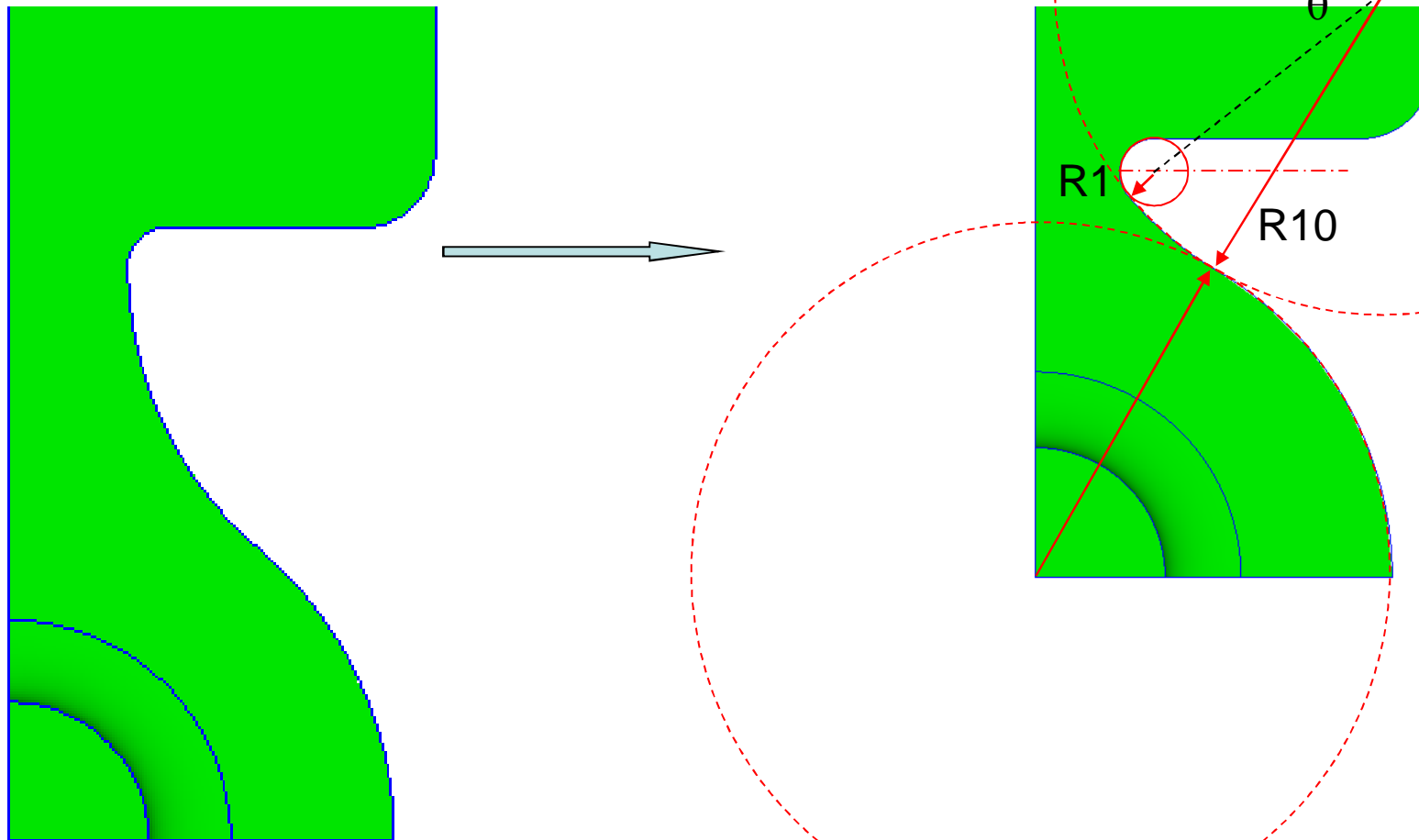


Large rounding lead to “thick” iris -> large opening -> field enhancement

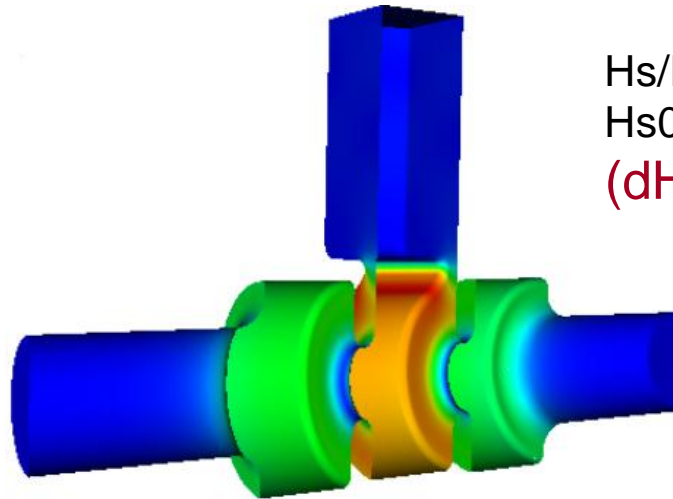
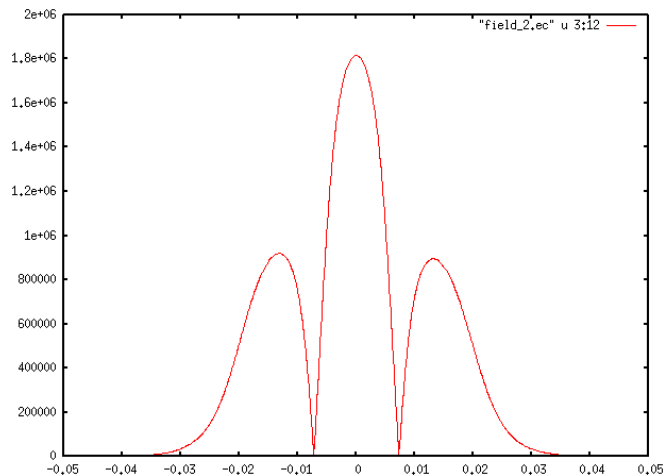
# Low Field Enhancement Coupler Thin Iris Shape With Large Rounding

Coupling Iris: two arcs

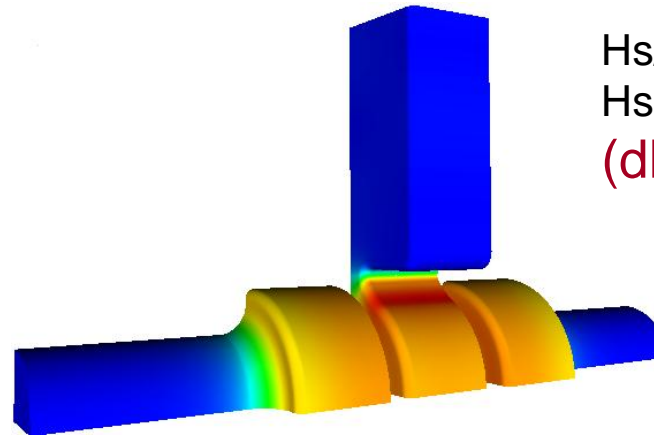
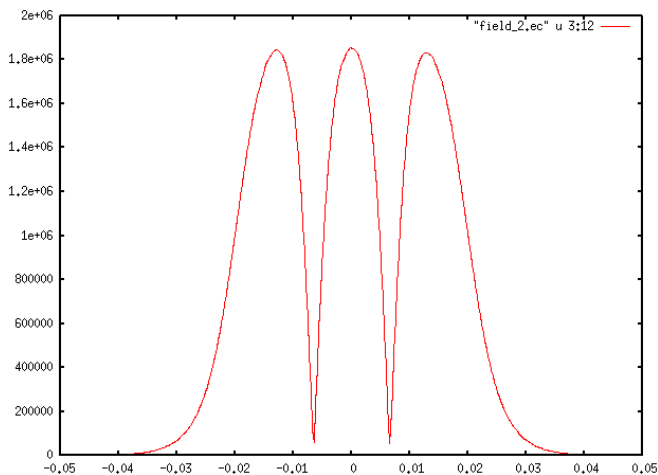
(elliptical – hard to calculate tangential point)



# Low Field Enhancement Coupler For 3-Cell Test Stack

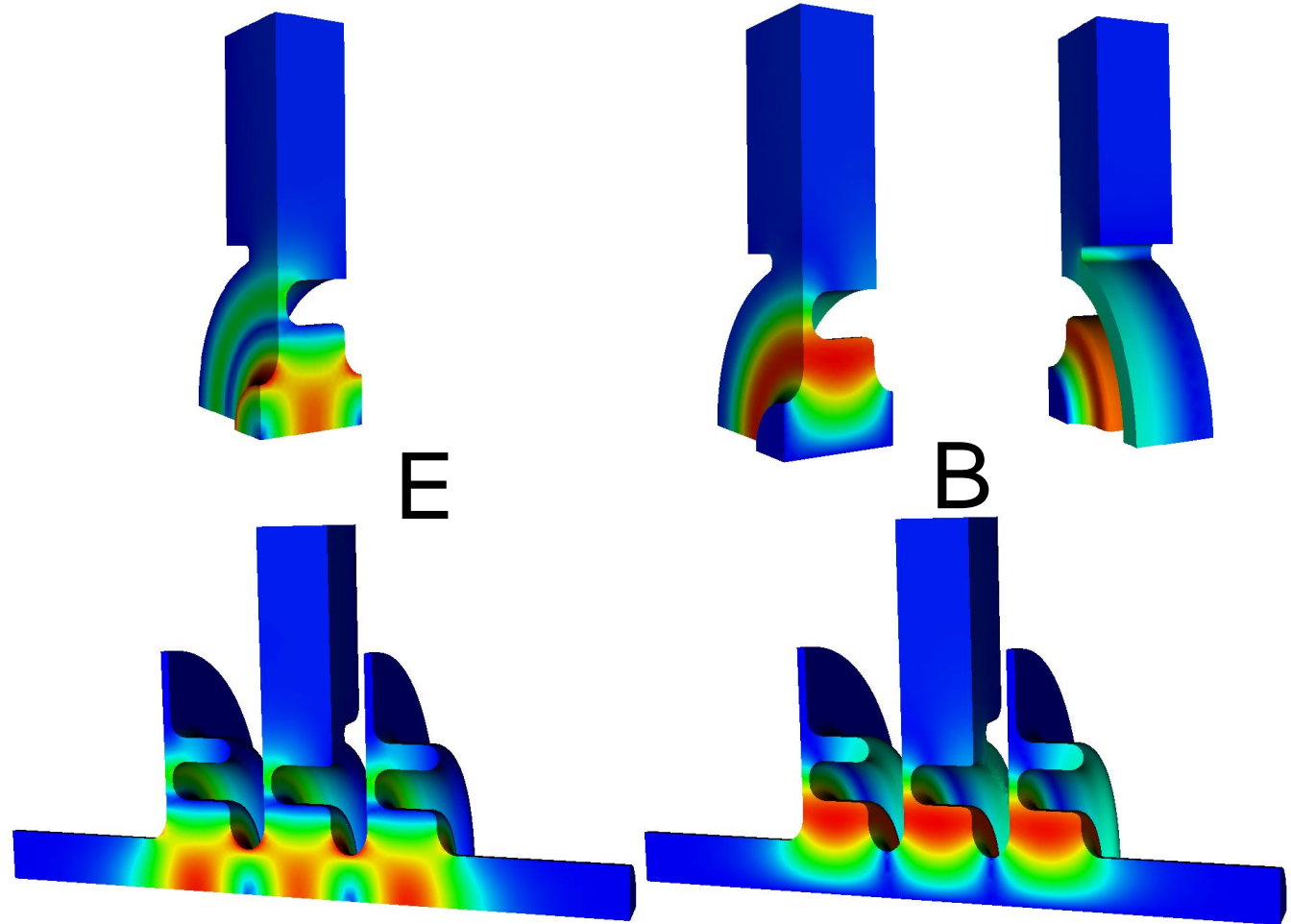


$H_s/E_a=3.90$  (A/m)/(MV/m)  
 $H_{s0}/E_a=3.23$  (A/m)/(MV/m)  
( $dH_s/H_{s0} = 1.21$ )



$H_s/E_a=3.99$  (A/m)/(MV/m)  
 $H_{s0}/E_a=3.35$  (A/m)/(MV/m)  
( $dH_s/H_{s0}=1.19$ )

# Choke Cell Coupler With “no” Field Enhancement



- No Field enhancement
- Choke parameters need to be optimized to avoid multipacting and other side effects



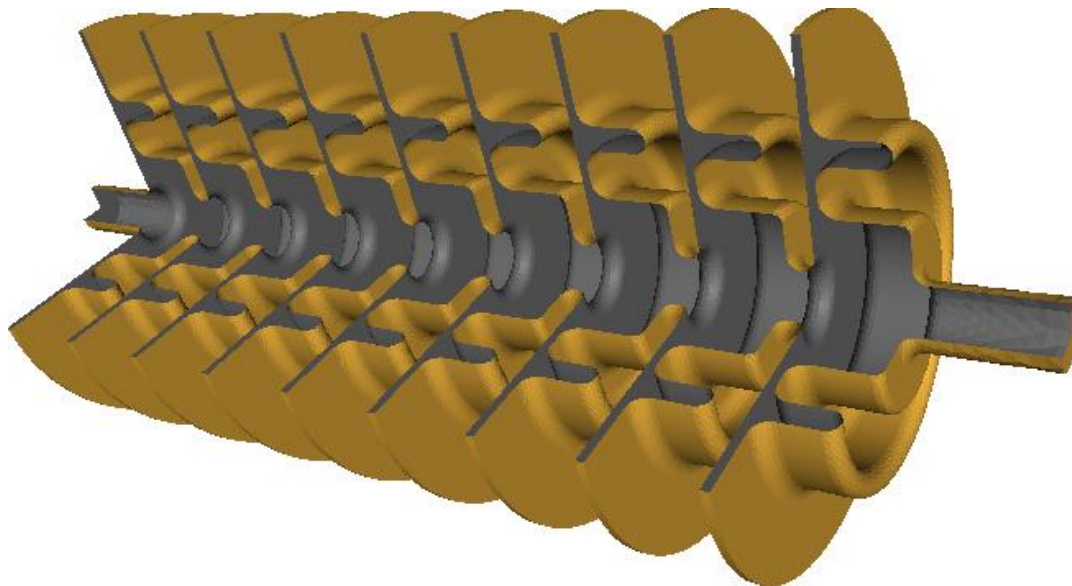
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# Finite Element Optimization Tool for Structure Design and Optimization

# Cavity Design through Optimization

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- Choke cavity as example
- PDE-constraint optimization
  - Objective function is specific to design goal
  - Design variables are the shape parameters
  - Quasi-Newton method (BFGS) may be used



(Initial design by Valery  
Cavity model by S. Pei)

# Shape Optimization

Objective Function -- the weighted least-squares fit

$$\mathcal{J} = -\beta Q_a + \alpha \sum Q_{HOM} + \gamma \sum_{j=1}^9 \left( |e(x_j)| - |\bar{e}| \right)^2 - \delta V_a$$

Lagrangian:

$$\begin{aligned} \mathcal{L}(\mathbf{d}, \mathbf{e}, k, \mathbf{t}, \xi, \eta) = & \mathcal{J} + \mathbf{t}^T (\mathbf{K}\mathbf{e} + jk\mathbf{W}\mathbf{e} - k^2\mathbf{M}\mathbf{e}) \\ & + \xi(\mathbf{e}^H \mathbf{M}\mathbf{e} - 1) \\ & + \eta(\Re(\mathbf{e})\mathbf{M}\Im(\mathbf{e})) \end{aligned}$$

**d**: shape parameters,

**e**: eigenvector, **k**: eigenvalue,

**t**,  $\xi$ , and  $\eta$  are adjoint variables (Lagrange multipliers)

# Complex Nonlinear Eigenvalue Problem

- With finite-element discretization  $\vec{\mathbf{E}} = \sum e_i \mathbf{N}_i$
- The eigenvalue problem:

$$\mathbf{K}\mathbf{e} + j \sum_m \sqrt{k^2 - k_{c_m}^2} \mathbf{W}_m \mathbf{e} = k^2 \mathbf{M}\mathbf{e}$$

$$\mathbf{K}_{ij} = \int_{\Omega} (\nabla \times \mathbf{N}_i) \cdot \frac{1}{\mu} (\nabla \times \mathbf{N}_j) d\Omega$$

$$\mathbf{M}_{ij} = \int_{\Omega} \mathbf{N}_i \cdot \epsilon \mathbf{N}_j d\Omega$$

$$(\mathbf{W}_m)_{ij} = \int_{\Gamma} (\mathbf{n} \times \mathbf{N}_i) \cdot (\mathbf{n} \times \mathbf{N}_j) d\Gamma$$

- Frequency:  $f_i = \frac{\Re(k)_i c}{2\pi}$
- External Q:  $Q_i = \frac{\Re(k)_i}{2\Im(k)_i}$
- Fields:  $\vec{\mathbf{H}} = \frac{1}{\mu c k} \sum e_i \nabla \times \mathbf{N}_i$

# Optimality Conditions

$$\delta\mathcal{L}(\mathbf{d}, \mathbf{e}, k, \mathbf{t}, \xi, \eta) = 0$$

$\mathbf{d}$ : shape parameters,  
 $\mathbf{e}$ : eigenvector,  $k$ : eigenvalue,  
 $\mathbf{t}$ ,  $\xi$ , and  $\eta$  are adjoint variables

State equations

$$\mathbf{K}\mathbf{e}_i + jk_i\mathbf{W}\mathbf{e}_i - k_i^2\mathbf{M}\mathbf{e}_i = \mathbf{0}$$

$$\mathbf{e}_i^H \mathbf{M}\mathbf{e}_i = 1$$

$$\Re(\mathbf{e}_i)^T \mathbf{M}\Im(\mathbf{e}_i) = 0$$

Adjoint equations

$$\mathbf{K}\mathbf{t} + (jk)^* \mathbf{W}\mathbf{t} - (k^2)^* \mathbf{M}\mathbf{t} + \xi_i \mathbf{M}\mathbf{e} + j\eta \mathbf{M}\mathbf{e}^* = \frac{\partial \mathcal{J}}{\partial \mathbf{e}}$$

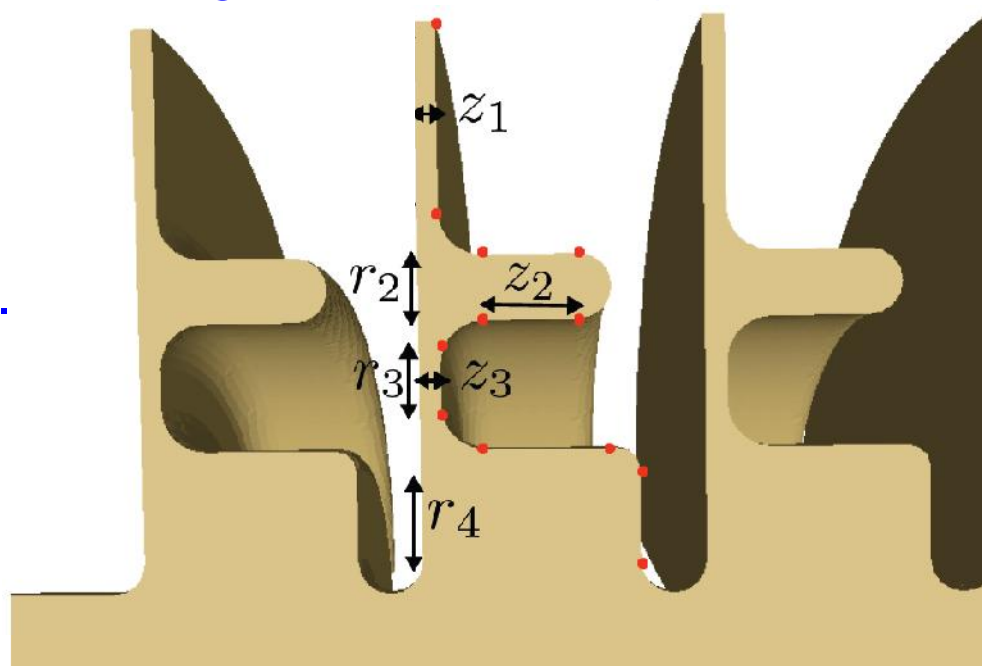
$$j\mathbf{t}^T \mathbf{W}\mathbf{e}^* + 2k^* \mathbf{t}^T \mathbf{M}\mathbf{e}^* = \frac{\partial \mathcal{J}}{\partial k}$$

Inversion equations

$$\frac{\partial \mathcal{L}}{\partial d_p} = \frac{\partial \mathcal{J}}{\partial d_p} + \sum_{i=1}^{n_a} \left[ \frac{1}{2} \mathbf{t}_i^H \left( \frac{\partial \mathbf{M}}{\partial d_p} \mathbf{e}_i + jk_i \frac{\partial \mathbf{W}}{\partial d_p} \mathbf{e}_i - k_i^2 \frac{\partial \mathbf{K}}{\partial d_p} \mathbf{e}_i \right) + \frac{1}{2} \text{c.c.} \right. \\ \left. + \frac{1}{2} \xi \mathbf{e}_i^H \frac{\partial \mathbf{M}}{\partial d_p} \mathbf{e}_i + \eta_i \Re(\mathbf{e}_i)^T \frac{\partial \mathbf{M}}{\partial d_p} \Im(\mathbf{e}_i) \right]$$

# Design Parameters

- **Optimization goals:**
  - Set accelerating mode frequency to 11.424 GHz.
  - Satisfy field flatness for the accelerating mode.
  - Maximize external Q for the accelerating mode.
  - Minimize external Q value for the higher order modes (HOM).
- **Shape parameters:**
  - Design variables are CAD parameters.
  - 7 middle cells are identical.
  - Parameters have simple bounds.



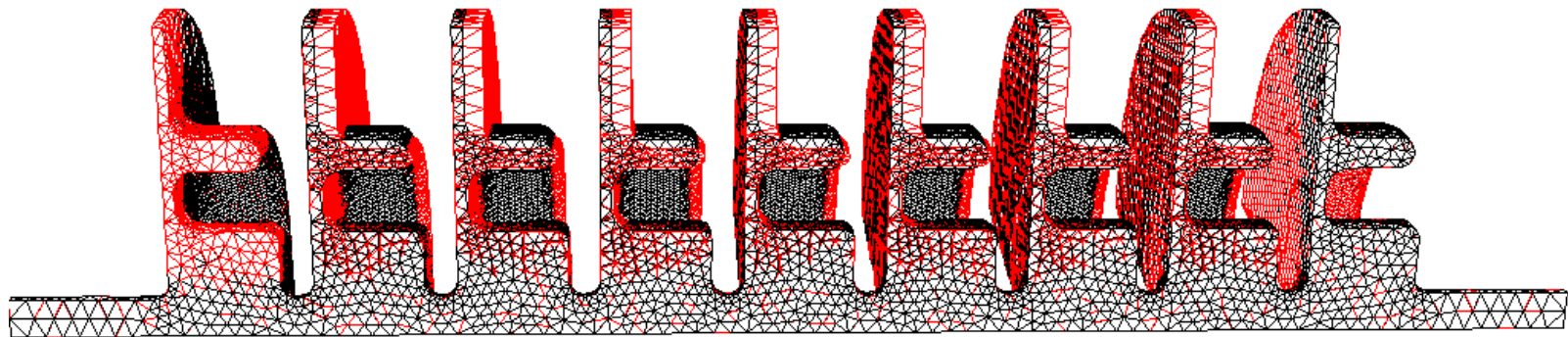
# Optimized Shape of Choke Cavity

## Optimized shape parameter changes in microns

Cell1	Cell 2-8						Cell 9
r4	r2	r3	r4	z1	z2	z3	r4
0.5	-1219	382	-7.5	1771	583	224	-0.2

Initial design

Optimized design



# Choke Cavity – Initial vs Optimized

- Accelerating Mode

Acc. Frequency = 11.423875 GHz (initial)

Acc. Frequency = 11.424012 GHz (optimized)

Qacc = 7.508 e9 (initial)

Qacc = 1.400 e10 (optimized)

- Higher order modes: **Q values decreased by factor of 5**

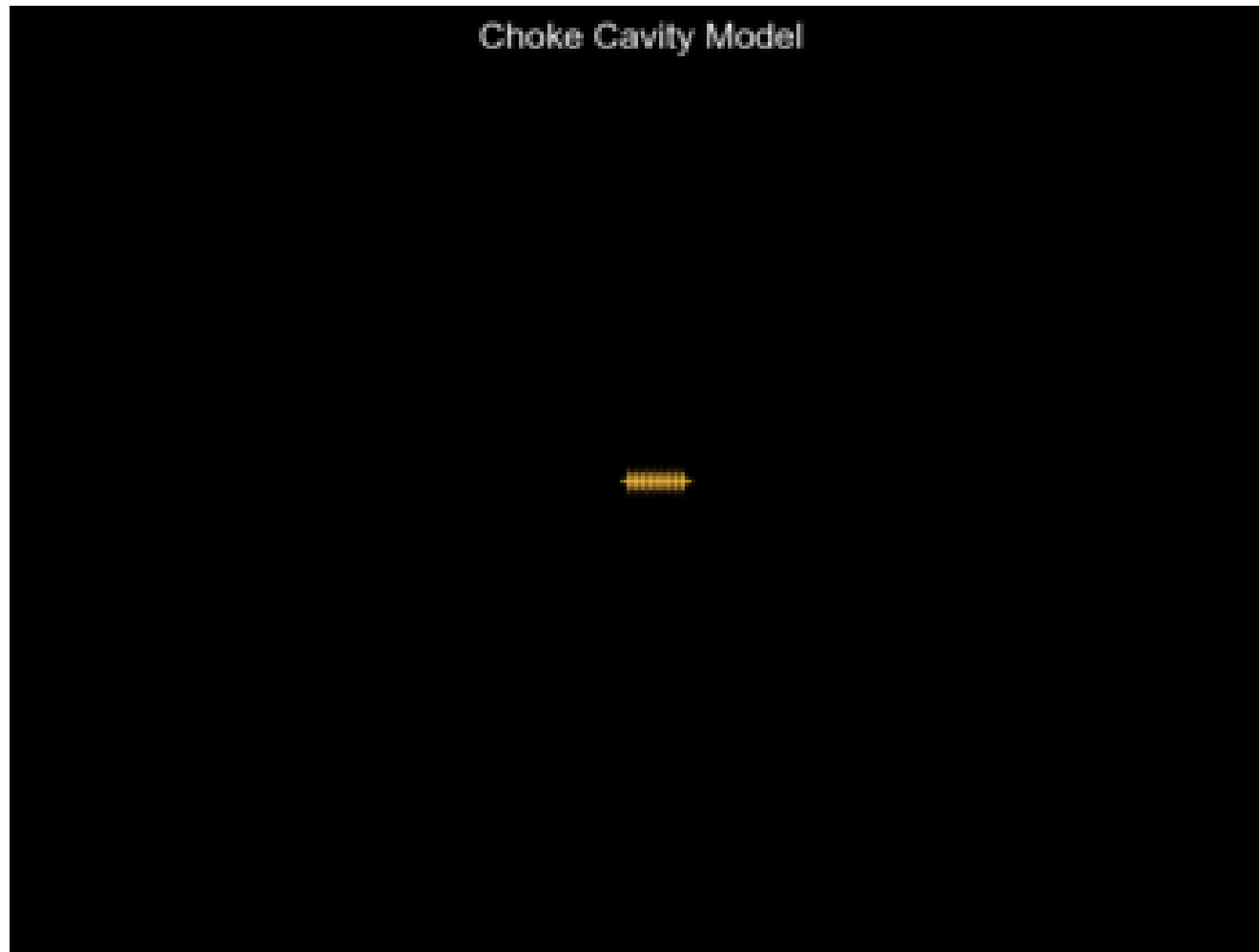
## Q values for High Order Modes

Initial	305.55	190.64	95.86	26.38	28.53	31.30
Optimized	41.67	63.15	45.84	16.29	16.46	9.80



# Choke Cavity - Optimized Cavity Performance

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High gradient choke mode structure optimized to reduce wakefield effects of higher-order dipole modes

# Summary

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- Track3P is a parallel multipacting and dark current simulations code based on finite element mesh. It provides an effective tool for observing quantities inside structure such as (effect of) dark current intercepted by interior wall of a high gradient structure
- Progress is being made in simulating CLIC T18 structures using Track3P. Preliminary comparisons with measurement performed
- Low surface field coupler developed for high gradient test structures
- Advanced optimization tool being developed for structure R&D