## **Physics of Neutrino Mass**

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### Main theme of the talk

OBSERVATIONS ?

ABOUT NEW PHYSICS ?

#### Summary of what we now know

(B. Kayser's talk for details)

$$\rightarrow \quad \nu_i \neq 0; \ \theta_{ij} \neq 0$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\alpha i} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$> PMNS = \begin{cases} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & c_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{cases} K$$

$$> i\phi_1, e^{i\phi_2}$$

$$c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} \ c_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta}$$

$$\left. \begin{array}{c} s_{13}e^{-i\delta} \\ s_{23}c_{13} \\ c_{23}c_{13} \end{array} \right) K$$

$$_{ij}$$
 at  $3\sigma$ 

$$^{2}2\theta_{12} \simeq 0.71 - 0.93$$

confirms Mikheyev-Smirnov-Wolfenstein effect

$$\geq$$
  $^22\theta_{23} \simeq 0.89 - 1.00$ 

$$>$$
 13  $\leq 0.23$ 

#### **MASSES**

 $(3\sigma)$ 

- 1. ::NORMAL:: $\rightarrow m_1 \ll m_2 \ll m_3$  $\rightarrow \Delta m_{31}^2 > 0$ ;  $m_3 \simeq 0.05 \text{ eV}$ ;  $m_2 \simeq 0.009 \text{ eV}$
- 2. ::INVERTED:: $\to m_1 \simeq m_2 \gg m_3 \to \Delta m_{31}^2 < 0; m_1 \simeq m_2 \simeq 0.05 \text{ eV}$
- 3. ::DEGENERATE::  $m_1 \simeq m_2 \simeq m_3 \to \Delta m_{31}^2 > or < 0$



#### that we stll do not know is:

Is neutrino its own antiparticle ? i.e. is  $\nu=\bar{\nu}$ 

If  $\nu = \bar{\nu}$ , it is Majorana; otherwise Dirac

#### Overall mass scale



and (ii) and absolute mass in case(iii)



- 1.  $^3H$  Decay end point:  $\Sigma_i m_i^2 |U_{ei}|^2 \leq 2.2 \text{ eV}^2$  (KATRIN expected to improve it to 0.2 eV)
- 2. Cosmology:  $\Sigma m_i \leq 0.4$  eV (WMAP, SDSS: will be improved by Planck)
- 3. If neutrino Majorana i.e.  $\nu=\bar{\nu}$ ,  $\beta\beta_{o\nu}$  results imply:  $\Sigma_i\,U_{ei}^2m_i\leq 0.3-0.5$  eV (Expected improvement to 0.03 eV)

### How many neutrinos?

coupling to Z (active neutrinos  $u_{e,\mu, au}$ )

(one or two) that do not couple to Z (sterile neutrinos  $\nu_s$ ), but mix with known neutrinos. Masses  $\approx 1$  to a few eV.

> and WMAP pprox 2

 $_s$  from BBN ( $\leq 0.3$ 

formation.

# Prospects for discriminating between Dirac and Majorana neutrino

 $^2$ ,  $etaeta_{0
u}$  and KATRIN result can tell us

#### a lot:

# there are 8 possibilities and in each case we learn something

| $\beta \beta_{0\nu}$ | $\Delta m_{32}^2$ | KATRIN | Conclusion                  |
|----------------------|-------------------|--------|-----------------------------|
| yes                  | > 0               | yes    | Degenerate, Majorana        |
| yes                  | > 0               | No     | Degenerate, Majorana        |
|                      |                   |        | or normal or heavy exchange |
| yes                  | < 0               | no     | Inverted, Majorana          |
| yes                  | < 0               | yes    | Degenerate, Majorana        |
| no                   | > 0               | no     | Normal, Dirac or Majorana   |
| no                   | < 0               | no     | Dirac                       |
| no                   | < 0               | yes    | Dirac                       |
| no                   | > 0               | yes    | Dirac                       |

# Theoretical Implications: (first only three neutrinos)

$$\rightarrow$$
  $\nu \ll m_{u,d,e}$  ?

mixings ?

$$o$$
  $rac{\Delta m_{\odot}^2}{\Delta m_A^2} \ll 1$  but  $\gg \left(rac{m_{\mu}}{m_{ au}}
ight)^2$  (for normal hierarchy)?

standard model e.g. do they reveal any symmetries for leptons, quarks; any new forces, any new physical effects?

unification which unifies quarks and leptons (specially since there are so many differences)?

## A Primer on Fermion masses and mixings



#### $ar{\psi}_L\psi_R$ in the

#### Lagrangian

If there are more fermions of the same kind, then

$$\mathcal{L}_{mass} = M_{ab} \bar{\psi}_{a,L} \psi_{b,R}$$

> ab = Mass matrix

$$U_L M U_R^{\dagger} = diag(m_1, m_2, \cdot, \cdot)$$

- >  $_{L,R}$  gives the mixings between different fermions,  $\psi_a$  and  $m_i$  are the actual masses e.g. for quarks,  $U_{L,ab}$  contains the CKM mixings.

the mass matrix

fermions:  $\bar{\psi_L}\psi_R$  or  $\psi_L^TC^{-1}\psi_L$  (or  $L\leftrightarrow \mathsf{R}$ )

- $i^{\alpha}\psi$ , the first mass is invariant whereas the second term is not;
- fermions and those with both kinds are called Majorana fermions
- symmetry: e.g. for  $e,\mu,q...$ , extra symmetry is  $U(1)_{em}$ ; since  $Q(\nu)=0$ , no such symmetry is there for  $\nu$
- small mass is easier for Majorana neutrino.

#### Standard model

Glashow, Weinberg, Salam

$$\sim c \times SU(2)_L \times U(1)_Y$$

$$\left( egin{array}{c} u_L \ d_L \end{array} 
ight); \ \psi_L \equiv \left( egin{array}{c} 
u_L \ e_L \end{array} 
ight);$$

Singlets:  $u_R$ ;  $d_R$ ;  $e_R$ 

Higgs: 
$$H \equiv \begin{pmatrix} H^0 \\ H^- \end{pmatrix}$$

$$> Y = h_u \bar{Q}_L H u_R + h_d \bar{Q}_L \tilde{H} d_R + h_e \bar{\psi}_L \tilde{H} e_R + h.c.$$

appropriately chosen form for the potential which gives  $< H^0 >= v_{wk}$ 

$$> u_{a,L}M^u_{ab}u_{b,R} + \bar{d}_{a,L}M^d_{ab}d_{b,R} + \bar{e}_{a,L}M^e_{ab}e_{b,R};$$

lacksquare  $_{
u}=0$  in the standard model

fermions:  $\bar{\psi_L}\psi_R$  or  $\psi_L^TC^{-1}\psi_L$  (or  $L\leftrightarrow \mathsf{R}$ )

- $u_L^T C^{-1} \nu_L \text{ could be there}$
- exact symmetry, B-L

hidden in the standard model that would give  $m_{\nu} \neq 0$  ?

# We ignored gravity in our considerations

gravitational effects such as black holes or worm holes etc.

standard model e.g.  $(\psi_L H)^2/M_{P\ell}$ ;

 $u \simeq rac{v_{wk}^2}{M_{P\ell}} \sim 10^{-5}$  eV- clearly too small to explain atmospheric neutrino deficit.

## Std model successful but unsatisfactory



- 1. Not symmetric between quarks and leptons, even though weak interactions are
- 2. What is the origin of parity violation?
- 3. Electric charge formula:  $Q=I_{3L}+\frac{Y}{2}$ ; we know what is  $I_{3L}$ ; what is Y- an adjustable parameter !!
- 4. Can neutrinos help us understand these issues better ?

## Neutrino mass and Nature of new physics

#### R to the standard model

 $\rightarrow Y$ :  $h_{\nu}\bar{\psi}_{L}H_{\nu_{R}} + h.c.$ 

 $ightharpoonup _R=N_R$  is std model singlet, new term allowed by gauge invariance:  $M_RN_R^TC^{-1}N_R+h.c.$ 

Important point:  $M_R$  breaks B-L symmetry

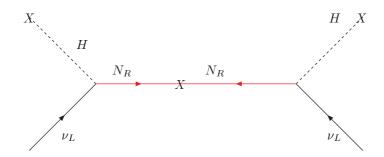
 $\succ$   $L,N_R)$  system:  $\begin{pmatrix} 0 & h_{
u}v \\ h_{
u}^Tv & M_R \end{pmatrix}$ 

>  $R\gg h_{
u}v$ , mass eigenvlaues have a heavy : ightarrow:  $M_R$  and a light set:  $\mathcal{M}_{
u}\simeq -rac{h_{
u}^2v^2}{M_R}$ . This implies  $m_{
u_i}\ll m_{u,d,e...}$ 

mass

Gell-Mann, Ramond, Slansky; Yanagida; Glashow; R. N. M., Senjanovic (1979)





## Diagonalize:

$$U^T \mathcal{M}^{
u} U = egin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix}; V_L M^e V_R^\dagger = egin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_ au \end{pmatrix}$$

Neutrino mixing matrix  $U_{PMNS} = V_L^{\dagger} U$ 

### Implications of Seesaw



beta decay and other  $\Delta L = 2$  processes;

 $M_{R,max} \simeq rac{m_t^2}{\sqrt{\Delta m_A^2}} \simeq 10^{14} - 10^{15} \; {
m GeV}$ 

 $M_R$  close to the conventional SUSY GUT scale !!

Could  $m_{\nu}$  be the first indication of grand unification ?

# Seesaw as a way to understand the origin of matter

$$\frac{n_B - n\bar{B}}{n_\gamma} \simeq 10^{-10}$$
?

in RH neutrino couplings), then

$$> \qquad _{R} \rightarrow \ell + H) - \Gamma(N_{R} \rightarrow \bar{\ell} + H) \neq 0 \rightarrow \text{lepton}$$
 asymmetry;

asymmetry into baryon asymmetry.

#### Why Seesaw is theoretically so appealing?

under Parity

$$\begin{pmatrix} u_L \\ d_L \end{pmatrix} \leftrightarrow \begin{pmatrix} u_R \\ d_R \end{pmatrix}; \quad \begin{pmatrix} 
u_L \\ e_L \end{pmatrix} \leftrightarrow \begin{pmatrix} N_R \\ e_R \end{pmatrix};$$

- 1. Electroweak gauge group expands to  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$
- 2. weak interactions become parity conserving  $\mathcal{L}_{wk} = \frac{g}{2\sqrt{2}}(\vec{W}_{\mu,L}\cdot\vec{J}_L^{\mu}+\vec{W}_{\mu,R}\cdot\vec{J}_R^{\mu})$
- 3. Electric charge:  $Q = I_{3L} + I_{3R} + \frac{B-L}{2}$  Involves all physical quantum numbers

#### Neutrino mass linked to parity violation



- 1. Why are low energy weak int. V-A?
- 2. Why  $m_{\nu} \ll m_{u,d,e}$  ?

MASS

L

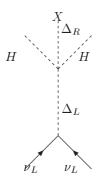
 $_{
u}$  CONNECTED TO THE SUPPRESSION OF V+A currents

## Implication of Parity for seesaw



$$m_
u \simeq f rac{v_{wk}^2}{v_R} - rac{h_
u^2 v_{wk}^2}{f v_R}$$
; (Type II seesaw)





$$>$$
  $_
u \simeq -rac{h_
u^2 v_{wk}^2}{f v_R}$  (Type I seesaw)

# A simple pointer to Type II seesaw

>  $_{
u}\sim h_{e}\sim$  hierarchical, Type I seesaw ightarrow  $m_{1}\ll m_{2}\ll m_{3}$  (hierarchical) i.e.

### How to understand large mixings?

$$>$$
  $_{
u}=\begin{pmatrix} a & b \\ b & a \end{pmatrix} \rightarrow U_{
u}=\frac{1}{\sqrt{2}}\begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix};$  Maximal

mixing;

 $\mathcal{M}_{\nu} = \sqrt{\Delta m_A^2} \begin{pmatrix} 1 + \epsilon & 1 \\ 1 & 1 \end{pmatrix};$ 

possible  $u_{\mu} \leftrightarrow 
u_{ au}$  symmetry.

HOW TO TEST FOR THIS SYM.?



#### large solar and near maximal atmospheric

 $_{23}$  and large  $heta_{12}$  and small  $heta_{13}$ .

$$\sqrt{rac{\Delta m_\odot^2}{\Delta m_A^2}} \simeq heta_{Cabibbo} \simeq rac{1}{5}$$

parameters?

 $\beta\beta_{0\nu}$  measures d; unfortunately not very well for normal hierarchy WHAT ABOUT THE REST?

## $\theta_{13}$ can provide very important information

 $\Rightarrow$  13 probes  $\mu \leftrightarrow \tau$  symmetry and provides information about a,b,c in  $\mathcal{M}_{\nu}$ : Three cases

$$>$$
 13 = 0

predicts 
$$\theta_{13} \simeq \epsilon^2 \simeq \frac{\Delta m_{\odot}^2}{\Delta m_A^2} \simeq 0.04$$
;

$$\theta_{13} \simeq \epsilon \simeq \sqrt{\frac{\Delta m_{\odot}^2}{\Delta m_A^2}} \simeq 0.2;$$

#### **Conclusion**

 $_{13}$  ia a measure of the extent of  $\mu\leftrightarrow \tau$  symmetry in the neutrino mass matrix indicated by near maximal atmospheric mixing angle; zero to small to large, $\rightarrow$  exact to approximate to no symm.

proposed to measure  $\theta_{13}$ 

### **Inverted Hierarchy**

 $\succ$   $_{23}$  and large solar angle;

 $L_e-L_\mu-L_ au$  symmetry; Thus we have a symmetry explanation of large mixing angles.

- >  $\frac{\Delta m_{\odot}^2}{\Delta m_A^2}$ ; solar mixing angle  $->d\epsilon\geq 0.5$ ; observable in  $\beta\beta_{0\nu}$  decay.
- e.g.  $a=c o heta_{13}=0$  etc;
- departure from  $L_e-L_\mu-L_ au$  symmetry

$$= e - L_{\mu} - L_{ au}$$
 naturally explains

$$\nu = \sqrt{\Delta m_A^2} \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$ightarrow \Delta m_{\odot}^2 = 0$$
 and  $heta_{12} \ = \ rac{\pi}{4}$  (maximal)

 $\gg {\Delta m_{\odot}^2 \over \Delta m_A^2} \ll 1$  because of small breaking of symmetry, which is also necessary to explain observed  $\theta_{12} \simeq 33^0$ 

$$\geq$$
  $\frac{2}{31} < 0.$ 

# Can seesaw explain large neutrino mixings?



angles and 3 phases

parameters- grand unification, horizontal symmetry etc.

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### Strategy for probing new physics

SYMMETRIES AND NEW PHYSICS e.g evidence for  $L_e-L_\mu-L_ au$  symmetry would seriously argue against the idea of grand unification.

considerations such as SUSY, Grand unification, string theory etc and study predictions for possible directions.

#### $m_{ u}$ and Grand unification



#### hypothesis

 $>\hspace{-3mm} s,g_2,g_1$  into one coupling at a high scale (  $10^{15}-10^{16}~{\rm GeV}$ 



- 1. Raises the hope of explaining the free parameters of the standard model
- 2. Raises new problems: why  $m_W \ll M_U$  ? i.e. why dont radiative corrections push  $m_W$  up to  $M_U$  ?
- 3. Solving this needs supersymmetry which removes infinities from Higgs mass;
- 4. Simple SUSY GUT gives coupling unification scale  $M_U \sim 2 \times 10^{16} \; {\rm GeV}$

$$= R \simeq M_U$$

due to higher symmetry of GUT theories which will reduce number of free parameters

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## Another promise of SUSY GUT

versa:  $Q \leftrightarrow \tilde{Q}$ ,...

- $\nearrow$  under which std model particles are even and their susy partners are odd.
- $ightharpoonup_p$  odd particle is stable.

# SO(10) SUSY GUT and neutrinos



$$> \begin{pmatrix} u & u & u & \nu \\ d & d & d & e \end{pmatrix}_{L,R} \text{ into } \mathbf{16} \text{ dim. rep of SO(10)}$$

 $ightharpoonup _R$  needed for seesaw automatically

properties of asymptotic parity conservation.

as a natural symmetry and gives a stable dark matter

# Breaking SO(10) down



$$_L \times SU(2)_R \times SU(4)_c \rightarrow \mathrm{std}$$
 model

## Minimal SUSY SO(10) For Neutrinos with dark matter



#### number of free parameters and predict masses

 $\psi_a$  16- matter field Higgs  ${f 10}(H), {f 126}(\Delta) \oplus {f 1\bar{2}6}(ar{\Delta}), {f 210}$  (only first two couple to matter by group theory

 $\mathcal{L}_Y = h_{ab}\psi_a\psi_bH + f_{ab}\psi_a\psi_b\overline{\Delta}$ 

 $\blacktriangleright$   $u, H_d) \mbox{ from } H \mbox{ and}$  another from  $\overline{\Delta}$  All doublets can have vevs

minus  $M_Z o$  total of 12 parameters.

for quarks; 3 for charged leptons and 18 for the neutrino sector  $\rightarrow$  a total of 31 parameters

angles;

all but one neutrino masses and mixing angles predicted

breaking sector; this can be included)

Babu, RNM (92); Bajc, Senjanovic, Vissani (2002); Goh, RNM, Ng (03)

## Predictions of the minimal SO(10):

 $_b \simeq m_ au$  at the GUT

### scale due to radiative corrections

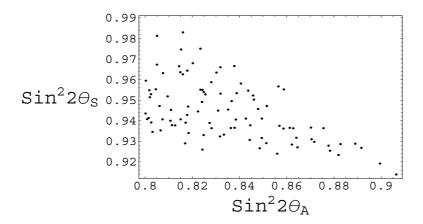


Figure 1:  $sin^22\theta_{12}$  vrs  $sin^22\theta_{23}$ ; scatter corresponds to different allowed quark mass values

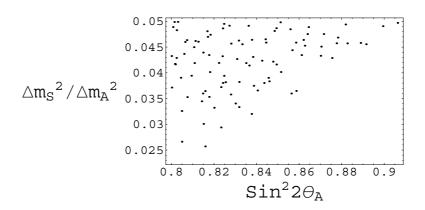
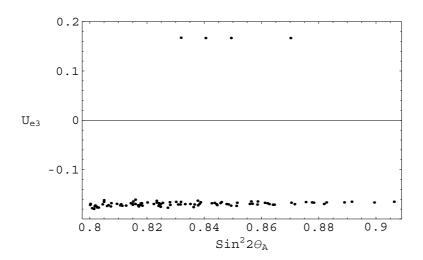


Figure 2: scatter corresponds to uncertainty in quark mass values

 $\theta_{13}$ 



 $= e_3 \equiv \theta_{13}$  and just below the present upper limit: "high" value due to no  $\mu \leftrightarrow \tau$  symmetry (see before)

#### If MiniBoone confirms LSND

- $ightharpoonup^2$ 's to explain solar, atm and LSND results; How can one accomplish this?
- $\mu \bar{\nu}_e\text{'s whereas solar is in }\nu_e \nu_{\mu,\tau};$  so could it be that  $\nu\text{'s have different masses from }\bar{\nu}\text{'s-that would give us room for a total of 4 <math display="inline">\Delta m^2\text{'}$

invariance, which is one of the immediate implications of local Lorentz Inv field theory; B. KamLand which sees oscillations in  $\bar{\nu}_e - \bar{\nu}_{\mu,\tau}$  disfavors this.

## Sterile neutrinos



two) sterile  $\nu_s$  with mass of order 1 to few eV; (2+2), (3+1) or (3+2) scenarios.

$$\nu_s$$
 ———

$$\rightarrow \qquad \qquad \nu_s \leq 0.3$$

$$ightharpoonup \Sigma_i \, m_i \leq 0.4 \,\, \mathrm{eV}$$

## Theoretical challenge of the sterile neutrinos



standard model would allow them to have arbitrary mass?



### model-inspired by superstring theories

| visible sector                                     | mirror sector                                      |
|--|--|
| $SU(3)_c \times SU(2)_L \times U(1)_Y$             | $SU(3)_c \times SU(2)_L \times U(1)_Y$             |
| $W,Z,\gamma,$ gluons                               | $W,Z,\gamma,$ gluons                               |
| $\left(egin{array}{c} u_L \ d_L \end{array} ight)$ | $\left(egin{array}{c} u_L \ d_L \end{array} ight)$ |
| $u_R,d_R$  | $u_R,d_R$  |
| $egin{pmatrix}  u_L \\ e_L \end{pmatrix}$          | $egin{pmatrix}  u_L \ e_L \end{pmatrix}$           |
| $e_R, N_R$   | $e_R, N_R$   |

## Implications of mirror models for neutrinos



- $\succ$   $_L$  (mirror  $\nu$ 's) couple only to Z,W bosons and not the familiar Z,W bosons and are therefore candidates for sterile neutrinos ( $\nu_s \equiv \nu$ ; three of them)
- $ightharpoonup_s$  are light for the same reason (seesaw) that known  $u_{e,\mu, au}$  are.
- breaking in the mirror sector

# How to reconcile with very precise cosmological observations?



generate masses and mixings: needs a light boson (with eV mass)



$$_{\nu} = 3$$

 $\succ$  s annihilate and disappear by the time of recombination and do leave a strong imprint on CMB

Chacko, Hall, Oliver and Perelstein, 2004



#### scale seesaw





conventional GUT, seesaw type theories

#### **Conclusions**



#### lunched

- important for our understanding of new physics beyond the standard model e.g.
- mechanism whereas Dirac will surely turn attention away from it!!
- $\geq$  2 will clarify the mass pattern i.e. inverted vrs normal
- >  $_{13}$  measurement will tell us about any inherent  $\mu \leftrightarrow \tau$  symmetry
- clarify our understanding of one of the fundamental mysteries of cosmology i.e. origin of matter
- SO(10) is the prime group for neutrinos and generically predicts Normal hierarchy;
  - Again sign of  $\Delta m^2_{31}$  will be important for this



confirms LSND with implications.